

# Genome evolution in the allotetraploid frog *Xenopus laevis*

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**To explore the origins and consequences of tetraploidy in the African clawed frog, we sequenced the *Xenopus laevis* genome and compared it to the related diploid *X. tropicalis* genome. We characterize the allotetraploid origin of *X. laevis* by partitioning its genome into two homoeologous subgenomes, marked by distinct families of ‘fossil’ transposable elements. On the basis of the activity of these elements and the age of hundreds of unitary pseudogenes, we estimate that the two diploid progenitor species diverged around 34 million years ago (Ma) and combined to form an allotetraploid around 17–18 Ma. More than 56% of all genes were retained in two homoeologous copies. Protein function, gene expression, and the amount of conserved flanking sequence all correlate with retention rates. The subgenomes have evolved asymmetrically, with one chromosome set more often preserving the ancestral state and the other experiencing more gene loss, deletion, rearrangement, and reduced gene expression.**

Ancient polyploidization events have shaped diverse eukaryotic genomes<sup>1</sup>, including two rounds of whole-genome duplication at the base of the vertebrate radiation<sup>2</sup>. While polyploidy is rare in amniotes,

presumably owing to constraints on sex chromosome dosage<sup>3,4</sup>, it is common in fish<sup>5</sup>, amphibians<sup>6,7</sup>, and plants<sup>8</sup>. Polyploidy provides raw material for evolutionary diversification because gene duplicates

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can support new functions and networks<sup>9</sup>. However, the component subgenomes of a polyploid must cooperate to mediate potential incompatibilities of dosage, regulatory controls, protein–protein interactions and transposable element activity.

The African clawed frog *X. laevis* is one of a polyploid series that ranges from diploid to dodecaploid, and is therefore ideal for studying the impact of genome duplication<sup>10</sup>, especially given its status as a model for cell and developmental biology<sup>11</sup>. *X. laevis* has a chromosome number ( $2n = 36$ ) nearly double that of the Western clawed frog *Xenopus* (formerly *Silurana*) *tropicalis* ( $2n = 20$ ) and most other diploid frogs<sup>12</sup>, and is proposed to be an allotetraploid that arose via the interspecific hybridization of diploid progenitors with  $2n = 18$ , followed by subsequent genome doubling to restore meiotic pairing and disomic inheritance<sup>10,13</sup> (see Supplementary Note 1 and Extended Data Fig. 1 for discussion of the *Xenopus* allotetraploidy hypothesis).

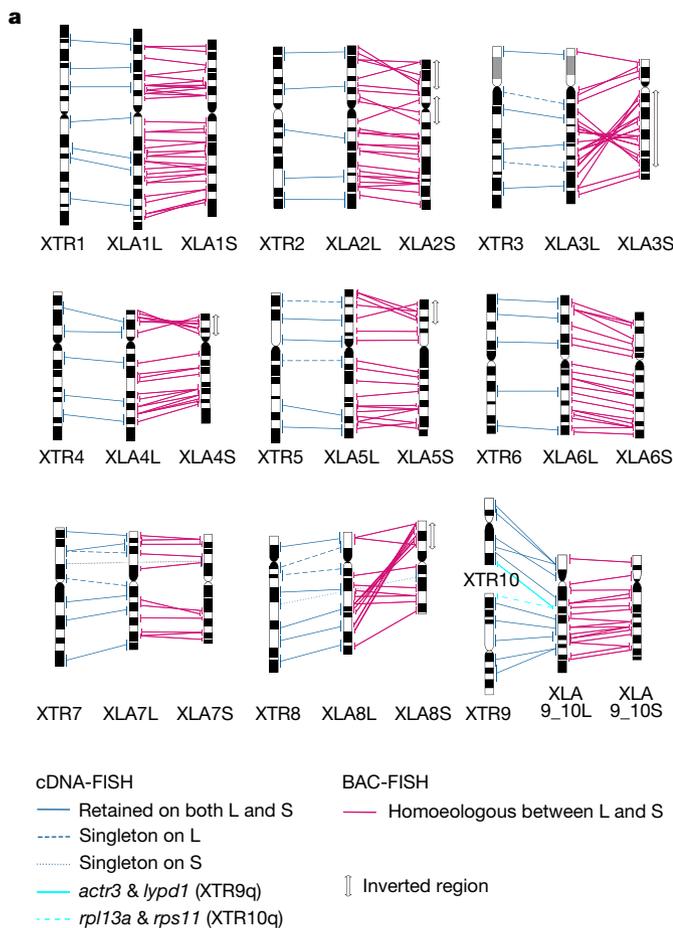
Here we provide evidence for the allotetraploid hypothesis by tracing the origins of the *X. laevis* genome from its extinct progenitor diploids. The two subgenomes are distinct and maintain separate recombinational identities. Despite sharing the same nucleus, we find that the subgenomes have evolved asymmetrically: one of the two subgenomes

has experienced more intrachromosomal rearrangement, gene loss by deletion and pseudogenization, and changes in levels of gene expression and in histone and DNA methylation. Superimposed on these global trends are local gene family expansions and the alteration of gene expression patterns.

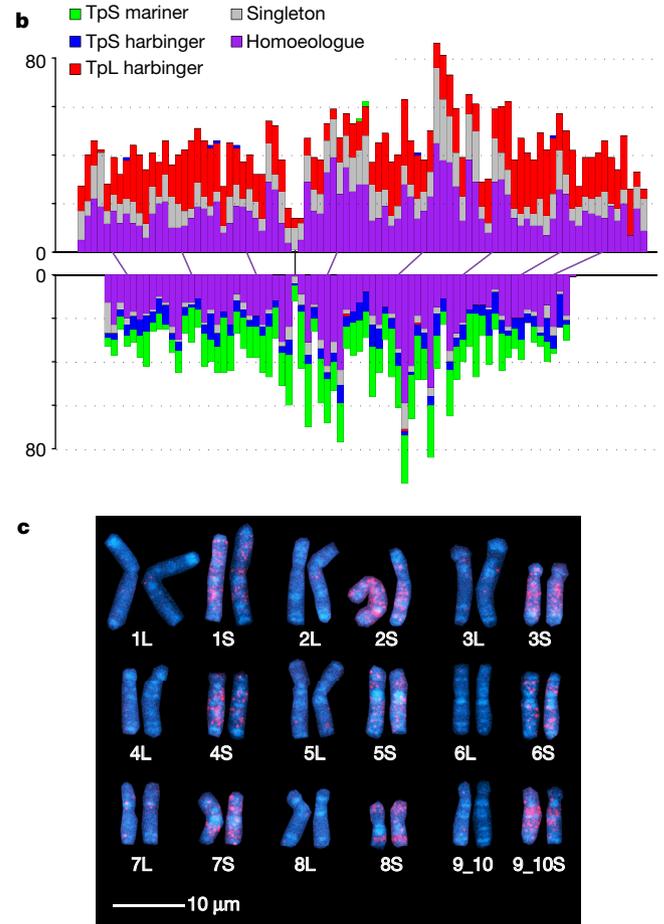
### Assembly, annotation and karyotype

We sequenced the genome of the *X. laevis* inbred 'J' strain by whole-genome shotgun methods in combination with long-insert clone-based end sequencing, (Supplementary Note 2) and organized the assembled sequences into chromosomes using fluorescence *in situ* hybridization (FISH) of 798 bacterial artificial chromosome clones (BACs) and *in vivo* and *in vitro* chromatin conformation capture analysis (Supplementary Note 3 and Methods). These complementary methods produced a high-quality chromosome-scale draft that includes all previously known *X. laevis* genes and assigns >91% of the assembled sequence (and 90% of the predicted protein-coding genes) to a chromosomal location.

We annotated 45,099 protein-coding genes and 342 microRNAs using RNA sequencing (RNA-seq) from 14 developmental



**Figure 1 | Chromosome evolution in *Xenopus*.** **a**, Comparative cytogenetic map of XLA (*Xenopus laevis*) and XTR (*Xenopus tropicalis*) chromosomes. Magenta lines show relationships of chromosomal locations of 198 homoeologous gene pairs between XLA.L and XLA.S chromosomes, identified by FISH mapping using BAC clones (Supplementary Table 1 and Supplementary Note 3.1). Blue lines show relationships of chromosomal locations of orthologous genes between XTR chromosomes and (i) both XLA.L and XLA.S chromosomes (solid line) (lines between XLA.L and XLA.S are omitted), (ii) only XLA.L (dashed), or (iii) only XLA.S (dotted), which were taken from our previous studies<sup>14,15</sup>. Light blue lines indicate positional relationships of *actr3* and *lypd1* on XTR9q and *rpl13a* and *rps11* on XTR10q with those on XLA9\_10LS chromosomes (Supplementary Note 6.2). Double-headed arrows on the right of XLA.S chromosomes



indicate the chromosomal regions in which inversions occurred. Ideograms of XTR and XLA chromosomes were taken from our previous reports<sup>15,16</sup>. **b**, Distribution of homoeologous genes (purple), singletons (grey) and subgenome-specific repeats across XLA1L (top) and XLA1S (bottom). Xl-TpL\_harb is red, Xl-TpS\_harb is blue, and Xl-TpS\_mar is green. Purple lines mark homoeologous genes present in both L and S chromosomes, the black line marks the approximate centromere location on each chromosome. The homoeologous gene pairs, from left to right: *rnf4*, *spcs3*, *intsl2*, *foxa1*, *sds*, *ap3s1*, *lifr*, *aqp7*. Each bin is 3 Mb in size, with 0.5 Mb overlap with the previous bin. **c**, Chromosomal localization of the Xl-TpS\_mar sequence with fluorescence *in situ* hybridization. Hybridization signals were only observed on the S chromosomes. Scale bar, 10 μm.

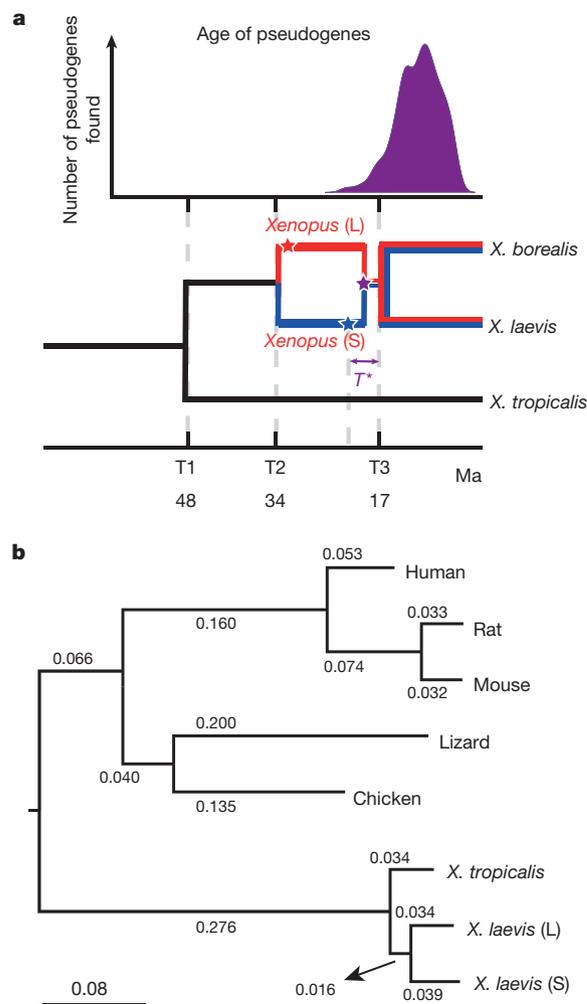
stages (including the oocyte stage) and 14 adult tissues and organs (Supplementary Note 4), analysis of histone marks associated with transcription, and homology with *X. tropicalis* and other tetrapods (Supplementary Note 5 and Methods). Of the *X. laevis* protein-coding genes, 24,419 can be placed in 2:1 or 1:1 correspondence with 15,613 *X. tropicalis* genes, defining 8,806 homoeologous pairs of *X. laevis* genes with *X. tropicalis* orthologues and 6,807 single copy orthologues. The remaining genes are members of larger gene families (such as olfactory receptor genes) whose *X. tropicalis* orthology is more complex.

The *X. laevis* karyotype (Fig. 1a) reveals nine pairs of homoeologous chromosomes<sup>1,14,15</sup>. Each of the first eight pairs is co-orthologous to and named for a corresponding *X. tropicalis* chromosome, appending an L and S for the longer and shorter homoeologues, respectively<sup>16</sup>. XLA2L is the Z/W sex chromosome<sup>17</sup>, for which we determined a W-specific sequence in the q-subtelomeric region that includes the sex-determining gene *dmw*<sup>17</sup> and a corresponding Z-specific haplotype. The homoeologous XLA2Sq, by contrast, has no such locus, and neither does XTR2 (Extended Data Fig. 2a and Supplementary Note 6). The ninth pair of homoeologues is a q-q fusion of proto-chromosomes homologous to XTR9 and XTR10, which probably occurred before allotetraploidization (Extended Data Fig. 2b–d and Supplementary Note 6). The S chromosomes are, on average, 13.2% shorter karyotypically<sup>16</sup> and 17.3% shorter in assembled sequence than their L counterparts. The single nucleotide polymorphism rate in *X. laevis* is approximately 0.4%, far less than the approximately 6% divergence between homoeologous genes (Extended Data Fig. 1c and Supplementary Note 8.8).

### Subgenomes and timing of allotetraploidization

We reasoned that dispersed relicts of transposable elements specific to each progenitor would mark the descendent subgenomes in an allotetraploid (Fig. 2c and Extended Data Fig. 1). Three classes of DNA transposon relicts appeared almost exclusively on either the L or S chromosomes (Supplementary Note 7). Xl-TpL\_harb and Xl-TpS\_harb are subfamilies of miniature inverted-repeat transposable elements (MITE) of the PIF/harbinger superfamily<sup>18,19</sup> whose relicts were almost completely restricted to L or S chromosomes, respectively (Fig. 1b and Extended Data Fig. 3a). Similarly, sequence relicts of the Tc1/mariner superfamily member Xl-TpS\_mar (closely related to the fish MMTS subfamily<sup>20</sup>) were found almost exclusively on the S chromosomes (Fig. 1b), as confirmed by FISH analysis using Xl-TpS\_mar as a probe (Fig. 1c and Supplementary Note 7.4; see Supplementary Note 7.3 for details on the rare elements that map to the opposite subgenome).

The L and S chromosome sets therefore represent the descendants of two distinct diploid progenitors, confirming the allotetraploid hypothesis despite the absence of extant progenitor species. Analysis of synonymous divergence of protein-coding genes suggests that the L and S subgenomes diverged from each other around 34 Ma (T<sub>2</sub>) and from *X. tropicalis* around 48 Ma (T<sub>1</sub>) (Fig. 2a), consistent with prior gene-by-gene estimates from transcriptomes<sup>21–24</sup> (Supplementary Note 8, Extended Data Fig. 4 and Methods). L- and S-specific transposable elements were active around 18–34 Ma, indicating that the two progenitors were independently evolving diploids during that period (Fig. 2a, Supplementary Note 7.5 and Extended Data Fig. 3). More recent transposon activity is more uniformly distributed across the L and S chromosomes (not shown). Finally, consistent with a common origin for tetraploid *Xenopus* species, we can clearly identify orthologues of L and S genes in whole-genome sequences of a related allotetraploid frog, *X. borealis*, and estimate the *X. laevis*–*X. borealis* divergence to be around 17 Ma (T<sub>3</sub>). These considerations constrain the allotetraploid event to around 17–18 Ma (T\*). This timing is consistent with other estimates of the radiation of tetraploid *Xenopus* species, which are presumed to emerge from the bottleneck of a shared allotetraploid founder population<sup>23,24</sup>.



