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5 Title:

6 Proposal of a new method to prove that unnecessary information is not drawn on the
7 image using statistical analysis

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25 Abstract:

26 The purpose of this study is to propose a new method of image evaluation

27 using statistical analysis. We used the Sign test and the Wilcoxon test to analyze the

28 statistical significance of image differences. Using this method, we evaluated whether

29 the small electrode of the DAP meter appears in the X-ray image. Two observed values,

30 which were obtained by averaging all values under all exposure conditions, were

31 compared. All the observation tests showed the same sign. Thus, the results proved that

32 the small electrode of the DAP meter is not present on the image. Using this method, it
33 became possible to prove that the electrode was not depicted, which was impossible to
34 determine using conventional methods. The method combining both the Sign test and
35 the Wilcoxon test can be useful in image evaluation.

36

37

38 **Keywords:**

39 dose area product (DAP) meter, observer test, Sign test, Wilcoxon test, significant
40 difference, small electrode

41 1 Introduction

42 The Sign test and Wilcoxon test are used to identify any statistically significant
43 differences in binomial distribution [1]. In the Sign test, + and/or - signs are given by
44 the magnitude of the value that is being examined. The P value is determined using the
45 smaller code numbers as follows (Equation 1):

46
$$P = ({}_n C_0 + {}_n C_1 + \dots + {}_n C_r) \left(\frac{1}{2}\right)^n \quad (1)$$

47 In Equation 1, the “n” represents the total code numbers to be compared, and “r”
48 indicates the smaller number. If the binomial probability (P) is >0.05, then the null
49 hypothesis cannot be denied. Thus, the presence or absence of a significant difference
50 cannot be determined.

51 The Wilcoxon test is also used to determine the statistical significance of any
52 differences, which are ranked according to the difference in the examined value. The +
53 and/or - codes are given by the differences. The ranksum (T) is obtained from the value
54 with the smaller code. The statistical significance of T is examined for the total code
55 numbers “n” and compared using the Wilcoxon test table (when “n” is smaller than 25).
56 If the ranksum T is >0.05, the null hypothesis cannot be ruled out and the difference is
57 not considered to be statistically significant. Both the Sign test and the Wilcoxon test
58 can prove that a there is a significant difference, but they cannot prove that there is no
59 significant difference. The null hypothesis cannot be denied because neither test can

60 distinguish whether there is no significant difference or whether the difference is not
61 significant because the number of samples is insufficient.

62 In Japan, the entrance skin dose is used to assess the radiation exposure of a
63 patient in the general imaging area. The diagnostic reference level (DRL) was published
64 by the Japan Network for Research and Information on Medical Exposure (J-RIME) in
65 2015; however, the DRL also reflects the entrance surface dose [2]. In Europe and the
66 United States, a dose area product (DAP) meter is used [3-8]. The DAP meters that are
67 currently commercially available can be mounted on the movable diaphragm of the
68 X-ray apparatus and a small electrode placed at the center can simultaneously estimate
69 the air kerma. The DAP meter used in this study is shown in Figure 1. The small
70 electrodes can clearly be seen. Although there is a risk of influencing the diagnosis if
71 they are depicted on the photographed X-ray image, there have been no studies to show
72 that the electrodes are not drawn. Only the X-ray absorption of the DAP meter has been
73 discussed, without considering the existence of the small electrode itself [9]. The DAP
74 meter used for evaluation is compliant to IEC 60580. The requirements of 4.8.5.4 of
75 IEC 60580 2nd edition specifically describe concepts such as the X-ray transmittance of
76 a DAP chamber. This requirement indicates that the quality of equivalent filtration of
77 the ionization chamber shall not exceed 0.5 mm aluminum with a purity > 99 %. (The
78 X-radiation generates an X-ray tube voltage of 70 kV with a percentage ripple < 10 %

79 and a total filtration of 2 mm aluminum.)

80 When visually evaluating images, we use statistical analyses to investigate the
81 significance of differences. By allowing participants to observe images in which a DAP
82 meter was installed and images in which a DAP meter was not installed, the absence of
83 the small electrode on the image can be proven if it can be demonstrated that the
84 difference is not statistically significant. However, while it is possible to prove a
85 significant difference, no statistical methodology exists to prove that a difference is not
86 significant. It is advantageous to obtain results that show that it is unnecessary to
87 consider the influence of the small electrode on the X-ray image, as it is rejected. This
88 proof implies that the DAP meter can be used freely. We analyzed the results of
89 observer tests using two values (defined as the correct answer fraction, CAF) and
90 proved that the small electrodes of the DAP meter do not appear on X-ray images by
91 proving that there was a significant difference in the CAF. The two values were
92 obtained by averaging all findings under all exposure conditions.

