

1 **Original Contribution**

2 Right ventricular myocardial stiffness and relaxation components by kinematic
3 model-based transtricuspid flow analysis in children and adolescents with
4 pulmonary arterial hypertension

5

6 Short title: RV stiffness and relaxation in PAH

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11 Disclosure

12 The authors declare that they have no conflicts of interest.

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1 **Abstract**

2 We hypothesized that the kinematic model-based parameters obtained from the
3 transtricuspid E-wave would be useful for evaluating RV diastolic property in pediatric
4 pulmonary arterial hypertension (PAH) patients. The model was parametrized by
5 stiffness/elastic recoil k , relaxation/damping c , and load x . These parameters were
6 determined as the solution of $m \cdot d^2x/dt^2 + c \cdot dx/dt + kx = 0$, which is based on the theory
7 that the E-wave contour is determined by the interplay of stiffness/restoring force,
8 damping/relaxation force, and load. The PAH group had a significantly higher k and c
9 versus the control group (182.5 ± 72.4 g/s² vs. 135.7 ± 49.5 g/s², $p = 0.0232$ and $21.9 \pm$
10 6.5 g/s vs. 10.6 ± 5.2 g/s, $p < 0.0001$, respectively). These results show that RV has a
11 higher stiffness/elastic recoil and inferior cross-bridge relaxation in the PAH group.
12 Present findings indicate the feasibility and utility of kinematic model parameters for
13 assessing RV diastolic function.

14

15 **Key words:**

16 Right ventricle; diastolic function; pulmonary arterial hypertension

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1 **Introduction**

2 Systemic hypertension is recognized as one of the major causes of diastolic dysfunction
3 in the left ventricle (LV) (Marwick et al. 2015). However, there is limited knowledge
4 regarding the effect of chronic pressure overload on the right ventricular (RV) diastolic
5 function. Since the assessment of the RV diastolic function is challenging (Rain et al.
6 2016; Murch et al. 2015), only a few studies have investigated the RV diastolic function,
7 particularly in pediatric pulmonary arterial hypertension (PAH) patients (Okumura et
8 al. 2014). Thus, development of an accurate measurement of the RV diastolic
9 dysfunction might help contribute to an improved clinical management of these patients
10 (Shiina et al. 2009; Yang W et al. 2018).

11 Noninvasive assessment of diastolic function is commonly achieved through
12 the use of pulsed Doppler echocardiography. Although conventional echocardiographic
13 parameters are often indicative of dysfunction, their utility in characterizing the
14 relaxation and stiffness/elastic recoil is limited (Cosson and Kevorkian JP 2003). To
15 overcome the limitations of these parameters, Kovács et al. quantified LV diastolic
16 function using a mechanistic model of filling that was determined by cross-bridge
17 uncoupling relaxation, elastic recoil/restoring forces, and initial displacement (load)
18 (Kovács et al. 1987; Bauman et al. 2004; Shmuylovich and Kovács 2006). Based on these
19 findings, we attempted to further evaluate the RV diastolic function by analyzing the
20 transtricuspid E-waves using the parametrized diastolic filling (PDF) formalism. We
21 recently demonstrated the feasibility and usefulness of kinematic model parameters
22 obtained from RV pressure waveform for evaluating RV diastolic function (Hayabuchi et
23 al. 2018). It showed that the PAH group had higher stiffness/restoring and inferior
24 cross-bridge relaxation than the control group. However, these results in our previous
25 studies are obtained by invasive examination. In this investigation, noninvasive
26 assessment by using pulsed Doppler E-wave was examined in PAH patients.

1 We hypothesized that the physical and physiological principles govern the
2 transtricuspid flow pattern and that a mathematical model would be able to correctly
3 quantify the pathological RV diastolic property in children and adolescents with PAH.