**Figure 2 | Molecular evolution and allotetraploidy.** **a**, The distribution of pseudogene ages, as described in Supplementary Note 9 (top). Phylogenetic tree illustrating the different epochs in *Xenopus* (bottom), with times based on protein-coding gene phylogeny of pipids, including *Xenopus*, *Pipa carvalhoi*, *Hymenochirus boettgeri* and *Rana pipiens* (only *Xenopus* depicted). We date the speciation of *X. tropicalis* and the *X. laevis* ancestor at 48 Ma, the L and S polyploid progenitors at 34 Ma and the divergence of the polyploid *Xenopus* radiation at 17 Ma. Using these times as calibration points, we estimate bursts of transposon activity at 18 Ma (mariner, blue star) and 33–34 Ma (harbinger, red star). The purple star is the time of hybridization, around 17–18 Ma. **b**, Phylogenetic tree based on protein-coding genes of tetrapods, rooted by elephant shark (not shown). Alignments were done by MACSE (multiple alignment of coding sequences accounting for frameshifts and stop codons) and the maximum-likelihood tree was built by PhyML. Branch length scale shown at the bottom for 0.08 substitutions per site. The difference in branch length between *Xenopus laevis*-L and *Xenopus laevis*-S is similar to that seen between mouse and rat. Both subgenomes of *X. laevis* have longer branch lengths than *X. tropicalis*.

### Karyotype stability

With the exception of the chromosome 9–10 fusion, *X. laevis* and *X. tropicalis* chromosomes have maintained conserved synteny since their divergence around 48 Ma (Fig. 1a, b). The absence of inter-chromosomal rearrangements is consistent with the relative stability of amphibian and avian karyotypes compared to those of mammals<sup>25</sup>, which typically show dozens of inter-chromosome rearrangements<sup>26</sup>. It also contrasts with many plant polyploids, which can show considerable inter-subgenome rearrangement<sup>27</sup>. The distribution of L- and S-specific repeats along entire chromosomes implies the absence of crossover recombination between homoeologues since allotetraploidization, presumably because the two progenitors were sufficiently diverged to

**Table 1 | Summary of retention of different genomic elements, in comparison to the diploid *X. tropicalis* genome**

Sequence element	XTR	XLA.L	XLA.S	Retention
Protein coding genes	15,613	13,781	10,241	56.4%
Genomic DNA (Mb)	1,227	1,222	1,010	N/A
microRNAs (miRNAs)	180	166	168	86.7%
Pan vertebrate conserved noncoding elements	550	542	536	96.6%
H3K4me3 peaks	7,473	6,927	5,833	70.6%
p300 peaks	4,321	3,457	2,702	42.5%
Cactus	1,294,342	1,026,204	888,899	49.0%
MitoCarta	917	717	501	46.0%
GermPlasm	15	15	6	40.0%

More detailed information is available in Supplementary Tables 2 and 3. XTR, *X. tropicalis*; XLA.L, *X. laevis* L; XLA.S, *X. laevis* S.

avoid meiotic pairing between homoeologous chromosomes, though we cannot rule out very limited localized inter-homoeologue exchanges (Supplementary Note 7).

The extensive collinearity between homologous *X. laevis* L and *X. tropicalis* chromosomes (Fig. 1a) implies that they represent the ancestral chromosome organization. In contrast, the S subgenome shows extensive intra-chromosomal rearrangements, evident in the large inversions of XLA2S, XLA3S, XLA4S, XLA5S and XLA8S, as well as shorter rearrangements (Fig. 1a). The S subgenome has also experienced more deletions. For example, the 45S pre-ribosomal RNA gene cluster is found on *X. laevis* XLA3Lp, but its homoeologous locus on XLA3Sp is absent (Extended Data Fig. 5a). Extensive small-scale deletions (Extended Data Fig. 5b) reduce the length of S chromosomes relative to their L and *X. tropicalis* counterparts (see below).

### Response of subgenomes to allotetraploidy

Redundant functional elements in a polyploid are expected to rapidly revert to single copies through the fixation of disabling mutations and/or loss<sup>28</sup> unless prevented by neofunctionalization<sup>8</sup>, subfunctionalization<sup>26</sup>, or selection for gene dosage<sup>29</sup>. Differential gene loss between homoeologous chromosomes is sometimes referred to as 'genome fractionation'<sup>30–32</sup> (Supplementary Note 1). At least 56.4% of the protein-coding genes duplicated by allotetraploidization have been retained in the *X. laevis* genome (Supplementary Note 10; 60.2% if genes on unassigned short scaffolds are included). Previous studies that relied on cDNA<sup>21</sup> and expressed sequence tag (EST) surveys<sup>22,33,34</sup> observed far lower rates of retention, probably owing to sampling biases from gene expression (Supplementary Note 8.2).

Even higher retention rates were found for homoeologous microRNAs (156 out of 180, 86.7%), similar to the salmonid-specific duplication<sup>5</sup>, and both primary copies are expressed for intergenic homoeologous microRNAs (Supplementary Note 8.6 and Extended Data Fig. 5e). Pan-vertebrate putatively *cis*-regulatory conserved non-coding elements (CNEs)<sup>35</sup> were also highly retained (541 out of 550, 98.4%; Supplementary Note 8.7 and Table 1). CNEs conserved between *X. laevis* and *X. tropicalis*, however, were retained at a significantly lower rate (49%,  $P \leq 1 \times 10^{-50}$ ; Table 1 and Supplemental Table 3). Longer genes (by genomic span, exon number or coding length) were more likely to be retained (Wilcoxon signed-rank test,  $P \leq 10^{-5}$ ; Supplementary Note 10.5 and Extended Data Fig. 5 h–j), broadly consistent with the idea that longer genes have more independently mutable functions and are therefore more susceptible to subfunctionalization and subsequent retention<sup>36</sup>.

Genes have been lost asymmetrically between the two subgenomes of *X. laevis*. Similar results have been reported for some plant polyploids<sup>30</sup> but not in rainbow trout<sup>5</sup>. For *X. laevis* protein-coding genes with clear 1:1 or 2:1 orthologues in *X. tropicalis*, we found that significantly more genes were lost from the S subgenome (31.5%) than from the L subgenome (8.3%;  $\chi^2$  test  $P = 2.23 \times 10^{-50}$ ; Supplementary Table 2), with

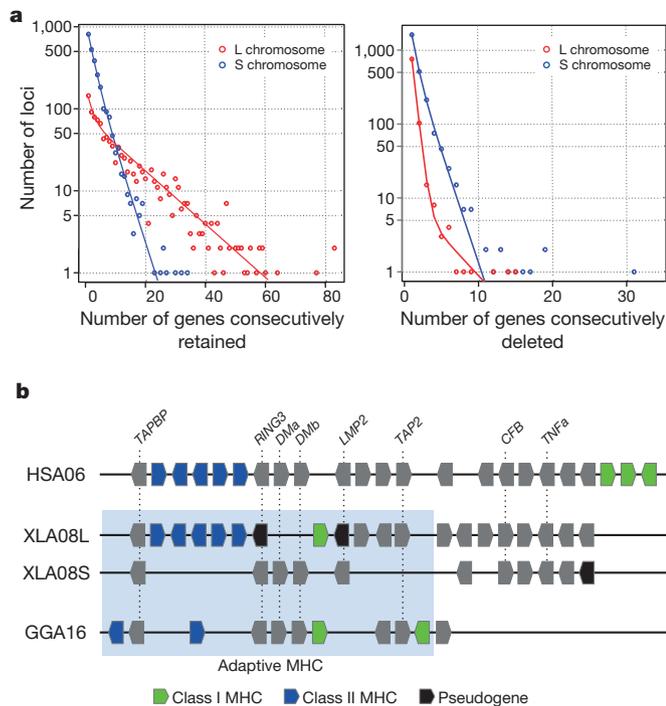
the same trend for other types of functional elements, such as histone H3 lysine 4 trimethylation (H3K4me3)-enriched promoters and p300-bound enhancers (Table 1). Across most of the genome, genes appeared to be lost independently of their neighbours, as runs of gene losses were nearly geometrically distributed (Fig. 3a, right). We did observe some large block deletions (for example, several olfactory clusters (Extended Data Fig. 5b) and a few unusually long blocks of functionally unrelated genes that were retained in two copies without loss (Fig. 3a, left)).

Many lost genes were simply deleted, as demonstrated by significantly shorter distances between conserved flanking genes in the other subgenome and in *X. tropicalis*. Both the size and number of deletions were greater on the S subgenome (Extended Data Fig. 5c). We identified 985 'unitary' (that is, non-retrotransposed) pseudogenes out of 1,531 loci examined in detail. This 64% detection rate was similar between subgenomes in *X. laevis* and comparable to that reported in trout<sup>5</sup>. Based on the accumulation of non-synonymous mutations<sup>37</sup> we estimated that most of these pseudogenes escaped evolutionary constraint around 15 Ma (Fig. 2a and Extended Data Fig. 6), consistent with the onset of extensive redundancy in the allotetraploid, although the precision of our pseudogene age estimates is low (Supplementary Note 9). Most pseudogenes showed no evidence of expression, but of 769 pseudogenes longer than 100 bp, 133 (17.2%) showed residual expression (Extended Data Fig. 6). Conversely, among homoeologous gene pairs, we found 760 for which one member had little to no expression across our 28 sampled conditions. Although these retained some gene structure (start and stop codon, no frame shifts, good splice signals), they showed increased rates of amino acid change and appeared to be under relaxed selection (Extended Data Fig. 5f). We called these nominally dying genes 'thanagenes' (Supplementary Note 12.5). Reduced expression may be due to mutated *cis*-regulatory elements, as exemplified by the *six6* gene pair (Fig. 4e, Extended Data Fig. 8 g–i and Supplementary Note 13.1).

Although tetraploidy created two 'copies' of nearly every gene, additional gene copies were continually produced by tandem duplication (Fig. 3d and Extended Data Fig. 7). The number of tandem clusters was greater in *X. tropicalis* than in the *X. laevis* L subgenome, which in turn was greater than in the S subgenome (Supplementary Note 11.1). Although tandem duplication was faster in *X. tropicalis* than in *X. laevis*, there was also a higher rate of loss. Since tandem duplications and deletions occur by unequal crossing over during meiosis, these differing rates were consistent with the shorter generation time of *X. tropicalis* (Extended Data Fig. 7 f, g). The mean time to loss of an old tandem duplicate is around 40 Ma in *X. laevis* (on either subgenome) compared to around 16 Ma in *X. tropicalis*. Homoeologous gene loss and tandem duplication can combine to yield complex histories for some gene families. We discuss how these families contribute to the literature on whole-genome duplication evolution in Supplementary Notes 10 and 13.

### Functional patterns of gene retention and loss

We found preferential retention or loss of many functional categories (Fig. 4a, Extended Data Figs 4e, 9, 10 and Supplementary Note 13). DNA binding proteins, components of developmentally regulated signalling (TGF $\beta$ , Wnt, Hedgehog and Hippo) and cell cycle regulation pathways were retained at a substantially higher rate (>90%) than average (Extended Data Fig. 10). Genes retained in multiple copies after the ancient vertebrate genome duplication were also more likely to be retained as homoeologues in *X. laevis* (Supplementary Note 10.4), similar to teleost and trout genome duplications<sup>5</sup>. We found nearly complete retention of 37 out of 38 duplicated genes in the four pairs of homoeologous Hox clusters, with a single pseudogene (Fig. 3c). High rates of homoeologue retention in most genes in these categories suggest that stoichiometrically controlled expression levels may be needed, or subfunctionalization of homoeologues may have occurred, either in their expression domain or in their target specificity.

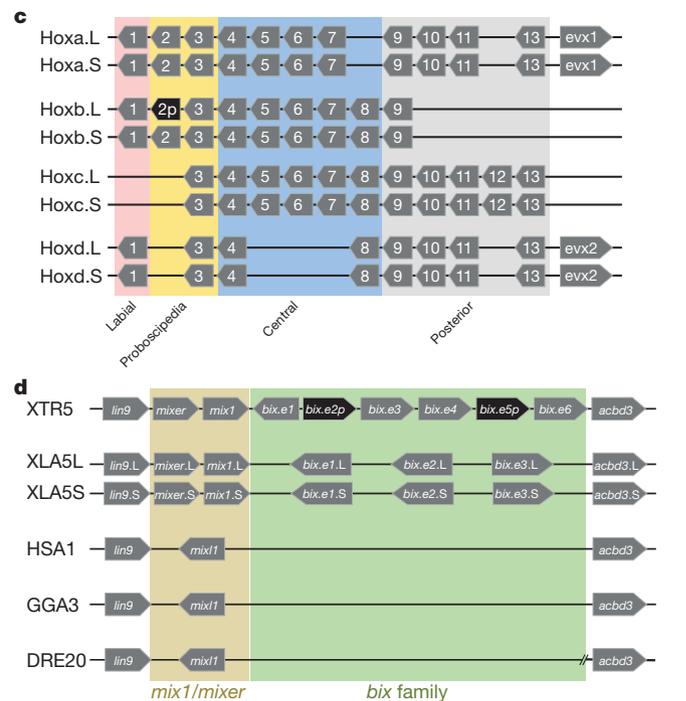


**Figure 3 | Structural response to allotetraploidy.** **a**, Distributions of consecutive retentions (left) and deletions (right) in the L (red) and S (blue) subgenomes. The distributions were fit using the equation  $y = a \times (e^{bx}) + c \times (e^{dx})$ . The  $y$  axis is shown on a log scale. Significant differences were seen between L and S subgenomes in both distributions (Student's  $t$ -test, retention,  $P = 3.6 \times 10^{-22}$ ; deletion,  $P = 4.5 \times 10^{-84}$ ). **b**, Evolutionary conservation of the *Xenopus* major histocompatibility complex (MHC) and differential MHC silencing on the two *X. laevis* subgenomes. Selected gene names shown above. The 'Adaptive MHC' encodes tightly-linked essential genes involved in antigen presentation to T cells; this group of genes is the primordial linkage group and has been preserved in most non-mammalian vertebrates, including *Xenopus*. Differential gene silencing is particularly pronounced, as four genes around the class I gene are functional on the S chromosome, but absent (*dma* and *dmb* (MHC-class II domain alpha and beta) or pseudogenes (*ring3*, really interesting new gene 3; *lmp2*, large multifunctional

Conversely, homoeologous genes in other functional categories have been lost at a higher rate, presumably because of a corresponding lack of selection for dosage. For example, genes involved in DNA repair were lost at a high rate (79%) (Supplementary Note 10.1). This is consistent with relaxed selection for repair in the immediate aftermath of allotetraploidy, when all genes were present in four copies per somatic cell<sup>5</sup>. Other metabolic categories were also prone to loss, presumably because single loci encoding enzymes were sufficient<sup>38</sup>. Genomic regions with notable loss include the major histocompatibility complex genes on the S subgenome (Fig. 3b) and several olfactory receptor clusters (Extended Data Fig. 5b). We hypothesize that homoeologous genes may be functionally incompatible in these cases, leading to en bloc deletion in response to selection pressure. Specific case studies of duplicate gene retention and loss are detailed in Extended Data Figs 9, 10 and Supplementary Note 13.