93

94 2 Materials and Methods

95 2.1. Creating and displaying an observed data set

96 We set up the DAP meter (PD-9100; Toreck Co., LTD. Yokohama, Japan) on
97 the movable diaphragm of a general X-ray system (MRAD-A50S/70; Toshiba Medical

98 Systems, Nasu, Japan) and an image of the observed data set was photographed using
99 our X-ray system. Table 1 shows the exposure conditions and Figure 2 indicates the
100 geometric scheme for the observation image. The conditions in Table 1 (nine types of
101 exposure conditions) include the maximum and minimum conditions in the clinical
102 setting. Thus, if a significant difference were to be observed in this experiment, then it is
103 recognized that there was no influence of the presence of the electrode on the X-ray
104 image on usual examination. When the DAP meter was present, two images were
105 obtained; when no DAP meter was present, one image was obtained. Each image was
106 obtained under the same exposure condition with and without the DAP meter. A total of
107 27 observation image data sets were obtained.

108 The observation image was read with a CR system, REGIUS model 170
109 (Konica Minolta, Tokyo, Japan). By setting the reading to manual, the entrance X-ray
110 dose is expressed linearly as a pixel value. The ImageJ software program (NIH,
111 available at <http://rsb.info.nih.gov/ij/>) was used to ensure that all images had the same
112 pixel value on the observation monitor. First, the original pixel value was converted
113 exponentially. Second, the average value was adjusted to the average pixel value of
114 condition C by the Divide function. Finally, it was returned to the logarithmic display.
115 When we displayed our images, we set the window level to 100 and the window width
116 to 500.

117

118 2.2 Observer test

119 Fifteen participants observed the images randomly. A RadiForce R22 (EIZO
120 Co., Ltd. Hakusan, Japan) was used as an observation monitor. A black piece of paper
121 with a square cut out was affixed in the same position as the small electrode and could
122 be observed on the monitor. Before the experiment, we explained to the observer that
123 the targeted small electrode was 4 cm × 4 cm in size on the image. We did not consider
124 the stimulus-response matrix. Likewise, irrespective of whether stimulation was present
125 or not, the right side of one 5 cm line segment was taken as the maximum value.

126 One participant observed all 27 images {(two signal (+) images + one signal (-)
127 image) × 9 exposure conditions = 27 images}. If the observer felt that the small
128 electrode (signal) was present, he/she placed a mark on the right side of a 5-cm line
129 segment. If the electrode was not present, then the observer placed a mark on the left
130 side. The position marked by the observer was displayed in length from the left end. Of
131 the 27 observed values, the position corresponding to the far-right side of the line
132 segment was regarded as the maximum value (defined as 1) of the participant. All other
133 results were normalized with a maximum value of 1. Fifteen participants performed the
134 same task, and the average value for each image was calculated. These average values
135 are shown as observed values.

136

137 2.3. Statistical analysis

138 In our study, the Sign test and Wilcoxon test were used to determine the
139 significance of differences. Briefly, the differences between the Sign test and the
140 Wilcoxon test are as follows: The Sign test simply analyzed which result was significant,
141 and this test is based on a binomial distribution. Therefore, in the Sign test, only the
142 direction of the difference is taken into consideration. On the other hand, the Wilcoxon
143 test not only shows the number, but it also ranks and displays the magnitude of both
144 differences (difference). In the Wilcoxon test, the magnitude of the difference is also
145 taken into consideration in the order of ranking; thus, its detection power is high.

146 In the conventional method, the presence or absence of the DAP meter is
147 compared with the standardized value. In other words, each result was compared (show
148 as the observed value) regardless of the presence or absence of the DAP meter. In the
149 proposed method, two types of CAF are used. The CAF of the DAP meter (+) was the
150 same as that of the conventional method; the other CAF was calculated as follows: $1 -$
151 $\{\text{observed value of the DAP meter (-)}\}$. If the other CAF was significantly higher than
152 the CAF of DAP meter (+), it proved that the small electrode was not depicted.