4 5 **Materials and Methods**

6 **Study Population**

7 This prospective study enrolled 10 consecutive pediatric idiopathic PAH
8 patients (PAH group; mean age \pm standard deviation [SD], 12.8 ± 5.4 years; age range,
9 8-19 years). We also enrolled 34 consecutive age-matched healthy subjects without chest
10 X-rays and electrocardiographic or echocardiographic abnormalities (control group;
11 mean age, 11.3 ± 3.4 years; age range, 7-19 years).

12 Data analyzed in the study were collected from December 2013 to October 2017.
13 All study protocols conformed to the ethical guidelines of the Declaration of Helsinki
14 (1975) and were approved by the Institutional Review Board of Tokushima University
15 Hospital. Written, informed consent for their children to participate in the study was
16 provided by the parents.

17 18 **Echocardiography**

19 Standard and pulsed Doppler echocardiography was performed using a Preirus
20 digital ultrasound system (Hitachi-Aloka Medical Co., Tokyo, Japan) equipped with
21 1–5- and 3–7-MHz sector transducers. All Doppler data were acquired from patients in
22 the left lateral decubitus position during shallow respiration or end-expiratory apnea.
23 Participants were assessed by conventional, M-mode, pulsed, and color Doppler
24 echocardiography. Transmitral and transtricuspid diastolic blood flow velocities were
25 determined in the apical 4-chamber view by placing the pulsed Doppler sample volume
26 at the tip of the valve leaflets. Mitral and tricuspid annular velocities were recorded

1 using the pulsed wave tissue Doppler imaging (TDI) technique. The conventional
2 echocardiographic parameters that were measured included: E-wave acceleration time
3 (AT), deceleration time (DT), peak E- and A-wave velocities (E-peak and A-peak,
4 respectively), and the E/A ratio. Peak early mitral and tricuspid annular velocity (e')
5 and peak late annular velocity (a'), E/e' , and e'/a' were similarly calculated from the
6 Doppler tissue recordings. Values were calculated for each of the 5 wave images in each
7 subject and then averaged.

8

9 **Doppler E-wave analysis using kinematic model**

10 For each subject, 5 transmitral and transtricuspid E- and A-waves were
11 selected. Transmitral and transtricuspid E-waves were evaluated as follows. Using a
12 custom MATLAB release 2015a (MathWorks, Natick, MA, USA) program, beats with
13 clear contours were selected, digitized, and cropped. **The maximum velocity envelope**
14 **was determined from the digitized E-wave image and then used to obtain the**
15 **automated PDF fit. For the purpose of detecting the appropriate velocity profile, the**
16 **program searches each time point of the image from the top down for the first pixel**
17 **having a brightness higher than or equal to a user defined threshold level, matching**
18 **each time point with a velocity. Furthermore, it is assumed that there must be an upper**
19 **limit to the velocity difference between two time points a few milliseconds apart. The**
20 **algorithm accordingly discards a detected velocity that differs too much from the**
21 **previous velocity. Discarded velocities are displayed for reference and transparency as**
22 **to the behavior of the algorithm.**

23 The E-waves were then used to compute the PDF parameters. The PDF
24 formalism characterizes the suction-initiated transmitral or transtricuspid flow. This is
25 analogous to the kinematics of a previously displaced, damped, harmonic oscillator after
26 it recoils from a resting state. This methodology utilizes Newton's Second Law, with the

1 predictions of the E-wave contours parametrized on the basis of the chamber stiffness,
 2 relaxation/viscoelasticity, and the load. The equation that describes the balance of forces
 3 in a damped harmonic oscillator is:

$$m \frac{d^2x}{dt^2} + c \frac{dx}{dt} + kx = 0 \quad (1)$$

7
 8 where m (g), c (g/s) and k (g/s²) represent inertia, relaxation (damping) and ventricular
 9 stiffness/elastic recoil (spring constant), respectively. The parameter x_0 (cm) indicates
 10 the load and represents the initial displacement of the spring before motion, which
 11 corresponds to the elastic strain stored in the myocardium and surrounding structures
 12 available at the mitral or tricuspid valve openings that facilitate the mechanical recoil
 13 (Kovács et al. 1987; Bauman et al. 2004; Shmuylovich and Kovács 2006). When the
 14 initial velocity (dx/dt) of the system is zero, this corresponds to no transmitral or
 15 transtricuspid flow prior to the valve opening. The inertial term m (g) is normalized to 1
 16 in order to enable the computation of c and k per unit mass. These parameters (k , c , and
 17 x_0) can be directly determined from the clinical E-wave contour. The estimated model
 18 parameters are averaged values within the cardiac phase of interest. Expressions
 19 describing the velocity of motion as a function of time are derived from the foundational
 20 equation (1). For underdamped cases, which are defined by $c^2 - 4k < 0$, the expression
 21 for the velocity (v) as a function of time (t) is

$$v(t) = \frac{-kx_0}{\omega} \exp(-c \cdot t/2) \cdot \sin(\omega \cdot t) \quad (2)$$

25 where

$$\omega = \sqrt{4k - c^2} / 2$$

For the overdamped cases, which are defined by $c^2 - 4k > 0$, the expression is

$$v(t) = \frac{-kx_0}{\beta} \exp(-c \cdot t/2) \cdot \sin(\beta \cdot t) \quad (3)$$

where

$$\beta = \sqrt{c^2 - 4k} / 2$$

For critically damped cases, which are defined by $c^2 - 4k = 0$, the expression is

$$v(t) = -kx_0 \cdot t \cdot \exp(-c \cdot t/2) \quad (4)$$

The output of the Levenberg–Marquardt algorithm is used to determine the PDF parameter values for k , c , and x_0 , while the E-wave maximum velocity envelope is used as the input via a custom LabVIEW 2016 (National Instruments, Austin, TX, USA) interface. The gold-standard methods (simultaneous micromanometric hemodynamics and echocardiography) have been extensively used to validate the physiological interpretation. Results have shown these parameters are causally related to the chamber properties that determine diastolic function (Kovács et al. 1987; Kovács et al. 1997; Kovács et al. 2000; Lisauskas et al. 2001). Physiological conditions can additionally be determined from the damped harmonic oscillator derived parameters such as kx_0 , which is the peak force in the spring that corresponds to the peak atrioventricular pressure gradient that generates the E-wave (Kovács et al. 1987; Bauman et al. 2004); $1/2kx_0^2$, which indicates the stored potential elastic energy that is capable of generating the recoil (Kovács et al. 1987); the peak resistive force (cE -peak),

1 which is the force that resists filling at the peak flow; and c^2-4k , which indicates the
2 balance between the factors both driving and resisting the ventricular filling (Kovács et
3 al. 1987; Bauman et al. 2004; Shmuylovich and Kovács 2006). The consecutive 5
4 selected beats in each subject were used to calculate the c , k , and x_0 averages, as well as
5 the other indexes that corresponded to the determinants of the E-wave.

6 In the first step of our study, we compared the kinematic model parameters c , k ,
7 and x_0 between the LV and the RV in the control group in order to assess the
8 characteristics of the normal RV diastolic physiology. Subsequently, results from the
9 E-waves in the PAH group were compared with those obtained from the normal RV to
10 elucidate the RV diastolic pathophysiology during the RV pressure overload.

11

12 **Statistical analysis**

13 All data are expressed as mean \pm SD or as median with range from the
14 minimum to maximum. The significance of difference was determined using the
15 Mann-Whitney U -test or Student's t -test, as appropriate. Linear regression analyses
16 were performed for correlations between the kinematic parameters and hemodynamic
17 parameters, and Pearson's correlation coefficients were calculated. All statistical data
18 were analyzed using Prism (version 6.0; GraphPad Software, San Diego, CA, USA) and
19 JMP 11 (SAS Institute, Inc., Cary, NC, USA). $P < 0.05$ (2-sided) was considered to
20 indicate significance. Intra-observer variability was assessed by one investigator, who
21 conducted measurements on the same patients 8 weeks apart, while the inter-observer
22 variability was assessed by a second investigator who was unaware of the previous
23 results and performed the same measurements on 20 randomly selected participants.
24 Intra- and inter-observer agreements were assessed using intraclass correlation
25 coefficients (ICCs). In addition, agreement between investigators was tested using a