### Evolution of gene expression

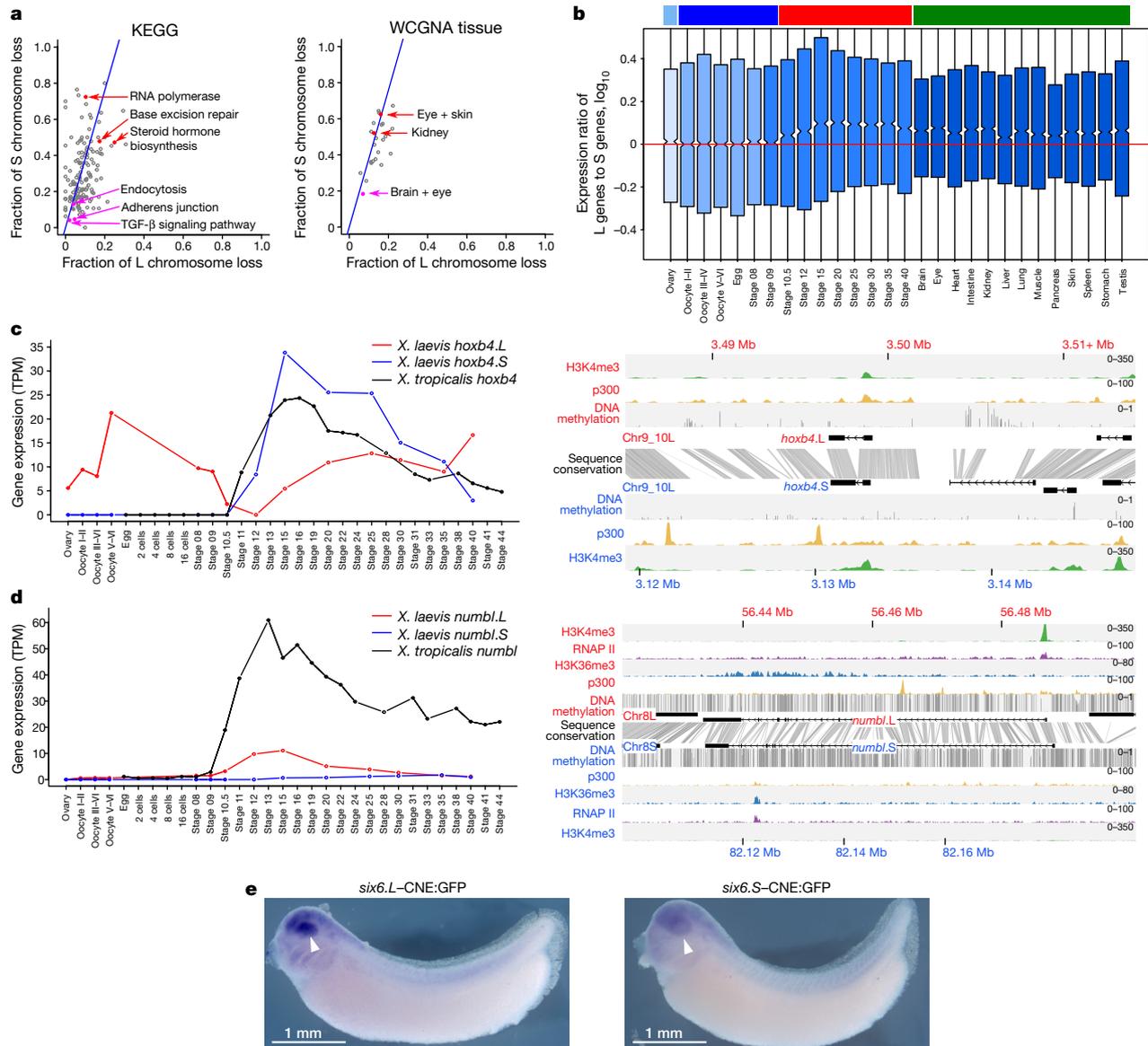
Gene expression is also a predictor of retention, whereby more highly expressed genes are more likely to be retained (Extended Data Fig. 8b), similar to results seen in *Paramecium*<sup>39,40</sup>. Developmentally regulated genes whose expression levels peak at the maternal zygotic transition (MZT) or during neural differentiation were retained at higher levels ( $P < 0.01$ ), based on gene expression networks constructed from developmental and adult tissue expression (Methods, Fig. 4a (right), Extended Data Fig. 10e and Supplementary Note 12.3). We speculate



**Figure 4 | Hox gene clusters and syntenies around the mix gene family.** **c**, Hox gene clusters. *X. laevis* retains eight *hox* clusters, consisting of pairs of *hoxa*, *b*, *c* and *d* clusters, on L and S chromosomes. *even-skipped* genes (*evx1* or *evx2*) are positioned flanking *hoxa* and *hoxd* clusters. *hox* genes are classified into four groups namely, labial, proboscipedia, central and posterior groups. Note that *hoxb2.L* (2p, black) is a pseudogene. **d**, Syntenies around the *mix* gene family. Abbreviations for species and chromosome numbers: HSA1, *H. sapiens*; GGA3 *G. gallus* (chicken); XTR5, *X. tropicalis*; XLA5L and XLA5S, *X. laevis* L and S subgenomes); DRE20, *D. rerio* (zebrafish);. Each *Xenopus* (sub)genome experienced its own independent expansion of the family (see Extended Data Fig. 5 for details).

that the exceptional retention of developmentally regulated genes is due to selection for stoichiometric dosage of these factors, and in some cases higher expression in the physically larger allotetraploid cells and embryos relative to those of diploid frogs, although a propensity<sup>36</sup> of these genes for sub- or neofunctionalization could also have contributed. In the adult, genes whose expression peaks in the brain and eye were also retained at higher levels (Fig. 4b).

In *X. laevis*, the expression of homoeologues was highly correlated (Extended Data Fig. 8a), showing that the overall expression of homoeologues diverged similarly to that of orthologues between *Xenopus* species<sup>41</sup>. Many homoeologous pairs, however, were differentially expressed throughout development or across adult tissues, either in a spatiotemporal pattern (a form of subfunctionalization<sup>36</sup>; Supplementary Note 12.4 and Extended Data Fig. 8d–f) or in the same pattern but with differing expression levels. When homoeologous gene pairs were both expressed, the average L copy expression level was approximately 25% higher than that of the S copy consistently across adult tissues and after the MZT<sup>42</sup> (Fig. 4b and Supplementary Note 12.2). Excess L expression, however, averaged only around 12% in oocyte and early pre-MZT stages, suggesting that the two subgenomes were more evenly expressed as maternal transcripts but developed an increased asymmetry after the MZT. Strikingly, we found 391 cases in which one homoeologue had no detectable maternal mRNA (oocytes, egg and stage 8; Fig. 4c, d and Extended Data Fig. 8c). Compared to similar transcript data from *X. tropicalis*, we found cases of an apparent



**Figure 4 | Retention and functional differentiation.** **a**, Comparison of L and S gene loss by KEGG categories (left) and tissue-weighted gene co-expression network analysis (WGCNA) categories (right) (Supplementary Note 10.1). Blue line denotes expected L or S loss based on genome-wide average (56.4%). Red points denote functional categories showing a high degree of loss. Magenta points denote functional categories showing a high degree of retention ( $\chi^2$  test,  $P < 0.01$ ). **b**, Box plot of  $\log_{10}(LTPM/STPM)$  for homoeologous gene pairs, zoomed in to show medians. Ovary and maternally controlled developmental time points (left, light blue and dark blue bars, respectively), zygotically controlled developmental time points and adult tissues (right, red and green bars, respectively). Red line, equal ratio  $\log_{10}(1)$ . On average, maternal datasets express the L gene of a homoeologous pair 12% more strongly than the S gene (median = 0%), whereas zygotic tissues and time points express the L gene of a homoeologous pair 25% more strongly than the S gene (median = 1.8%). The difference between the mean and medians is explained by many genes with large differences between homoeologues (Extended Data Fig. 8c). **c**, **d**, Developmental expression plot (left)

loss of expression ('maternal subfunctionalization', that is, *X. tropicalis* and one *X. laevis* gene was expressed, whereas the other *X. laevis* gene was silenced pre-MZT) in 238 genes (for example *numbl.S*). We also found gains of expression ('maternal neofunctionalization', that is, the *X. tropicalis* gene was not expressed maternally, but one *X. laevis* gene was expressed) in 153 genes (for example *hoxb4.L*). We did not see such

and epigenetic landscape (right) surrounding *hoxb4* (**c**) and *numbl* (**d**). Right, genomic profiles of H3K4me3 (green), p300 (yellow), RNA polymerase II (RNAP II; **d**, purple) and H3K36me3 (**d**, blue) ChIP-seq tracks, as well as DNA methylation levels determined by whole-genome bisulfite sequencing (grey). Gene annotation track shows *hoxb4* (**c**) and *numbl* (**d**) genes on L (top) and S. Grey denotes conservation between L and S genomic sequences. **d**, The small amount of expression seen in maternal *numbl* and *numbl.L* is consistent between replicates. Gene expression is measured in transcripts per million mapped reads (TPM). **e**, Representative embryos with GFP expression, as detected by *in situ* hybridization at stages 32–33, driven by *six6.L-CNE:GFP* and *six6.S-CNE:GFP*, respectively). Embryos were 4,250–4,450  $\mu\text{m}$ . Semi-quantitative image analysis revealed a substantial difference in average expression level; the expression driven by *six6.S-CNE* ( $n = 27$ ) was 0.6-fold weaker than that by *six6.L-CNE* in the eye region ( $n = 32$ ). Given eye-specific patterns of their endogenous expression, the *six6* genes probably have additional silencers for restricting enhancer activity of the CNEs in the eye.

a large divergence in other expression domains (Supplementary Note 12.2 and Extended Data Fig. 8c), suggesting a higher level of plasticity of maternal mRNA regulation between *X. laevis* homoeologues, similar to the trend seen between *Xenopus* species<sup>41</sup>.

Overall, thousands of homoeologue pairs have either divergent spatiotemporal patterns or similar patterns with differing expression

levels. Such homoeologue pairs differed in substitution rate and coding sequence length difference more than those that were similar in expression (Supplementary Note 12.4 and Extended Data Fig. 8d–f), a pattern that was also found in trout homoeologous pairs<sup>5</sup>. These expression differences can largely be attributed to changes in epigenetic regulation (Random Forest classification; ROC area under the curve 0.78), with changes in H3K4me3 and DNA methylation contributing the most explanatory power among our epigenetic variables (Supplementary Note 14). Detailed comparison of the two subgenomes will facilitate identification of specific sequences that control *cis*-regulatory differences between homoeologues.

## Conclusion

The two subgenomes of *Xenopus laevis* have evolved asymmetrically, with the L subgenome more consistently resembling the ancestral condition and the S subgenome more disrupted by deletion and rearrangement. Asymmetric gene loss has been observed in allopolyploid plants<sup>30</sup> and yeast<sup>43</sup> at the segmental level, but it has not been shown directly that similarly fractionated segments derive from the same progenitor (Fig. 1c). Our results are consistent with the idea that optimized gene expression levels are an important force affecting gene retention following polyploidy<sup>39,40</sup>. The asymmetry between the L and S subgenomes could have been the result of an intrinsic difference between their diploid progenitors. Alternately, the remodelling of the S genome could have been a response to the L–S merger itself, a ‘genomic shock’<sup>44</sup> resulting from the activation of transposable elements (Fig. 2a and Supplementary Note 8.5). The popularity of *Xenopus* as a model for the study of vertebrate development, cell biology and immunology is now extended to a model for vertebrate polyploidy.

**Online Content** Methods, along with any additional Extended Data display items and Source Data, are available in the online version of the paper; references unique to these sections appear only in the online paper.

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S.Ma., Y.U., Y.M. and M.T. performed the chromosome structure analysis. A.M.S., A.H., O.S., J.C. and Y.U. studied the transposable elements. BAC-FISH was performed by Y.U., A.Fuk., M.K., A.T., S.T., H.Og., H.Oc., Y.K., T.T., T.M., M.W., T.Ki., Y.O., Y.H., T.S.Y., C.T., T.N., A.S., Y.M., N.U., M.A., Y.Iz., A.Fuj. and M.T. I.Q., S.H., N.P. and J.St. generated and analysed the chromatin conformation capture data and their use in long-range scaffolding. H.Og. and H.Oc. performed the transgenic enhancer analysis. S.J.v.H., G.G., S.S.P., I.v.K., O.B., R.L., and G.J.C.V. generated and analysed the epigenetic data. A.S., A.M.S., T.Ki., M.K., M.T., Y.O., T.T., A.Fuk., M.W., T.Mic., D.W.H., T.N. and L.D. conducted the gene and pathway analysis. D.S.R., A.M.S., T.Kw., R.M.H., M.T., A.S., Y.U., G.J.C.V., M.K., U.H., S.J.v.H., A.Fuk., A.H., O.S., H.Og., T.T., I.Q., J.K., Y.O., S.T., M.W., T.Mic., A.T., H.Oc., T.Ki., S.Maw., Y.S., T.N., Y.Iz. and M.F.F. wrote the paper and supplementary notes, with input from all authors.

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## METHODS

No statistical methods were used to predetermine sample size. The experiments were not randomized. The investigators were not blinded to allocation during experiments and outcome assessment.

**Notation and terminology.** ‘Homoeologous’ chromosomes are anciently orthologous chromosomes that diverged by speciation but were reunited in the same nucleus by a polyploidization event. They are a special case of paralogues. Homoeologous genes are sometimes called ‘alloalleles’ to emphasize their role as alternate forms of a gene, but since homoeologues are unlinked and assort independently, we do not use this terminology. Similarly, loss of homoeologous genes is sometimes referred to as ‘diploidization’. We prefer the simpler and more descriptive term ‘gene loss’. Note that an allotetraploid such as *Xenopus laevis* has two related subgenomes, but these subgenomes are each transmitted to progeny via conventional disomic inheritance. So immediately after allotetraploidization, the new species is already genetically diploid. This is clearly the case for *X. laevis*, since we find no evidence for recombination between homoeologous chromosomes, which would create new sequences with mixed ‘L’ and ‘S’ type transposable elements.

**Sequencing and assembly.** DNA was extracted from the blood of a single female from the inbred J-strain for whole-genome shotgun sequencing. We generated 4.6 billion paired-end Illumina reads from a range of inserts and used Sanger dideoxy sequencing to obtain fosmid- and bacterial artificial chromosome (BAC)-end pairs and full BAC sequences. We used meraculous<sup>45</sup> as the primary genome assembler. See supplementary notes for more detailed information.