153

154 3 Results

155 3.1 Sign test

156 The exposure conditions are listed on the left side of Table 2 (A to I). The
157 results obtained by the conventional method are shown in the middle and the results
158 obtained by the proposed method on the right. In the middle of Table 2, the observed
159 value was used (for example, representative values for condition I were 0.205, 0.295).
160 We compared the observed values obtained when the DAP meter was included with
161 those obtained when the DAP meter was not included. The result was recognized as "+"
162 when the observed value of the images including the DAP meter was higher than that of
163 the images that did not include the DAP meter; while the value was recognized as "-"
164 when the value of the images including the DAP meter was smaller than the images that
165 did not include a DAP meter.

166 In the conventional method, the probability that "+" was three (and "-"
167 becomes 6 at the same time) was 0.254, and the probability was greater than the level of
168 significance ($P=0.05$). Thus, the null hypothesis could not be ruled out.

169 On the other hand, in the proposed method, when the images obtained with a
170 DAP meter were "+" the result was equivalent to the "observed value" and when the
171 images without a DAP meter were "-" the result was equivalent to the "1-observed
172 value". That is, " $1-0.295 = 0.705$ (condition = I)". As nine "+" signs were shown, the P
173 value was 0.002 based on equation 1 (the "-" sign is zero; $r = 0$). This probability was

174 <0.05. Thus, a significant difference was confirmed.

175

176 3.2 Wilcoxon test

177 Figure 3 shows two graphs of the output values for each condition. The
178 magnitude of the difference for each ranking is shown in Table 3. There were nine
179 exposure conditions and the code number was nine. Using the Wilcoxon test table, when
180 the number of codes (n) to be compared is 9, the point at which T shows significance (P
181 = 0.05) is 5.

182 In the conventional method, when the exposure conditions were three (C, D
183 and I), the values of the images without the DAP meter became higher (Table 3). The
184 ranksum T at this time was 17. The result (T = 17) was ≥ 5 and did not reach 0.05. Thus,
185 it was not considered to be a significant difference.

186 In contrast, under the proposed method, the values of the images without the
187 DAP meter were high for all conditions (right side of Fig. 3). The ranksum at this time
188 was 0. This result (T = 5) is considered to reflect statistical significance at a significance
189 level of 0.05. Based on these statistically significant results, it can be stated that the
190 small electrode was not included in the image.

191

192 4 Discussion

193 The entrance surface dose is used to measure radiation exposure in general
194 imaging areas in Japan. The published DRL also refers to the entrance surface dose,
195 which is measured with an ionization chamber dosimeter. However, we believe that the
196 dose can be more accurately measured by a method that considers the size of the X-ray
197 radiation field, such as the method that is used in Europe and the United States.
198 Commercially available DAP meters not only measure the area dose but also
199 simultaneously estimate air kerma, which is useful for simply estimating the dose. It is
200 also possible to keep the dosimeter attached to the X-ray apparatus and to measure
201 exposure during actual imaging. Before DAP meters can be used in a clinical setting,
202 there are many problems that must be solved, including how to handle the value of the
203 area dose. In this study, as a first step, we investigated whether the small electrode of
204 the DAP meter was depicted in X-rays.

205 We used the Sign test and Wilcoxon test. The Sign test only evaluates the
206 number of signs. On the other hand, the Wilcoxon test includes both the sign and the
207 magnitude of the sensitivity difference. Using the conventional method, neither method
208 showed a significant difference. If we can prove that there is no significant difference,
209 then it could be stated that the DAP meter is not shown; however, it is not possible to
210 prove that there no significant difference using conventional statistical methods.

211 In the proposed method, two types of CAF were devised for the statistical

212 analysis to prove that there was a significant difference between them. As a result, both
213 observation tests showed the same sign. If the CAF of the image without the DAP meter
214 was significantly higher, then the observer did not recognize the DAP meter in the
215 image. That is, the analysis would prove that the small electrodes of the DAP meter
216 were not shown on the image. Thus, the method described in the present study made it
217 possible to prove that the leads were not depicted, which is impossible with
218 conventional methods. The proposed method proved that neither the Sign test nor the
219 Wilcoxon test showed the presence of the small electrode of the DAP meter in the
220 image.

221

222 5 Conclusion

223 In our study, the Sign test and Wilcoxon test were used to analyze the statistical
224 significance of differences. In the proposed method, two types of CAF are used, and
225 significant differences were recognized in both tests. The proposed method
226 demonstrated that the small electrodes of the DAP meter were not observed in the
227 image.

228

229 Compliance with ethical standards

230 Conflict of interest

231 All authors declare that they have no conflicts of interest.

232 Human and Animal Rights

233 All study procedures involving human participants were performed in accordance with
234 the 1964 Declaration of Helsinki. Furthermore, this study did not contain any animals.