1 Bland-Altman analysis by calculating the bias (mean difference) and 1.96 SD around
2 the mean difference.

3

4 **Results**

5 None of the subjects were excluded from the analysis due to suboptimal
6 Doppler E-wave recordings. As a result, the study population comprised 10 PAH
7 patients (PAH group; mean age \pm SD, 12.8 ± 5.4 years; age range, 8–19 years) and 34
8 healthy subjects (control group; 11.3 ± 3.4 years; 7–19 years). Table 1 presents the
9 participants' clinical and echocardiographic data, along with the ranges. The groups
10 were well matched, and no statistically significant differences were observed between
11 the groups for any of the clinical categories. Figure 1 shows 2 representative examples
12 of transtricuspid Doppler E-wave velocity profile edge detection and fitted curves. These
13 examples demonstrate the method and process on how the digitized E-wave image was
14 used to determine the maximum velocity envelope, from which the automated PDF fit
15 was obtained.

16

17 **PDF indexes of normal LV and RV in the control group**

18 The kinematic model parameters were compared between the normal LV and
19 RV. In the control group, k , c , and x_0 were significantly lower in the normal RV versus
20 the normal LV (Table 2). These results indicate that normal RV exhibits lower
21 stiffness/elastic recoil, superior cross-bridge relaxation, and a lower initial load. Model
22 validation was assessed in all 34 participants. During the assessment of the kinematic
23 model fit, there was a consistent and significantly lower mean square error (MSE,
24 cm^2/s^2) for the RV versus the LV, **thereby demonstrating that a mathematical difference**
25 **between the detected envelop and the fitting curve is smaller. This might result from**
26 **the fine fitting of RV diastolic performance to the PDF model, or less noise/scattering in**

1 the ultrasound signal of the transtricuspid flow.

2

3 **Conventional RV diastolic parameters in the control and PAH groups**

4 Table 3 shows the conventional and kinematic model-based diastolic
5 parameters in the control and PAH groups. The E-peak was significantly lower, whereas
6 the A-peak was significantly higher in the PAH versus the control group. Similarly, the
7 value of e' was significantly lower, while a' was significantly higher in the PAH group.
8 E-waves of the PAH group revealed there was a significantly shorter AT, whereas there
9 was no significant difference found between the PAH and control subjects for DT.
10 Furthermore, there was no significant difference for the value of E/e' between the 2
11 groups. Guidelines for the echocardiographic assessment of the right heart from the
12 American Society of Echocardiography (ASE) recommend using the 3 Doppler
13 parameters, E/A, E/e' , and DT, for the evaluation of RV diastolic dysfunction (Rudski et
14 al. 2010). The normal range of E/A has been shown to be 0.8 to 2.1, with an $E/e' >6$ and
15 $DT <120$ ms defined as indicative of an abnormal diastolic function. Since these criteria
16 are adapted for adults, we understand that assessments using these criteria could be
17 relatively problematic in our subjects (Rudski et al. 2010; Berman et al. 1990; Innelli et
18 al. 2009; Zoghbi et al. 1990). Our results showed that only the E/A ratio exhibited a
19 significant difference between the control and PAH groups, whereas there was no
20 significant difference in E/e' and DT (Fig.2A-C).