**Chromosome scale organization.** We identified 798 BACs containing genes of interest distributed across the *Xenopus* genome and performed fluorescence FISH to assign these BACs to specific chromosomes based on Hoechst 33258-stained late-replication banding patterns (Supplementary Table 1). Tethered chromatin conformation capture (TCC)<sup>46</sup> and in vitro chromatin conformation capture<sup>47</sup> were performed as previously described, and assembled with HiRise<sup>47</sup>.

**Characterization of sex locus.** Sex determination in *X. laevis* follows a female heterogametic ZZ/ZW system<sup>48</sup>. We fully sequenced BAC clones representing both W and Z haplotypes, and identified both W- and Z-specific sequences (Extended Data Fig. 2a). The existence of the Z-specific sequence was unexpected and therefore verified by PCR analysis using specific primer sets and DNA from gynogenetic frogs having either W or Z loci.

**Gene annotation.** We made use of extensive previously generated transcriptome data for *X. laevis* and *X. tropicalis*, including 697,015 *X. laevis* EST sequences<sup>49</sup>. In addition, more than 1 billion RNA-seq reads were generated for this project from 14 oocyte/developmental stages and 14 adult tissues from J-strain *X. laevis* (Supplementary Note 4). These data were combined with homology and *ab initio* predictions using the Joint Genome Institute’s integrated gene call pipeline (see Supplementary Notes 4 and 8 for more details).

**Characterization of subgenome-specific transposable elements.** We found subgenome-specific repeats using a RepeatMasker<sup>50</sup> result. The repeats were used to reconstruct full-length subgenome specific transposon sequences. The specific transposons, XI-TpL\_harb, XI-TpS\_harb and XI-TpS\_mar, were classified on the basis of their target site sequence and terminal inverted repeat (TIR) sequences. The coverage lengths of the transposons on each chromosome were calculated from the results of BLASTN search ( $E < 10^{-5}$ ) using the consensus sequences of the transposons as queries. The chromosomal distribution of the XI-TpS\_mar was revealed by a FISH analysis (Supplementary Note 7.4).

**Phylogeny, divergence time, and evolutionary rates.** We used *Hymenochirus boettgeri*, *Pipa carvalhoi* and *Rana pipiens* sequences as outgroups to estimate the evolutionary rate of duplicated genes in *X. laevis* and their relationship to *X. tropicalis*. See Supplementary Notes 7 and 8 for more detail.

**Deletions and pseudogenes.** Pseudogene sequences contain various defects including premature stop codons, frameshifts, disrupted splicing, and/or partial coding deletions. 985 pseudogenes were identified among 1,531 ‘2-1-2 regions’, with the others deleted or rendered unidentifiable by mutation. 368 out of 985 could be timed, based on the accumulation of non-synonymous and synonymous substitution between a pseudogene, its homoeologue and its orthologue in *X. tropicalis*, providing a time since the loss of constraint for each pseudogene<sup>37</sup>. See Supplementary Note 9 for additional details.

**Functional annotation of genes.** We used several bioinformatic methods and high-throughput datasets to assign functional annotations to *Xenopus* genes.

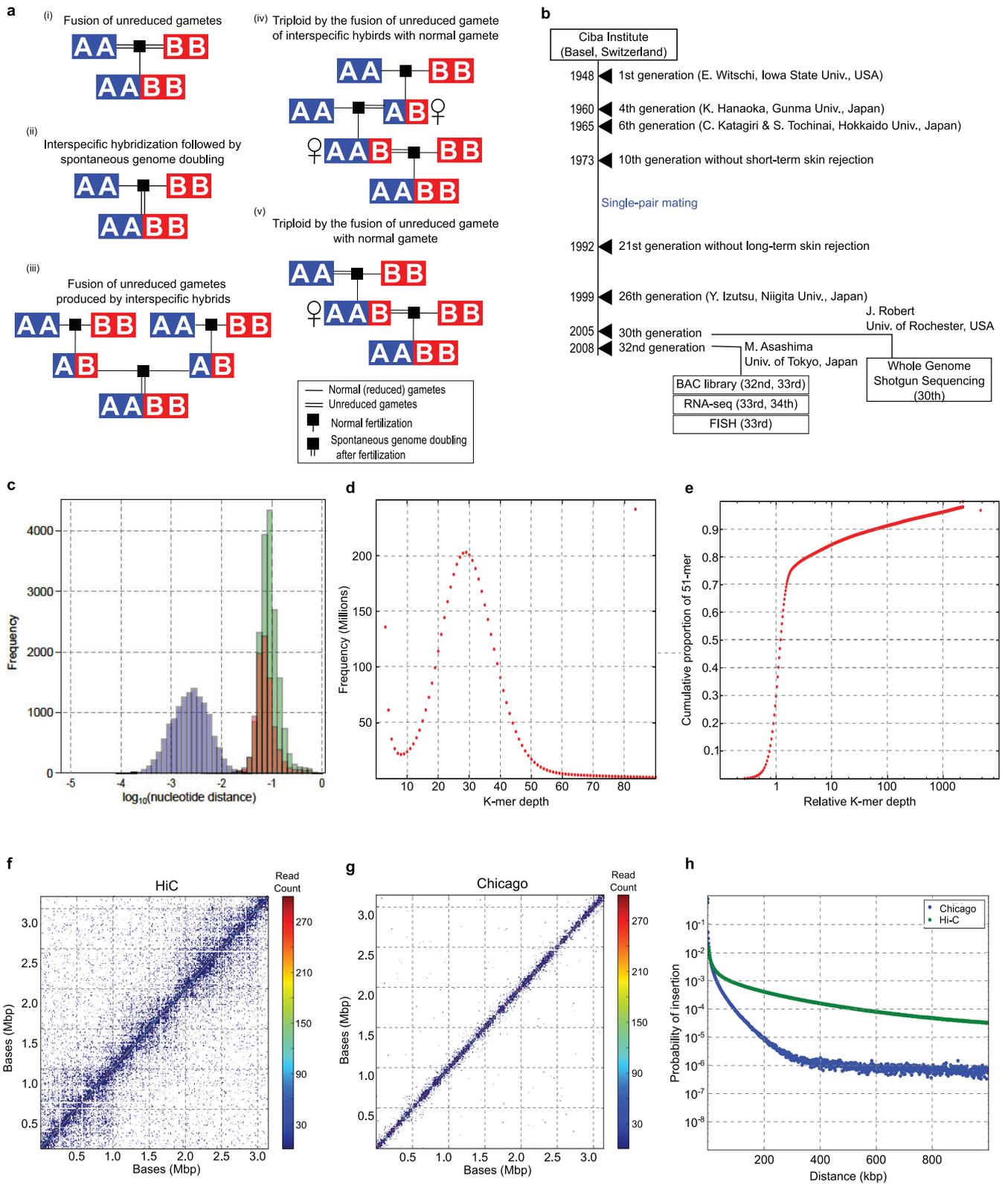
Protein domains were assigned using InterPro (including PFAM and Panther)<sup>51</sup> and KEGG<sup>52</sup>. Gene Ontology was assigned using InterPro2Go<sup>51</sup>. We identified genes that encode mitochondrial proteins by mapping the MitoCarta<sup>53</sup> database from mouse to the most recent *X. tropicalis* proteome. *Xenopus* genes associated with germ plasm were manually curated using the extensive *Xenopus* literature (Supplementary Note 13).

**Gene expression.** We analysed transcriptome data generated for 14 oocyte/developmental stages and 14 adult tissues in duplicate except for oocyte stages (see Supplementary Note 4). Expression levels were measured by mapping paired-end RNA-seq reads to predicted full length cDNA and reporting transcripts per one million mapped reads (TPM). We consider the limit of detectable expression to be TPM >0.5. Co-expression modules were defined by weighted gene correlation network analysis (WGCNA) clustering<sup>54</sup> (Supplementary Note 12).

**Epigenetic analysis.** We determined DNA methylation levels (DNAm) by whole genome bisulfite sequencing and used ChIP-seq to generate profiles of the promoter mark histone H3 lysine 4 trimethylation (H3K4me3), the transcription elongation mark H3K36me3, as well as RNA polymerase II (RNAPII) and the enhancer-associated co-activator p300. To test which regulatory features would contribute most to the L versus S expression differences, we applied a Random Forest machine learning algorithm to analyse differential expression between the L and S homoeologues (See Supplementary Note 14).

**Data availability.** The XENLAv9.1 genome assembly and annotation are deposited at NCBI (accession LYTH000000000). The DNA read libraries of *X. laevis* and *X. borealis* were deposited at the Sequence Read Archive under accessions SRP071264 and SRP070985, respectively. Datasets of the *X. laevis* RNA-seq short reads were deposited in NCBI Gene Expression Omnibus (accession number GSE73430 for stages, GSE73419 for tissues). Datasets of the *Hymenochirus* RNA-seq short reads were deposited in NCBI GEO (accession number GSE76089). The epigenetic data have been deposited in NCBI’s Gene Expression Omnibus and are accessible through GEO Series accession numbers GSE76059 for ChIP-seq, MethylC-seq data are accessible through GEO Series accession number GSE76247. The sequence data from BAC and fosmid clones have been deposited to DDBJ/GenBank/EMBL under the accession numbers: (i) GA131508–GA227532, GA228275–GA244139, GA244852–GA274229, GA274976–GA275712, GA277157–GA344957, GA345673–GA350926 and GA351685–GA393223 for the XLB1 end-sequences; (ii) GA720358–GA756840 for the XLB2 end-sequences; (iii) GA756841–GA867435 for the XLFIC end-sequences and (iv) AP012997–AP013026, AP014660–AP014679, AP017316 and AP017317 for the finished BAC/fosmid sequences.

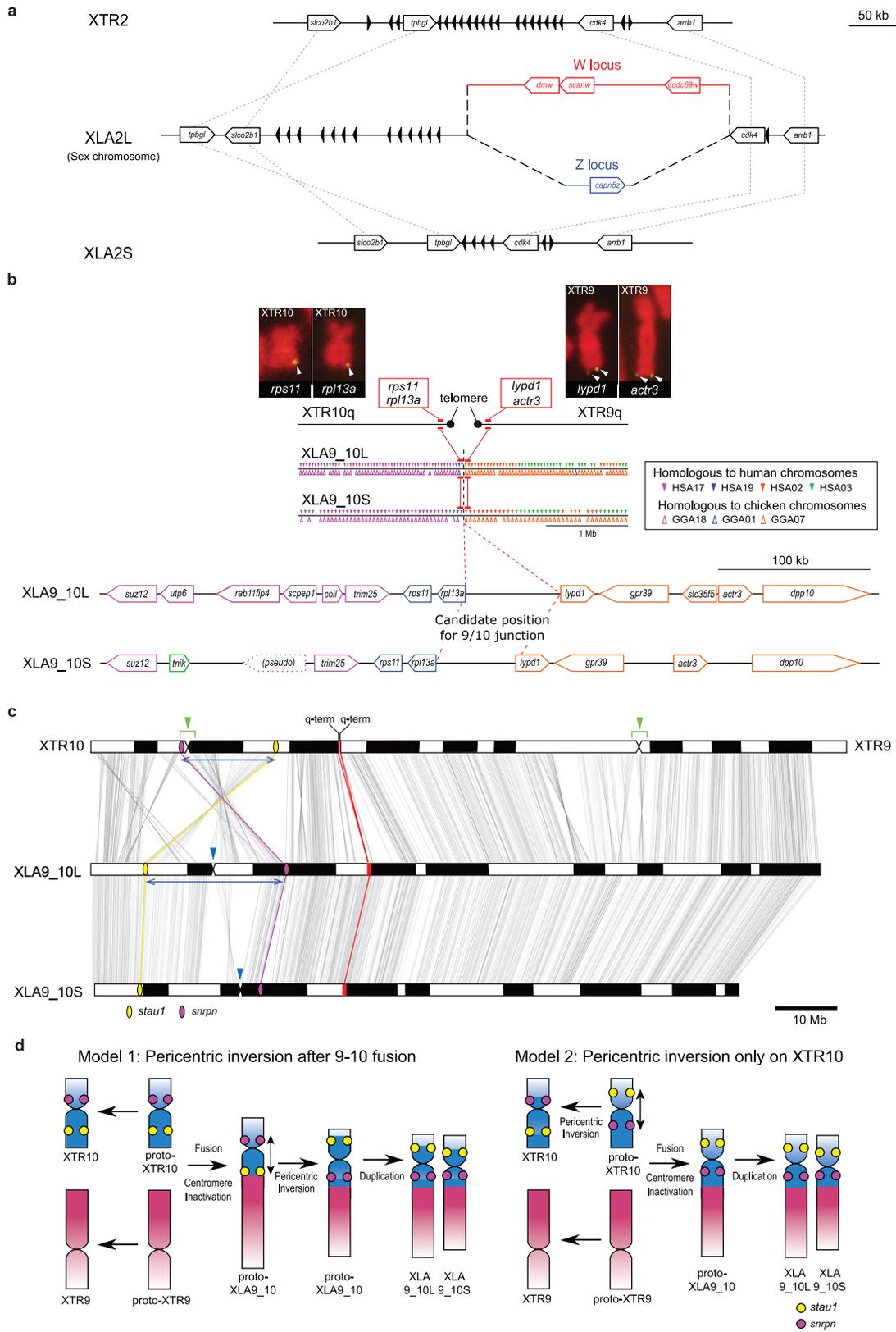
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Extended Data Figure 1 | See next page for caption.