235 Informed Consent

236 Our institutional review board approved the use of the image database and students of
237 the Tokushima University in this observation study (authorization number: 2797).

238 Informed consent for the study was obtained from all participants.

239

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270

271 Figure captions

272 Table 1: Exposure conditions

273 Table 2: Result of the Sign test. The exposure conditions are listed on the left (A to I).

274 The results obtained by the conventional method are shown in the left and the

275 results obtained by the proposed method are shown on the right.

276 Table 3: Magnitude of differences of each exposure condition. These signs of these

277 differences are the same as those shown in Table 2.

278 Figure 1: Dose area product (DAP) meter. The small electrode placed at the center can

279 simultaneously estimate the air kerma.

280 Figure 2: Geometry scheme for the observation image. Only the small electrode of the

281 DAP meter is included in the image; the subject is not included.

282 Figure 3: Wilcoxon test results. The results obtained by the conventional method and the

283 proposed method are shown on the left and right, respectively.

284 In the conventional method, the probability (observed value) of the DAP

285 meter (-) was higher than that of the DAP meter (+) under the conditions C, D,

286 and I.

287 Contrarily, in the proposed method, the CAF of DAP meter (-) was higher

288 than that of DAP meter (+) under all conditions.

289



Figure 1: The dose area product (DAP) meter. The small electrode placed at the center can simultaneously estimate the air kerma.

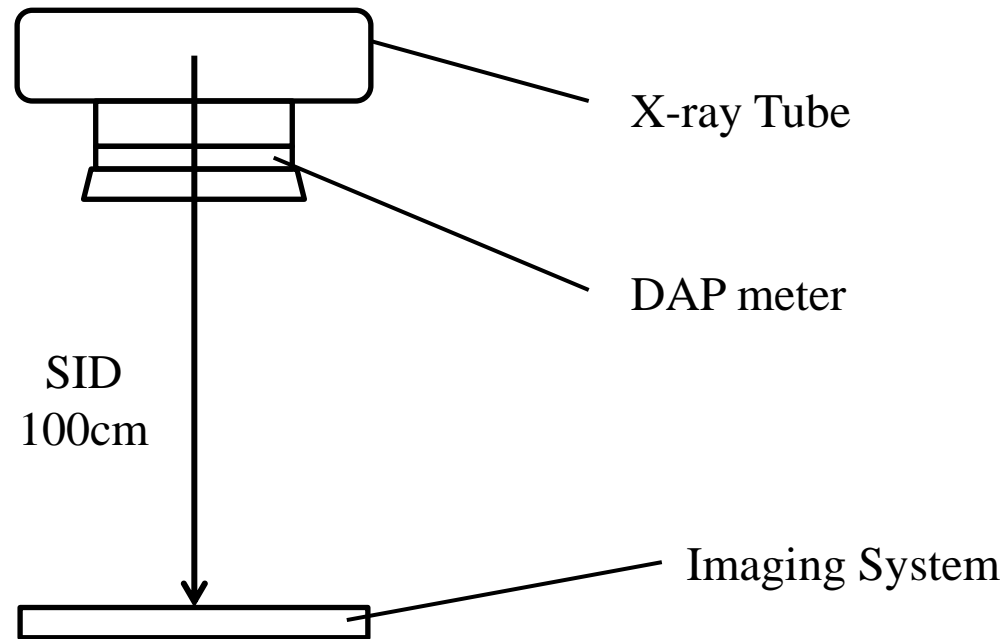


Figure 2: Geometry scheme for the observation image. Only the small electrode of the DAP meter is included in the image; the subject is not included.