21

22 **Kinematic model-based diastolic parameters of the RV in the PAH group**

23 As compared to the control group, the PAH group exhibited significantly
24 greater values for the parameters k (182.5 ± 72.4 g/s² vs. 135.7 ± 49.5 g/s², $p = 0.0232$)
25 and c (21.9 ± 6.5 g/s vs. 10.6 ± 5.2 g/s, $p < 0.0001$). These results demonstrate that the
26 PAH RV has a higher stiffness and inferior active relaxation in diastole. The PAH and

1 control groups had an indistinguishable initial load prior to the tricuspid valve opening
2 (x_0 : 7.7 ± 2.4 cm in PAH; 8.2 ± 2.9 cm in the control group) (Fig. 2D-F). The PAH group
3 also exhibited greater values of cE-peak, kx_0 , and $1/2kx_0^2$ (Table 3).

5 **Correlation between kinematic parameters and RV performance in the PAH group**

6 In order to analyze the relationships between the kinematic –based parameter
7 values and PAH severity and RV systolic performance, we evaluated the correlation
8 between kinematic parameters and RVFAC (right ventricular fractional area change)
9 and TRPG (tricuspid regurgitation peak gradient) (Fig. 3A-F). RVFAC did not have
10 significant correlation with k , c , and x_0 . Although there was significant correlation
11 between TRPG and k ($r = 0.778$, $p = 0.0008$), TRPG did not have significant correlation
12 with c and x_0 .

14 **Reproducibility**

15 To assess the reproducibilities of the conventional and the kinematic
16 parameters, intra- and inter-observer variabilities in the measurements were confirmed
17 in 20 randomly selected participants (15 control and 5 PAH) by means of ICCs and
18 Bland-Altman analysis (Table 4). With the exception for the AT, the ICCs of the
19 kinematic model-based parameters, k , c , and x_0 , for intra- and inter-observer
20 variabilities were relatively lower than conventional parameters, which included the
21 E-peak, A-peak, DT, e' , and a' . However, the Bland-Altman analysis showed there was a
22 minimal bias physiologically and clinically, in addition to a substantial agreement for
23 reproducibility.

25 **Discussion**

26 The present study demonstrated that the causality-based RV diastolic function

1 assessment by analysis of the transtricuspid E-waves via the PDF method generated
2 parameters of chamber stiffness/elastic recoil and relaxation/viscosity that could be
3 differentiated between the PAH patients and the age-matched control subjects.

4 The parameter k represents the chamber stiffness/elastic recoil property. Due
5 to its thin wall, the RV is considered to be a passive compliant chamber, and thus, the
6 parameter k of the RV was found to be much lower than that for the LV in the control
7 group. Recent studies have demonstrated RV hypertrophy with extracellular collagen
8 deposition (Rain et al. 2013), increased sarcomeric stiffness ((Rain et al. 2014), and
9 changes in the giant elastic protein titin isoform and phosphorylation (LeWinter and
10 Granzier 2010; Anderson et al. 2010; Hidalgo et al. 2009; Hudson et al. 2010), which
11 contributes closely to stiffening of the cardiomyocytes.

12 Chamber stiffness (dP/dV) as evaluated by invasive cardiac catheterization, has
13 been shown to be linearly related to the spring constant k (g/s^2) (Kovács et al. 1997;
14 Kovács et al. 2001; Lisauskas et al. 2001). It has been shown that PDF analysis of the
15 Doppler E-wave can accurately determine the LV diastatic passive chamber stiffness
16 (Mossahebi and Kovács 2012). The higher k value for the RV in the PAH group is
17 consistent with an elevated RV filling pressure.

18 Kinematically, the lumped viscoelastic (resistive) properties of the system are
19 represented by the c parameter. Thus, any source of energy loss that opposes motion
20 during the filling are considered to be a part of the physiological analog. Increased
21 values of c can be manifested by various factors that can influence filling via an energy
22 loss. These factors can include blood viscosity, delayed relaxation, dynamic friction
23 during sarcomere lengthening that occurs as the detached myosin heads slide past the
24 thin filaments, pericardial effects, and the viscosity of the extracellular matrix (Dent et
25 al. 2001; Kass 2003; Chung et al. 2011). Changes in intracellular calcium handling and
26 high myofilament calcium sensitivity in PAH have been reported to impair proper

1 relaxation of cardiomyocytes (Rain et al. 2016). Moreover, these pathological conditions
2 are known to affect the kinematic model parameters.