**Extended Data Figure 1 | Allotetraploidy and assembly. a–e,** Scenarios for allotetraploid formation from distinct ancestral diploid species A and B. Horizontal single lines indicate normal gametes, horizontal double lines indicate unreduced gametes; black square represents fertilization; vertical double lines indicate spontaneous (somatic) genome doubling. **a,** (i) Fusion of unreduced gametes from species A and B. (ii) Interspecific hybridization followed by spontaneous doubling. (iii) Fusion of unreduced gametes produced by interspecific hybrids. (iv) Interspecific hybrids produce unreduced gametes, which fuse with normal gametes from species A. The resulting triploid again produces unreduced gametes, which fuse with normal gametes from species B. (v) Unreduced gamete from species A fuses with normal gamete from species B. The resulting AAB triploid produces unreduced gametes that are fertilized by normal gametes species B. See Supplementary Note 1.1 for a more detailed discussion. **b,** History of the J strain. See Supplementary Note 2.1 for details. The years of events and generation numbers (such as frog transfer to another institute, establishment of homozygosity, construction of materials) are indicated in the scheme. Generation numbers are estimates due to loss of old breeding records. **c,** The nucleotide distance of orthologues (green), homoeologues (red) and alleles (blue) is discussed in Supplementary

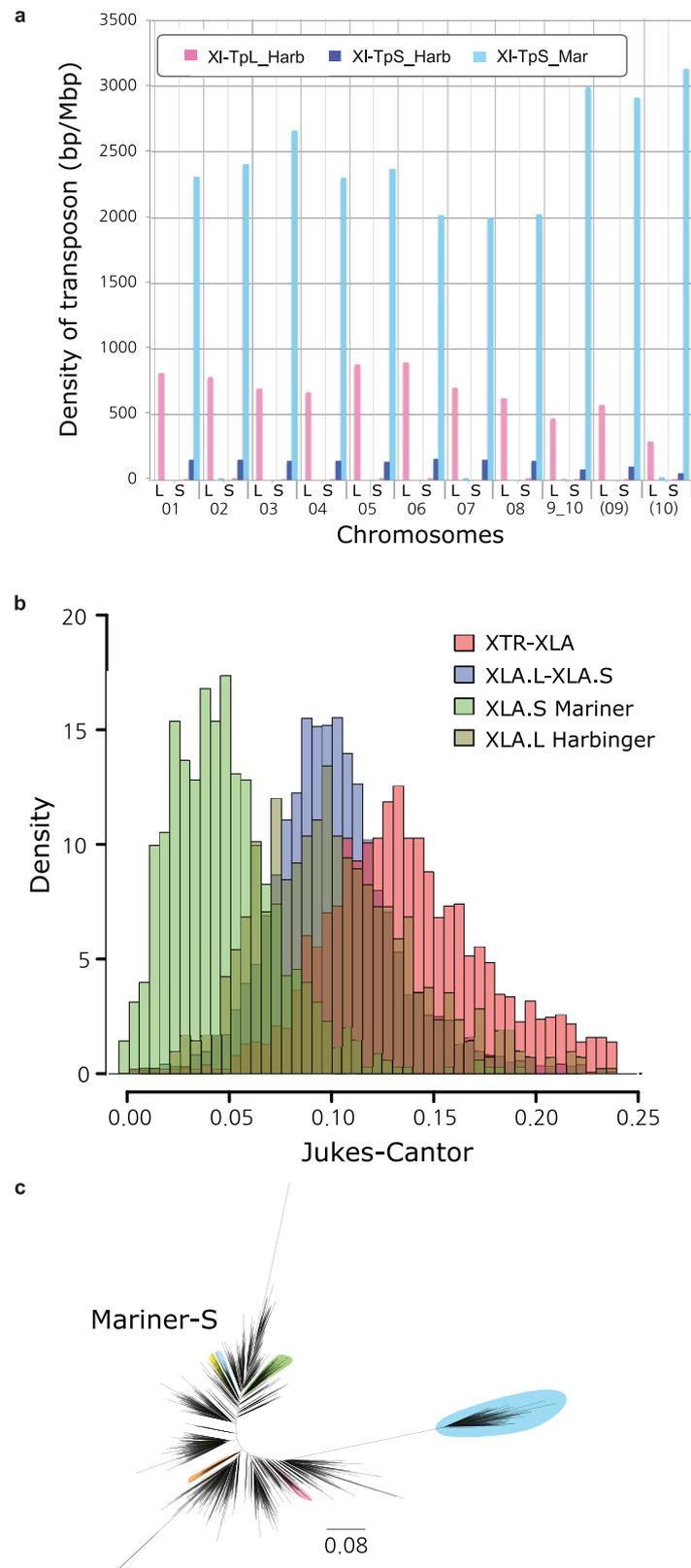
Note 8.7. The distances are shown on a log scale to differentiate between the distributions. **d,** Frequency histogram showing the number of 51-mers with specified count in the shotgun dataset. The prominent peak implies that each genomic locus is sampled  $29\times$  in 51-mers. Note the absence of a feature at twice this depth, indicating that homoeologous features with high identity are rare. **e,** Cumulative proportion of 51-mers as a function of relative depth (that is, depth/29). Relative depth provides an estimate of genomic copy number. The rapid rise at relative depth 1 implies that 70–75% of the *X. laevis* genome is a single copy with respect to 51-mers. The remainder of the genome is primarily concentrated in repetitive sequences with copy number  $> 100$ . Note logarithmic scale. **f,** The contact map of 85,260 TCC read pairs for JGIv72.000090484.chr4S. Read pairs were binned at 10-kb intervals. For each read pair, the forward and reverse reads map with a map quality score of at least 20. **g,** The contact map of 85,260 Chicago read pairs for JGIv72.000090484.chr4S, a 3.1-Mb scaffold in the XENLA\_JGI\_v72 assembly. **h,** The insert distribution of TCC and Chicago read pairs that map to the same scaffold of XENLA\_JGI\_v72 with a map quality score of at least 20. The *x* axis is the read pair separation distance. The *y* axis is the counts for that bin divided by the total number of reads. The bins are 1 kb.



Extended Data Figure 2 | See next page for caption.

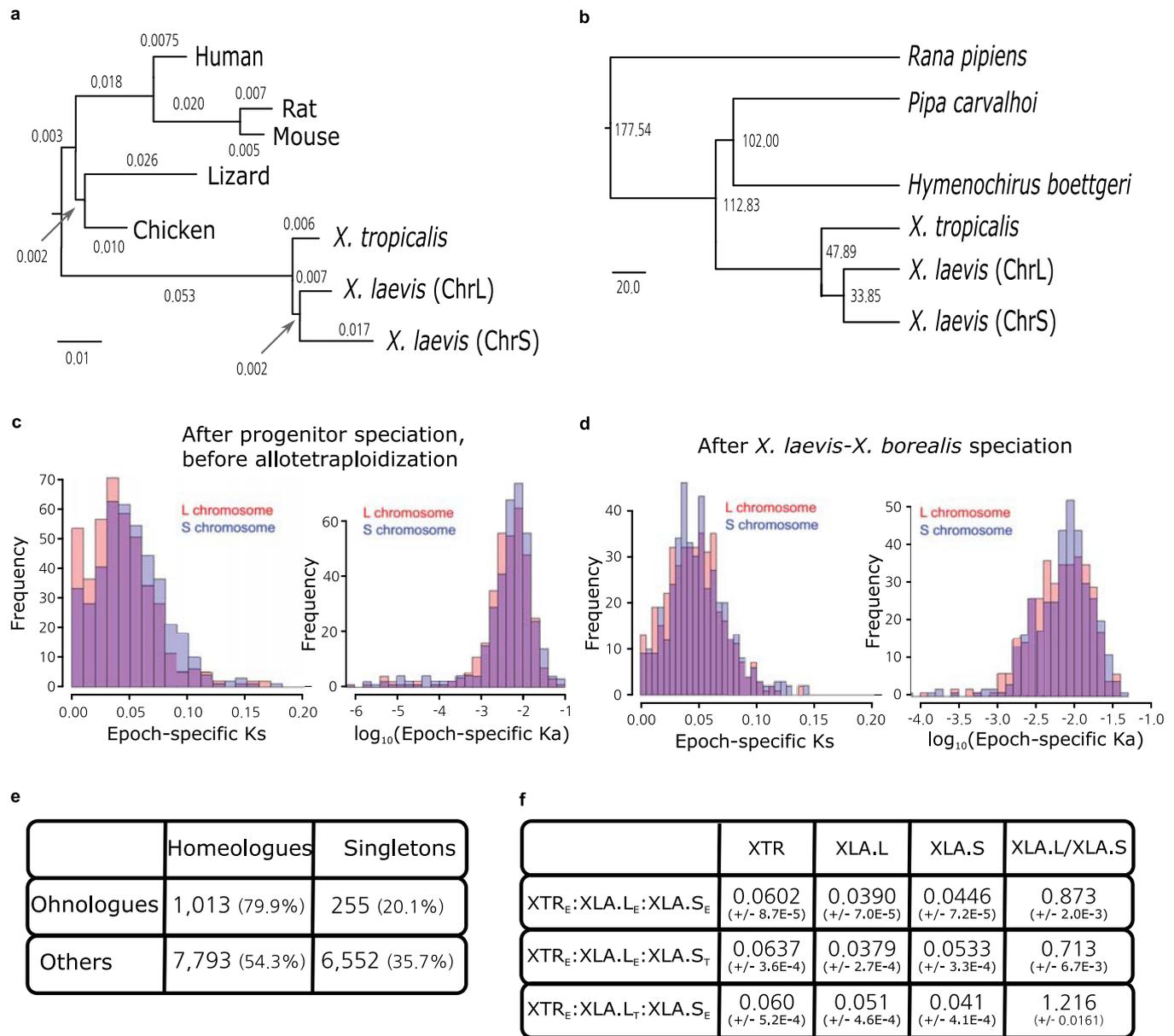
**Extended Data Figure 2 | Chromosome structure.** **a**, Structure of the sex chromosome of *X. laevis* (XLA2L) and comparison with XLA2S and XTR2. The W version of XLA2L harbours a W-specific sequence containing the female sex-determining gene *dmw* (red) while Z has a different Z-specific sequence (blue). Pentagon arrows and black triangles indicate genes and olfactory receptor genes, respectively. Their tips correspond to their 3'-ends. **b**, Alignment of the q-terminal regions of XTR9 and 10 with corresponding regions of XLA9\_10L and XLA9\_10S. Genes near the q-terminal regions of XTR 9 and XTR10 were missing in the *X. tropicalis* genome assembly v9, but *rps11*, *rpl13a*, *lypd1* and *actr3* were expected to be located there based on the synteny with human chromosomes, and then verified by cDNA FISH (upper panels). Small triangles on XLA9\_10L and S indicate the distribution of gene models showing both identity and coverage greater than 30%, against the human and chicken peptide sequences from Ensembl, in the region  $\pm 2$  Mb from the prospective 9/10 junction. HSA, human chromosome; GGA, chicken chromosome. The magnified view represents syntenic genes to scale with colours corresponding to human genes. **c**, The orders of orthologous genes across XTR9, XTR10, XLA9\_10L and XLA9\_10S. Green arrowheads: positions of centromeres in XTR9 and 10 predicted by examination of the cytogenetic chromosome length ratio of p versus q arms<sup>15</sup>. Blue

arrowheads: positions of centromere repeats, frog centromeric repeat-1 (ref. 55), in XLA9\_10L and S. Magenta and yellow ellipses, chromosomal locations of *snrpn* (magenta) and *stau1* (yellow) from *X. tropicalis* v9 and *X. laevis* v9.1 assemblies. Red ellipses, chromosomal locations of four genes, *rps11*, *rpl13a*, *lypd1* and *actr3*. XTR9 is inverted to facilitate comparison. Blue bidirectional arrows indicate the homologous regions where pericentric inversions may have occurred on proto-chromosomes (see Extended Data Fig. 2d). **d**, Schematic representation for the two hypothetical processes of chromosomal rearrangements (fusion and inversion) that occurred between the hypothetical proto-XTR9 and 10 to produce proto-XLA9\_10, and eventually XLA9\_10L and S. The process of chromosome rearrangements is explained parsimoniously in two different ways (left and right panels), starting from proto-XTR9 and 10. Actual and hypothetical ancestral chromosomal locations of *snrpn* and *stau1* are shown by magenta and yellow circles, respectively. Note that the chromosomal locations of these genes on the proto-XTR10 differ between the two models. Chromosome segments homologous to XTR9 and XTR10 are shown in red and blue, respectively. XTR9 is inverted to facilitate comparison. Bidirectional arrows indicate the regions where pericentric inversions may have occurred. Black arrows indicate the direction of chromosomal evolution.



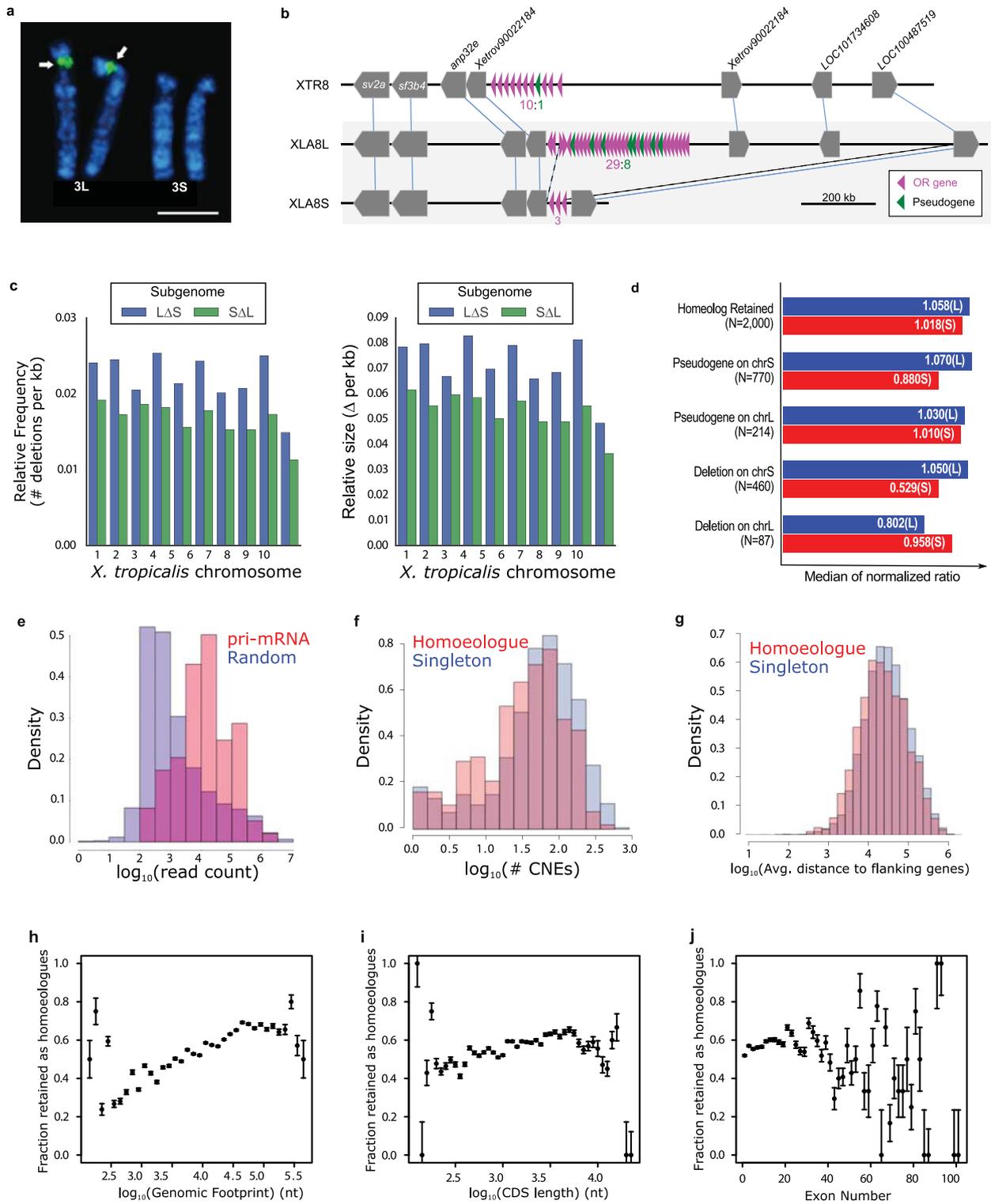
**Extended Data Figure 3 | Transposons.** **a**, Density of the subgenome-specific transposons on each chromosome (coverage length of transposable element (bp)/chromosome length (Mbp)). The coverage lengths of transposons were calculated from the results of BLASTN search (E-value cutoff  $10^{-5}$ ) using the consensus sequences as queries. **b**, Jukes-Cantor distances across non-CpG sites, corrected as in Supplementary Note 7.5. Distances between *X. tropicalis* and *X. laevis* transposons consensus sequences are shown. The *X. laevis*-specific transposon

differences are each individual transposon sequence against the consensus sequence for that subfamily. **c**, Phylogenetic tree of XI-TpS\_mar transposon expansions in the *X. laevis* genome, built using Jukes-Cantor corrected distances (Supplementary Note 7.5). Sub-clusters with enough members to determine accurate timings are highlighted. The scale bar represents the corrected Jukes-Cantor distance of 0.08 substitutions per site.