Figure3

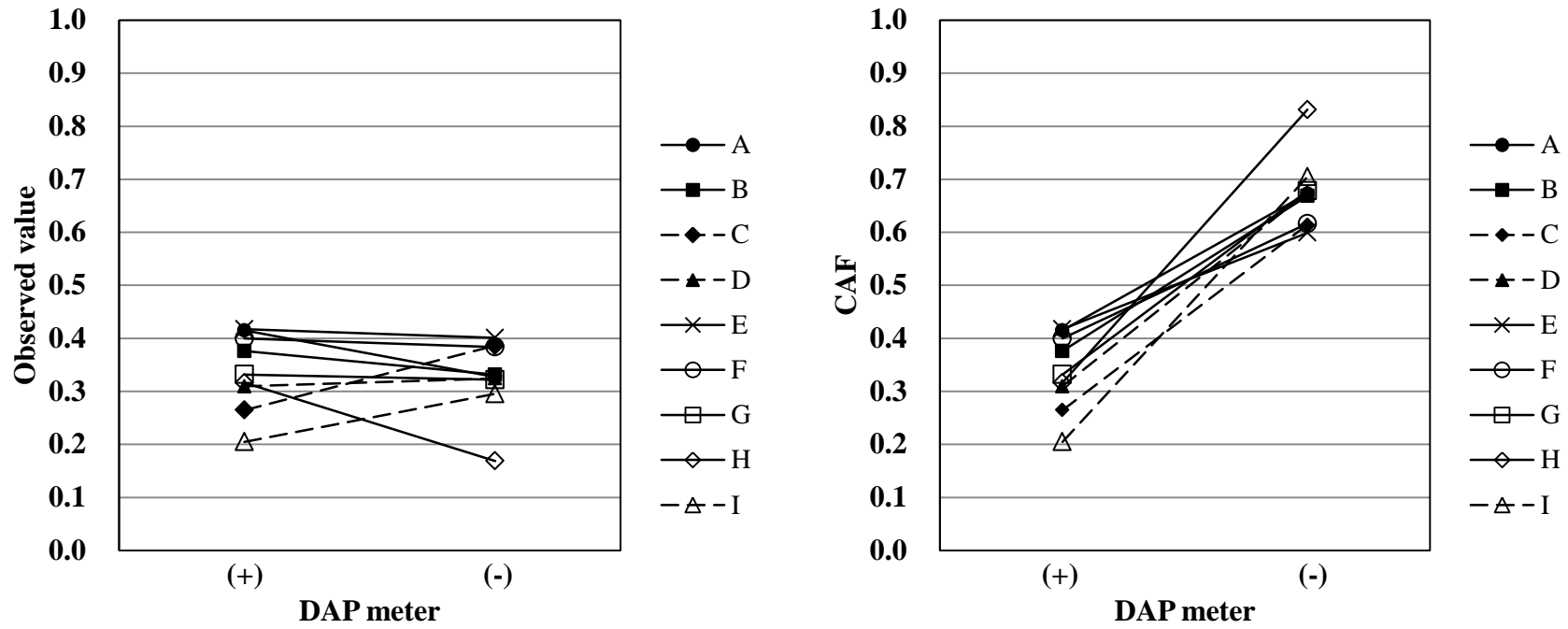


Figure 3: Observation results of Wilcoxon test; Left side was shown the conventional method and right side was figured the proposal method.

In the conventional method, the probability (observed value) of the DAP meter (-) were higher than those of the DAP meter (+) under the conditions C, D and I.

On the other hand, in the proposed method, the CAF of DAP meter (-) were higher than those of DAP meter (+) under all conditions.

Table 1: Exposure conditions

condition	Tube voltage (kV)	mAs
A	40	0.5
B	40	1.6
C	40	5
D	40	16
E	60	0.5
F	80	0.5
G	100	0.5
H	120	0.5
I	140	0.5

Table 3: The magnitude of differences of each exposure condition. These signs of these differences are the same as those shown in Table 2.

condition	DAP meter		difference	ranking
	(+)	(-)		
A	0.415	0.327	0.088	6
B	0.376	0.331	0.045	5
C	0.265	0.386	-0.122	8
D	0.309	0.324	-0.015	2
E	0.417	0.401	0.017	4
F	0.400	0.384	0.016	3
G	0.332	0.322	0.010	1
H	0.316	0.169	0.147	9
I	0.205	0.295	-0.091	7

Table 2: The result of Sign test. The exposure conditions are listed on the left (A to I). The results obtained by the conventional method are shown in the left and the results obtained by the proposed method are shown on the right.

condition	DAP meter		sign	condition	DAP meter		sign
	(+)	(-)			(+)	(-)	
A	0.415	0.327	-	A	0.415	0.673	+
B	0.376	0.331	-	B	0.376	0.669	+
C	0.265	0.386	+	C	0.265	0.614	+
D	0.309	0.324	+	D	0.309	0.676	+
E	0.417	0.401	-	E	0.417	0.599	+
F	0.400	0.384	-	F	0.400	0.616	+
G	0.332	0.322	-	G	0.332	0.678	+
H	0.316	0.169	-	H	0.316	0.831	+
I	0.205	0.295	+	I	0.205	0.705	+