3 The x_0 value is related to the load responsible for compressing the elastic
4 myocardium at the end of systole, which is a prerequisite in order for the restoring force
5 to arise. The x_0 value is also closely related to the velocity time integral (VTI) of the
6 E-wave. In normal subjects, the parameter x_0 was lower in the RV versus the LV. This
7 might be because of the difference of the area at the tip of the valve leaflets found
8 between them. Furthermore, this could possibly be due to the difference of the E/A ratio,
9 Doppler beam angle, and respiratory condition present at the time of the recording. In
10 our current study, there was no significant difference in the volumetric preload
11 parameter x_0 between the control and PAH groups. This value is specifically determined
12 by several factors, including stroke volume, volumetric E/A ratio, RV filling pressure,
13 and right atrial pressure. This finding suggests that during pathological conditions,
14 these PAH patients maintained the stroke volume while at rest.

15 To the best of our knowledge, this is the first application of kinematic model
16 parameters obtained from transtricuspid E-wave contours for use in assessing RV
17 diastolic function. Our data also showed that the initial maximum recoil force (kx_0) and
18 the stored potential elastic energy ($1/2kx_0^2$) were significantly higher in the PAH versus
19 the control group. The product kx_0 , which is analogous to the maximum atrioventricular
20 pressure gradient that generates the E-wave by mechanical suction, represents the
21 initial peak force in the spring. The results of a previous investigation that found the
22 kx_0 was more consistent than the modified Bernoulli equation ($PG = 4V^2$; PG, pressure
23 gradient; V, blood flow velocity) with regard to predicting the instantaneous maximum
24 pressure gradient also validates the above predictions (Bauman et al. 2004).

25 Kinematically, the potential energy in the spring prior to its release is
26 represented by $1/2kx_0^2$. The physiological analog for this factor is the stored elastic

1 strain energy that is available at the tricuspid valve opening. This energy generates the
2 chamber recoil, which leads to the generation of the E-wave. The significantly greater
3 values for kx_0 and $1/2kx_0^2$ in the PAH group suggest that an adaptive mechanism via the
4 hypertrophic RV chamber is required in order to maintain a stroke volume. This is
5 shown by our results that indicated that there was no significant difference for the load
6 x_0 between the control and PAH groups. However, this occurs at the cost of increased
7 energy utilization. In addition, our data also indicated that the cE-peak was
8 significantly higher in the PAH patients versus the control subjects, which indicates a
9 higher resistance (impaired relaxation) to the RV filling. A greater atrioventricular
10 pressure gradient kx_0 (and consequently, $1/2kx_0^2$) would be expected to be generated in
11 response to increased damping (resistive losses) of the transtricuspid flow, as is
12 manifested by greater values of c and cE-peak, in order to maintain the load x_0 or stroke
13 volume.

14 Although previous reports and the ASE guidelines have suggested the
15 usefulness of conventional parameters in the assessment of RV diastolic dysfunction
16 (Okumura et al. 2014; Rudski et al. 2010; Sade et al. 2007; Sundereswaran et al. 1998;
17 Leeuwenburgh et al. 2002), the utilization of these parameters has remained
18 controversial (Sade et al. 2007; Sundereswaran et al. 1998; Leeuwenburgh et al. 2002).
19 The present study revealed that E/e' and DT of the transtricuspid E-wave did not
20 exhibit any significant differences between the control and PAH groups, whereas there
21 was a significant difference for the E/A. This discrepancy regarding the usefulness of
22 the conventional indexes might be associated with the subjects' age, disease duration,
23 and pathological severity. Moreover, the progression of the RV diastolic function
24 deterioration, which consists of active relaxation and stiffness/elastic recoil, might differ
25 between children and adults.