**Extended Data Figure 4 | Phylogeny.** **a**, Phylogenetic tree of pan-vertebrate conserved non-coding elements (pvCNEs), rooted by elephant shark. Alignments were done by MUSCLE, and the maximum-likelihood tree was built by PhyML. Branch length scale shown at the bottom. The difference in branch lengths of tetrapods follows the same topology as the protein-coding tree (Fig. 2b). **b**, Complete phylogenetic tree from Fig. 2a, with divergence times computed by r8s. **c**, Distribution of synonymous and non-synonymous rates  $K_s$  and  $K_a$  on specific subgenomes during the time between L and S speciation, before *X. laevis* and *X. borealis* speciation. We find accelerated mutations rates between T2 and T3 in  $K_s$  and  $K_a$  ( $P = 1.4 \times 10^{-5}$  (left),  $8.6 \times 10^{-3}$  (right)). **d**, Distribution of  $K_s$  and  $K_a$  on specific subgenomes during the time after *X. laevis* and *X. borealis* speciation. We do not find significantly accelerated substitution rates ( $P = 0.10$  (left) and  $P = 0.03$  (right)). **e**, Table showing the number of homeologues and singletons identified as homeologues from the ancient vertebrate duplication (or ohnologues as they were historically

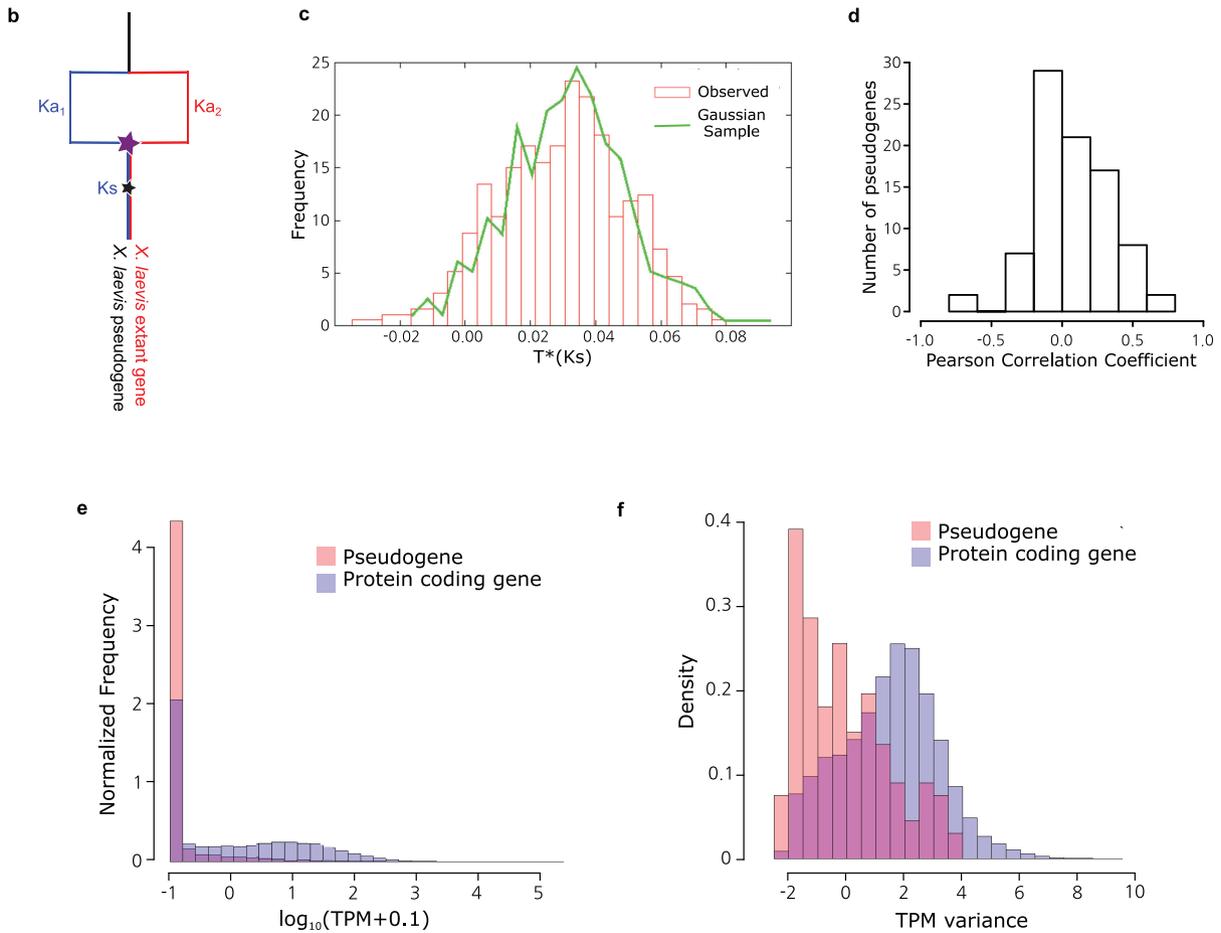
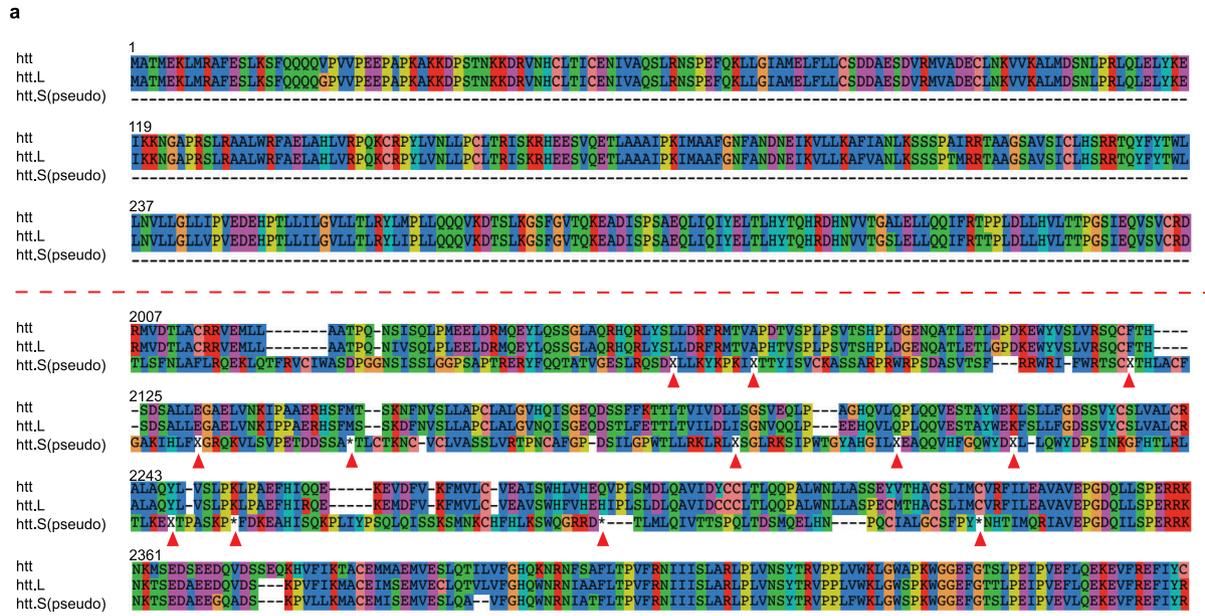
called)<sup>56</sup>, 79.9% of ohnologues retain both copies in *X. laevis* today, significantly more than the 54.3% of the rest of the genome after excluding ohnologues ( $\chi^2$  test  $P = 4.44 \times 10^{-69}$ ). **f**, Table showing the branch lengths of bootstrapped maximum likelihood trees described in Supplementary Note 12.5. The columns refer to the *X. tropicalis* (XTR), L chromosome of *X. laevis* (XLA.L), S chromosome of *X. laevis* (XLA.S) and XLA.L/XLA.S branch lengths respectively. The first row shows triplets where all genes show expression, the second row shows triplets where L is a thanagene, and the third row shows triplets where S is a thanagene. The L branch length is significantly smaller when all genes are expressed, or when S is a thanagene (Wilcoxon signed-rank test,  $P = 1.7 \times 10^{-216}$  and  $6.4 \times 10^{-212}$  respectively). The S branch length is smaller when L is a thanagene ( $P = 2.4 \times 10^{-223}$ ). The ratio of branch lengths (L/S) is significantly different for either L or S thanagene datasets compared to when all genes are expressed ( $P = 3.55 \times 10^{-214}$  and  $7.48 \times 10^{-220}$  respectively). The ratio is also different between the two thanagene datasets ( $P = 1.79 \times 10^{-217}$ ).



Extended Data Figure 5 | See next page for caption.

**Extended Data Figure 5 | Structural evolution.** **a**, Chromosomal locations of the 45S pre-ribosomal RNA gene (*rna45s*), which encodes a precursor RNA for 18S, 5.8S and 28S rRNAs, was determined using pHr21Ab (5.8-kb for the 5' portion) and pHr14E3 (7.3-kb for the 3' portion) fragments as FISH probes. DNA fragments used for the probes were provided by National Institutes of Biomedical Innovation, Health and Nutrition, Osaka, and labelled with biotin-16-dUTP (Roche Diagnostics) by nick translation. After hybridization, the slides were incubated with FITC-avidin (Vector Laboratories). Hybridization signals (arrows) were detected to the short arm of XLA3L, but not XLA3S. Scale bar, 5  $\mu$ m. **b**, A large deletion including an olfactory receptor gene (*or*) cluster. Schematic structures of *or* gene clusters and adjacent genes on the 8th chromosomes of *X. tropicalis* (XTR8) and *X. laevis* (XLA8L and XLA8S). Chromosomal locations: XTR8: 107,524,547–108,927,581; XLA8L: 105,062,063–106,610,199; XLA8S: 91,630,596–92,060,451. Horizontal bars, genomic DNA sequences; triangles, genes. Outside of *or* gene cluster, only representative genes are shown. The size of the triangle is to scale. The orientation of triangles indicates 5' to 3' direction of genes. Thin lines connect orthologous/homoeologous genes. Magenta triangles, *or* genes; green triangles, pseudogenes (point-mutated or truncated *or* genes). The number of *or* genes is shown underneath gene clusters. Dotted lines, a deleted region in XLA8S compared to XLA8L. The centromere is located on the left side and the telomere is on the right. **c**, The relative frequency (left panel) and size (right panel) of genomic regions deleted in the S (blue) and L (green) chromosomes respectively. Both subgenomes experienced sequence loss through deletions, but the deletions on the S subgenome are larger and have been more frequent. Deletions were called based on the progressive Cactus sequence alignment between the *X. laevis* L and S subgenomes and the *X. tropicalis* genome. Chromosome 9\_10 of *X. laevis* was split into 9 and 10 on the basis of alignment with the *X. tropicalis* chromosomes. Sequences from L that were not present on S, but could at least partially be identified in *X. tropicalis*, and consisted of gaps for no more than 25% of their length, were called as deleted regions in S. The same procedure was followed for deleted regions in L. **d**, Identification of triplet loci is described in Supplementary Note 8.1. Loci were classified into groups based on the presence of gene 2 in both *X. laevis* subgenomes (homoeologue retained), versus those that had a pseudogene in the middle (pseudogene) or no remnant of the middle gene as assessed by Exonerate (deletion). To normalize the intergenic lengths, we divided the

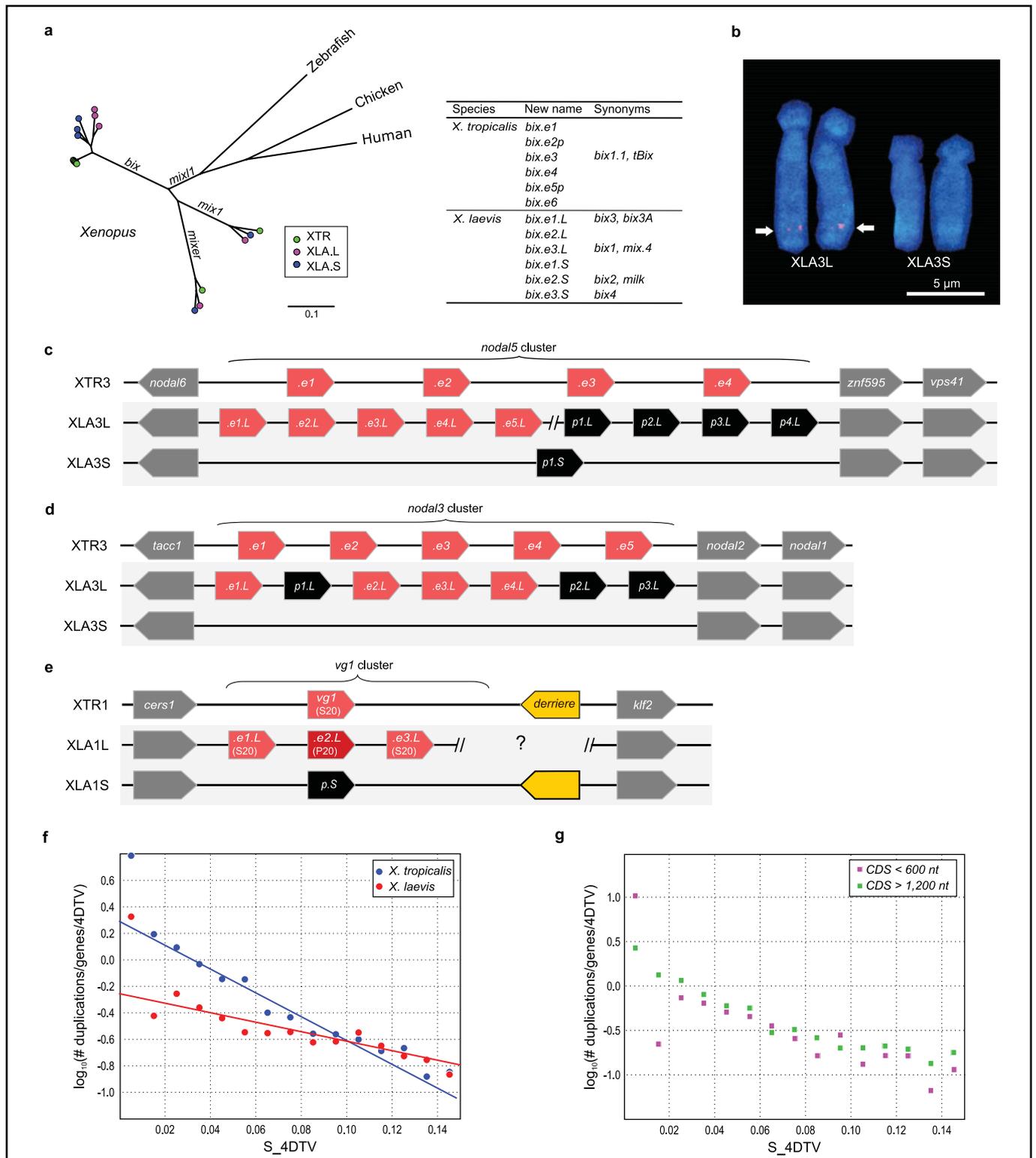
nucleotide distance between genes 1 and 3 in either *X. laevis* subgenome by the orthologous distance in *X. tropicalis*. The median of the normalized ratio distribution is plotted on the bar chart. On average, S deletions appear to be larger than L deletions (52.9% versus 80.2% of the size of the orthologous *X. tropicalis* region, respectively). **e**, The number of RNA-seq reads aligning  $\pm 1$  kb of precursor miRNA loci (red) was compared to the read count for 10,000 random unannotated 2.1 kb regions of the genome (blue). All 83 homoeologous, intergenic miRNA pairs showed alignment within their regions, as opposed to 4,127 out of 10,000 (41.27%) of the randomly chosen intergenic sequences. The putative primary-miRNA loci also have a higher read count than the expressed randomly chosen regions (Wilcoxon signed-rank test,  $P = 1.4 \times 10^{-38}$ ). **f**, The Cactus alignment was parsed to identify flanking CNE around each *X. tropicalis* gene. The number of CNEs > 50 bp in length for singletons is shown in red, homoeologues in blue. Kolmogorov-Smirnov test  $P = 10^{-11}$ . **g**, The average distance to the nearest gene was computed for each chromosomal locus in *X. tropicalis*. The average intergenic distance for those with a single *X. laevis* gene is shown in red, those with two shown in blue. Wilcoxon signed-rank test ( $P = 9.8 \times 10^{-24}$ ). **h**, The distribution of gene retention by genomic footprint of the *X. tropicalis* orthologue. We define genomic footprint as the genomic distance from the start signal of the coding sequence (CDS) to the stop signal, including introns. The *x* axis shows  $\log_{10}$ (genomic footprint), the *y* axis the retention rate of each bin. The error bars are the standard deviation of the total divided by the number of genes in each bin. We tested for significant differences in length between homoeologues and singletons by a Wilcoxon signed-rank test ( $P = 2.4 \times 10^{-96}$ ). **i**, The distribution of gene retention by CDS length of the *X. tropicalis* orthologue. The *x* axis shows  $\log_{10}$  (CDS length), the *y* axis the retention rate of each bin. The error bars are the standard deviation of the total divided by the number of genes in each bin. We tested for significant differences in length between homoeologues and singletons by a Wilcoxon signed-rank test ( $P = 1.7 \times 10^{-21}$ ). **j**, The distribution of gene retention by exon number of the *X. tropicalis* orthologue. The *x* axis shows number of exons; the *y* axis the retention rate of each bin. The error bars are the standard deviation of the total divided by the number of genes in each bin. We tested for significant differences in length between homoeologues and singletons by a Wilcoxon signed-rank test ( $P = 3.2 \times 10^{-8}$ ).