26 In most of the studies that have examined these differences, results indicated

1 there was a modest correlation between the E/A ratio and increasing age (Innelli et al.
2 2009; Zoghbi et al. 1990). Since the peak velocity of E-wave increases during inspiration,
3 this causes an increase in the E/A ratio. Furthermore, while increases in the E-peak are
4 caused by tachycardia, a relatively greater increase in the A-peak will result in a
5 decrease in the E/A ratio (Zoghbi et al. 1990; Yu et al. 2003).

6 These parameters are also sensitive to changes in preload. Thus, while a
7 reduction in the preload will lead to a decrease in E, there will be a relatively smaller
8 decrease in A, thereby causing the E/A to decrease (Guazzi et al. 2000; O'Sullivan et al.
9 2005; Pelà et al. 2004). However, it should be noted that since the conventional
10 echocardiographic diastolic function indexes are empirical, these values will not provide
11 any mechanistic information on the chamber property, e.g., stiffness and relaxation.
12 Moreover, since E-wave parameters are not derived from basic physiologic principles
13 that govern filling, these parameters are considered to be load dependent (King et al.
14 2008; Paelinck et al. 2003; Pepi et al. 2000). In addition, the complex interplay of
15 simultaneous physiologic determinants and chamber properties are responsible for
16 generating these indexes (such as E/A). Moreover, a previous study has demonstrated
17 that E-wave DT was specifically dependent upon both the chamber stiffness and the
18 chamber relaxation/viscoelasticity (Shmuylovich and Kovács 2007).

19 We applied the PDF formalism in order to elucidate the RV diastolic property
20 in the present investigation. In this study, we attempted to characterize the kinematic
21 properties of the diastolic chamber. In order to define the individual components of each
22 E-wave, we used the digitized E-wave contour as input, along with the best-fit,
23 mathematically unique (c , k , and x_0) parameters. All the global physiologic
24 determinants of the contour were accounted for by the 3 lumped parameters c , k , and x_0 .
25 RV diastolic dysfunction determines ventricular performance and patient outcomes for
26 many conditions. Moreover, this dysfunction may precede the apparent systolic

1 dysfunction (Rudski et al. 2010; Leeuwenburgh et al. 2002; Dernellis 2001; Gan et al.
2 2007).

3 Overall, we believe that our current findings indicate that there are great
4 clinical implications for this method with regard to the management of PAH patients.

5

6 **Study limitations**

7 As the aim of the present study was to establish the kinematic parameters as
8 RV diastolic functional indexes, we attempted to validate these parameters by
9 evaluating the normal LV, normal RV, and PAH RV diastolic function. Although we
10 analyze the relation between the parameter values and the PAH severity (TRPG), only
11 the parameter k was correlated with TRPG. RVFAC did not have significant correlation
12 with k , c , and x_0 . We consider that since the present study population was small, and
13 the patients' clinical courses and treatment were heterogeneous, it might be not
14 appropriate to undertake a detailed analysis with sufficient statistical power to detect
15 statistically reliable significance. Further studies will be needed in order to determine
16 whether these parameters might be useful evaluation tools and could become the gold
17 standard for assessing RV diastolic function and for predicting the prognosis of patients
18 with this disease.

19 In the present investigation, we did not perform invasive cardiac
20 catheterization examination to confirm the feasibility of the kinematic model indexes.
21 However, with the combined pressure conductance catheter, it has become possible to
22 simultaneously determine the ventricular pressure and volume. Since this gold
23 standard method for measuring load-independent diastolic stiffness by pressure-volume
24 (PV) analysis requires temporal preload reduction, this procedure is not without risk in
25 PAH patients (Senzaki and Kass 2010). However, the development of single-beat
26 analyses of the diastolic PV relationship have helped to circumvent this issue in left

1 heart failure patients (Klotz et al. 2006). Even so, whether the use of this analysis can
2 be conducted for the RV in PAH patients remains unclear. Furthermore, it can be quite
3 difficult to assess the RV diastolic function when using PV analysis, as precise RV
4 volumetric measurements are also challenging. Moreover, unlike for stiffness (dP/dV),
5 currently there is no simple catheterization-based analog that can be used for the
6 relaxation parameter c . As a result, it might not necessarily be useful to perform cardiac
7 catheterization in order to confirm the utility of these model-based parameters.