Extended Data Figure 6 | See next page for caption.

**Extended Data Figure 6 | Pseudogenes.** **a**, Illustration of *htt.S* pseudogene alignment to *X. tropicalis htt* and the extant *X. laevis htt.L*, translated to amino acids. The amino acid position is shown at the beginning of each line. Missing codons are marked by dashes. Frameshifts and premature stops are marked by X and \*, respectively (and pointed to with red arrows). The first exon of the pseudogene is completely missing from the S chromosome (top). The characteristic poly-Q region is maintained by both *htt* and *htt.L*. An exon with conservation in the pseudogene (bottom), illustrating that despite many frameshifts, premature stops, the lack of a proper start and insertions of new sequence, we identify many codons in the pseudogene that occur in large conserved blocks. **b**, Illustration of our model to compute pseudogene ages. The star represents the point of nonfunctionalization for a locus that is currently a pseudogene. We assume the expected rate of nonsynonymous changes can be estimated by the  $K_a$  of the extant gene and *X. tropicalis*. We then compare the  $K_s$  and  $K_a$  of the

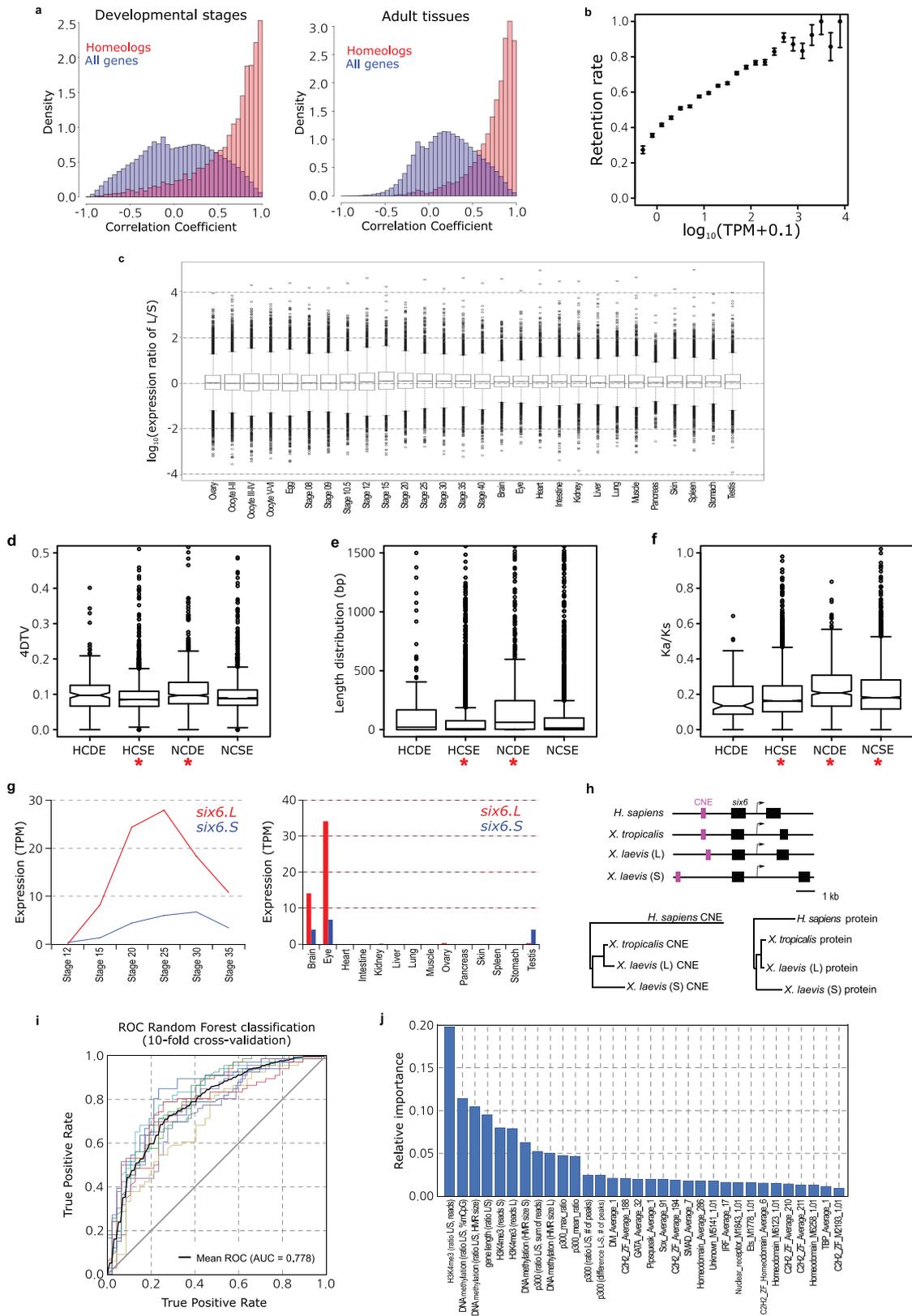
pseudogene sequence to estimate the time of nonfunctionalization. See Supplementary Note 9 for a more detailed discussion. **c**, Estimated epochs of pseudogenization for 430 genes are indistinguishable from a burst of pseudogenization >10 Ma ( $K_s > 0.03$ ). See Supplementary Note 9 for a more detailed discussion. **d**, Correlation of pseudogene expression with its extant homoeologue. The little expression seen in pseudogenes tends to be uncorrelated with the extant homoeologue. **e**, Histogram of pseudogene expression values across all 28 tissues and developmental stages (red) compared to all extant genes (blue). The pseudogenes are rarely expressed and tend to be expressed at lower levels than extant protein-coding genes. **f**, Histograms of expression variance of pseudogenes (red) compared to extant genes (blue). The small amount of pseudogene expression observed does not tend to vary across tissues and developmental stages in the same way that extant genes do.



Extended Data Figure 7 | See next page for caption.

**Extended Data Figure 7 | Tandem duplications.** **a**, Phylogenetic trees of the *mix/bix* cluster. Nucleotide sequences were aligned using MUSCLE and a phylogenetic diagram was generated by the ML method with 1,000 bootstraps (MEGA6). Circles with different colours represent *X. laevis* L genes (magenta), *X. laevis* S genes (blue) and *X. tropicalis* genes (green). The table shows the correspondence of *bix* gene names proposed in this study and previously used (synonyms). **b**, FISH analysis showing XLA3S-specific deletion of the *nodal5* gene cluster. One unit of the *nodal5* gene region, including exons, introns and an intergenic region was used as a probe for FISH (counterstained with Hoechst). Arrows indicate the hybridization signals of *nodal5s*. Scale bar, 5  $\mu\text{m}$ . **c**, Comparison of the *nodal5* gene cluster. Genome sequencing revealed that *nodal5.e1.L~.e5.L* (pink) and *nodal6.L* are clustered. Amplification of *nodal5* gene in XLA3L and loss of this cluster in XLA3S were confirmed. Pseudogenes (*nodal5p1.L~p4.L* and *nodal5p1.S*) are indicated in black. The *nodal5* cluster of *X. tropicalis* does not contain any pseudogene. **d**, The *X. laevis* L chromosome has four complete copies of *nodal3* (*nodal3.e1.L~.e4.L*), whereas the gene cluster is lost from the *X. laevis* S chromosome.

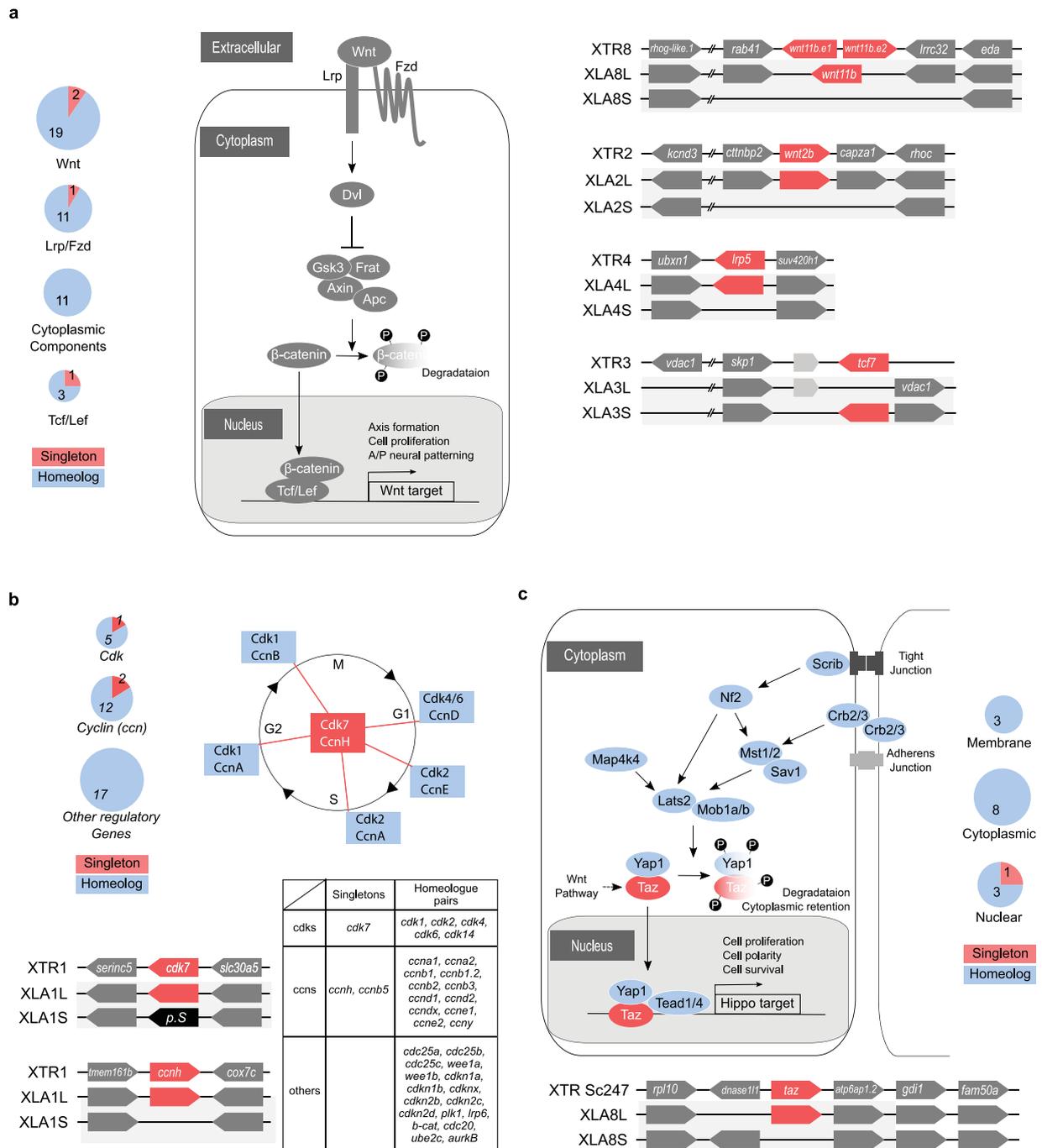
A truncated *nodal3* gene (*nodal3p1.L*) is likely to be a pseudogene and highly degenerate pseudogenes (*nodal3p2.L* and *nodal3p3.L*) also exist on the L chromosome. **e**, Like *nodal3*, *vg1* is lost from the S chromosome although there is a pseudogene (*vg1p.S*). *vg1* is specifically amplified on the *X. laevis* L chromosome (*vg1.e1.L~.e3.L*) in comparison with *X. tropicalis*. An amino acid change (Ser20 to Pro20) in Vg1 protein has been shown to result in functional differences (Supplementary Note 13.9). *vg1* and *derrière* are orthologous to mammalian *gdf1*. **f**, Fraction of all genes duplicated and retained to present epoch per 1 expected 4DTV (fourfold degenerate transversion) at different epochs (semi-log scale). Shown also are linear fits, which would be consistent with constant birth- and death-rate models (first epoch is omitted from both fitted datasets, as is second epoch from *X. laevis*). See Supplementary Note 11 for a more detailed discussion. **g**, Same as **f**, but for 'short genes' (CDS <600 bp) and 'long genes' (CDS >1,200 bp) separately. The loss rate of new duplicates appears to be similar. If the extra copy of a newly duplicated gene was lost when the first 100% disabling mutation occurred, we would expect, on average, the longer genes to be lost.



Extended Data Figure 8 | See next page for caption.

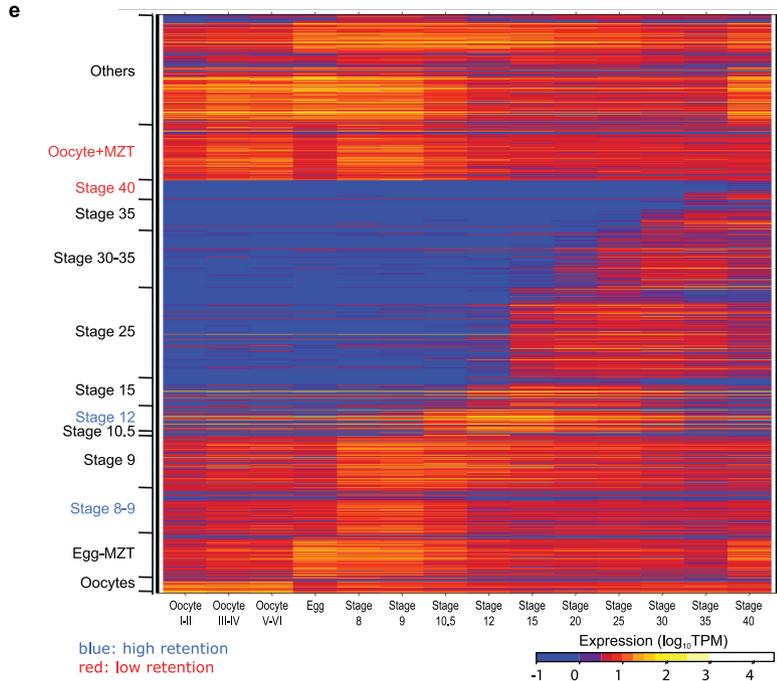
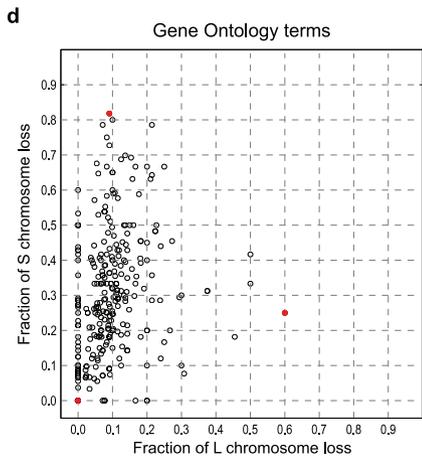
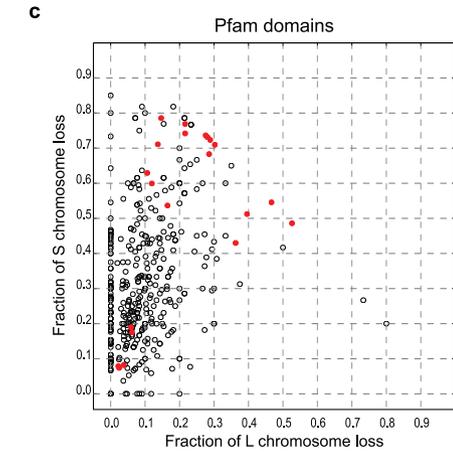
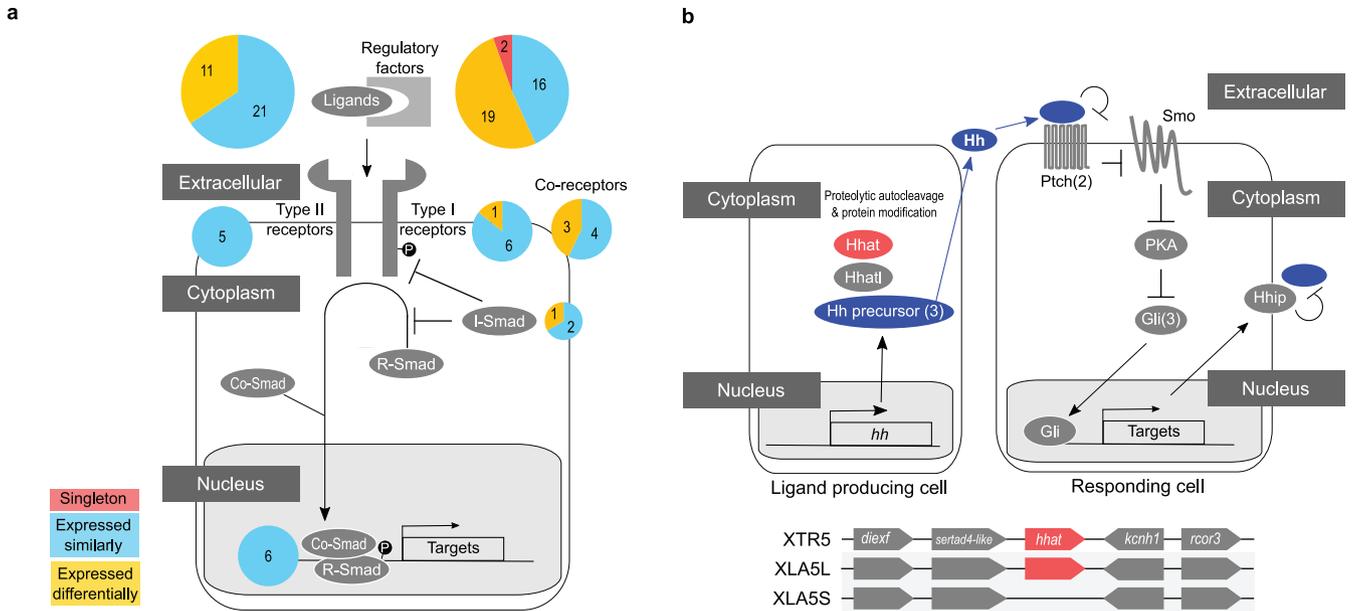
**Extended Data Figure 8 | Gene expression analysis.** **a**, Pairwise Pearson correlation distributions between homoeologous genes (red) and all genes (blue). Left histogram, stage data; right, adult data. The  $x$  axis shows the correlation; the  $y$  axis the percentage of data. The homoeologous genes have a correlation distribution closer to one owing to the fact that these were recently the same locus. *X. laevis* TPM values of 0.5 were lowered to 0. Any gene with no TPM > 0 was removed from analysis. We then added 0.1 to all TPM values and log transformed ( $\log_{10}$ ) them. **b**, Scatter plot comparing binned genes by their median *X. tropicalis* expression<sup>57</sup> to the retention rate of their *X. laevis* (co)-orthologues. Error bars are the standard deviation for the whole dataset divided by the square root of the number of genes analysed in a bin. We assessed significance by a Wilcoxon signed-rank test of the homoeologous and singleton distributions,  $P = 6.31 \times 10^{-113}$ . **c**, Full version of the box plot shown in Fig. 4c. The difference between subgenomes is difficult to see at this magnification, illustrating that many loci deviate from the whole genome median of preferring the L homoeologue. There were some L outliers expressed  $10^4$  as much as their S homoeologues, whereas no S genes showed such a strong trend. These differences are discussed in more detail in Supplementary Note 12. **d**, Box plot of 4DTV by homoeologue class defined in Supplementary Note 12.4. Significant differences are marked by a red asterisk (Wilcoxon signed-rank test,  $P < 10^{-5}$ ). The high correlation, similar expression (HCSE) group showed lower sequence change than other groups ( $P = 3.7 \times 10^{-12}$ ) and the no correlation, different expression (NCDE) group showed high rates of sequence change ( $P = 5.6 \times 10^{-14}$ ). **e**, Box plot of CDS length difference between *X. laevis* homoeologues by homoeologue class defined in Supplementary Note 12.4. Significant differences are marked by a red asterisk (Wilcoxon signed-rank test,  $P < 10^{-5}$ ). The HCSE group showed smaller CDS length differences than other groups ( $P = 2.4 \times 10^{-13}$ ) and the NCDE group showed large differences in homoeologue CDS length ( $P = 2.1 \times 10^{-32}$ ). **f**, Box plot of  $K_a/K_s$  between *X. laevis* homoeologues

by homoeologue class defined in Supplementary Note 12.4. Significant differences are marked by a red asterisk ( $t$ -test  $P < 10^{-5}$ ). The HCSE group showed lower non-synonymous sequence change than other groups ( $P = 8.2 \times 10^{-19}$ ) and the NCDE and no correlation, similar expression (NCSE) groups showed higher rates of non-synonymous sequence changes ( $P = 2.0 \times 10^{-12}$  and  $P = 7.0 \times 10^{-9}$  respectively). **g**, RNA-seq analysis of *six6.L* (red) and *six6.S* (blue) during *X. laevis* development (left) and in adult tissues (right). Expression levels of *six6.S* were lower than those of *six6.L* at most developmental stages and in adult tissues. **h**, Diagram of *Homo sapiens*, *X. tropicalis* and *X. laevis* *six6* loci (upper panel). Magenta and black boxes indicate CNEs and exons, respectively. The phylogenetic tree analyses of *H. sapiens*, *X. tropicalis* and *X. laevis* *six6* CNEs (lower left panel) and *Six6* proteins (lower right panel). Notably, *six6.S* is more diverged from *X. tropicalis* *six6* than *six6.L*, both in the encoded protein sequences and in CNEs within 3 kb of the transcription start sites. Materials, methods and the CNE locations on genome assemblies are described in Supplementary Note 13.1. **i**, On the basis of chromatin state properties, a Random Forest machine-learning algorithm can accurately predict L versus S expression bias. The classification is based on all genes with greater than threefold expression difference at NF stage 10.5 (a set of 1,129 genes). The mean (dotted black line) of the ROC area under the curve is 0.778 (tenfold cross-validation). Features were selected using Linear Support Vector Classification and are shown in **j**. **j**, Relative importance (based on Gini impurity) of selected features used in the Random Forest classification. All features used in the classification are shown. Among various variables, the ratios of H3K4me3 and DNA methylation at the promoter contributed most to the decision tree model. A difference in p300 binding in the genomic region surrounding the gene also contributed to the Random Forest classification, as did the presence or absence of a number of specific transcription factor motifs in the promoter.



**Extended Data Figure 9 | Examples of pathway responses. a**, The Wnt pathway. Left panel, several key components of the canonical Wnt pathway in the *X. laevis* genome. The numbers in brackets show the number of paralogues. Components that have homoeologous pair of genes or singletons are shown in blue or red, respectively. Each gene (*wnt*: 21 genes, LRP: 2 genes, Fzd: 10 genes, Dvl: 3 genes, Frat(GBP): 1 gene, GSK3: 2 genes, Axin: 2 genes, β-catenin: 1 gene, APC: 2 genes, TCF/LEF: 4 genes) was classified into 4 groups according to subcellular localization, and the number of singleton and homoeologue retained genes is shown by pie charts. Right panel, syntenies around four singleton genes. **b**, Cell cycle regulation. Upper right panel, diagram of the cell cycle and regulatory proteins critical to each phase. Cyclin H (*ccnh*) and Cdk7 constitute Cdk-activating kinase (CAK), a key factor required for activation of all Cdks. Genes encoding Cyclin H and Cdk7 (red), but not other regulators (blue), became singletons. Upper left panel, pie charts show the numbers of homoeologous pairs (blue) and singletons (red) in each functional category as indicated. Lower left panel, syntenies of *ccnh* and

*cdk7* loci in *X. tropicalis* and *X. laevis*. Lower right table, individual genes used for drawing the pie charts are shown in the table. **c**, The Hippo pathway. Upper panel, Hippo pathway components and retention of their homoeologous gene pairs. All genes for Hippo pathway components as indicated were identified in the whole genome of *X. laevis*. Blue icons indicate that both of the homoeologous genes are expressed in normal development and adult organs. The red icon, Taz, indicates a singleton. Yap is interchangeable with Taz in most cases, but TAZ, but not YAP, serves as a mediator of Wnt signalling (broken line). Pie charts show the numbers of homoeologue pairs (blue) and singletons (red) in each category of the Hippo pathway components classified according to subcellular localization. Lower panel, comparative analysis of syntenies around the *taz* gene. *X. tropicalis* scaffold247 is not incorporated into the chromosome-scale assembly (v9) and hence its chromosomal location is not known yet. The p arm termini of XLA8L and XLA8S are on the left. See Supplemental Note 13 for further details.



Extended Data Figure 10 | See next page for caption.

**Extended Data Figure 10 | Pathways continued. a,** The TGF $\beta$  pathway. Pie charts indicate the ratio of differentially expressed homoeologous pairs (orange) and singletons (red). Many of the extracellular regulatory factors are either differentially regulated or became singletons. Genes for a type I receptor, co-receptors and an inhibitory Smad are also differentially regulated. Multicopy genes such as *nodal3*, *nodal5* and *vg1* are not counted as singletons, even though those genes are deleted on S chromosomes. Instead, these and duplicated *chordin* genes are categorized into differentially regulated genes. **b,** The sonic hedgehog pathway. Upper panel, the simplified hedgehog pathway known in Shh signalling is schematically shown. Most signalling components are encoded by both homoeologous genes, whereas Hhat (shown in red) is encoded by a singleton gene. Where paralogues exist, the numbers of paralogues are shown in parentheses. In the left cell, the Shh precursor (Hh precursor) is matured through the process involving Hhat and Hhatl and secreted. In the right cell, the binding of Shh (Hh) to Ptch1 (Ptch) receptor inhibits Ptch1-mediated repression of Smo, leading to Smo activation and subsequent inhibition of PKA; otherwise PKA converts Gli activators to truncated repressors. As a consequence, Gli proteins activate target genes, such as Ptch1 and Hhip. The transmembrane protein Hhip binds Shh and suppresses Shh activity. Lower panel, schematic comparison of synteny around *hhat* genes of *X. tropicalis* chromosome 5 (top) and

*X. laevis* 5L chromosome (middle) and the corresponding region of *X. laevis* 5S chromosome (bottom). The diagram is not drawn to scale. **c,** Deletion rates on L (*x* axis) versus S (*y* axis) for different Pfam groups. For Pfam groups we computed the number of *X. laevis* single-copy genes (singletons) versus homoeologue pairs and computed the fraction retained. The line of expected L/S loss is based on the genome-wide average (56.4%). Red points show groups with high or low rates of loss ( $P < .01$ ). See Supplementary Table 5 for more information. **d,** Deletion rates on L (*x* axis) versus S (*y* axis) for different stage weighted gene correlation network analysis (WGCNA)<sup>54</sup> groups (visualized as a heatmap in Fig. 4a). For stage WGCNA groups we computed the number of *X. laevis* single-copy genes (singletons) versus homoeologue pairs and computed the fraction retained. The line of expected L/S loss is based on the genome-wide average (56.4%). Red points show groups with high or low rates of loss ( $P < .01$ ). **e,** Deletion rates on L (*x* axis) versus S (*y* axis) for different GO groups. For GO groups we computed the number of *X. laevis* single-copy genes (singletons) versus homoeologue pairs and computed the fraction retained. The line of expected L/S loss is based on the genome-wide average (56.4%). Red points show groups with high or low rates of loss ( $P < 0.01$ ). See Supplementary Table 5 for more information.