8

9 **Conclusions**

10 This study demonstrated the feasibility and usefulness of the causality-based
11 kinematic model parameters obtained from the transtricuspid E-wave contours for
12 characterizing the RV diastolic pathophysiological property.

13

14 **Disclosures**

15 None.

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1 **Figure captions list**

2

3 **Figure 1**

4 Quantitation of diastolic function via the PDF formalism.

5 Representative transtricuspid E-wave Doppler images from a normal subject (A, B) and

6 a PAH patient (C, D) are shown. Doppler E-wave velocity profile edge detection and

7 fitted curves were shown. The digitized E-wave maximum velocity envelope is identified

8 (A, C) and fitted using the Levenberg-Marquardt method by the solution to the PDF

9 model (B, D), which yielded the 3 unique best fit PDF parameters of chamber stiffness k ,

10 relaxation c , and initial load x_0 .

11 Control subject (A, B) parameters: $x_0 = 11.4$ cm, $c = 10.1$ g/s, $k = 88.6$ g/s²; MSE 5.3

12 cm²/s².

13 PAH patient (C, D) parameters: $x_0 = 9.2$ cm, $c = 27.7$ g/s, $k = 148.1$ g/s²; MSE 5.1 cm²/s².

14

15 **Figure 2**

16 Conventional and kinematic model-based RV diastolic parameters.

17 The values of E/A (A), E/e' (B), DT (C), k (D), c (E), and x_0 (F) were compared between

18 the control and the PAH groups.

19 Boxes, IQR; Central line, median; Whiskers, minimum and maximum.

20

21 **Figure 3**

22 Correlation between the kinematic model parameters and RV performance in patients

23 with PAH

24 RVFAC had no significant correlation with k (A), c (B), and x_0 (C). There was significant

25 correlation between TRPG and k (D), whereas there were no significant correlation with

26 c (E) and x_0 (F). Linear regression lines with the 95% confidence interval (dashed

- 1 lines) are indicated. RVFAC, right ventricular fractional area change; TRPG, tricuspid
- 2 regurgitation peak gradient
- 3
- 4

1 Table 1. Clinical characteristics of the participants
2
3

| | Control (n = 34) | PAH (n = 10) | p values |
|--|--------------------------------|---------------------------------|-----------------|
| Sex (male/female) | 15/19 | 4/6 | n.s. |
| Age (y) | 11.3 ± 3.4 (7 – 19) | 12.8 ± 5.4 (8 – 19) | n.s. |
| Weight (kg) | 38.6 ± 13.4 (17.1 - 66.0) | 39.2 ± 15.1 (20.2 – 63.1) | n.s. |
| Height (cm) | 141.4 ± 20.6 (18.0 – 172.2) | 144.9 ± 18.1 (112.0 – 171.0) | n.s. |
| Body surface area (m²) | 1.21 ± 0.34 (0.71 – 1.73) | 1.24 ± 0.33 (0.77 – 1.67) | n.s. |
| Heart rate (bpm) | 65 ± 11 (52 – 93) | 66 ± 11 (54 – 84) | n.s. |
| Systolic blood pressure (mmHg) | 89 ± 14 (72 – 120) | 90 ± 14 (77 – 115) | n.s. |
| Diastolic blood pressure (mmHg) | 54 ± 10 (37 – 68) | 56 ± 9 (38 – 67) | n.s. |
| LVEDD (mm) | 44.8 ± 5.8 (36.0 – 49.8) | 40.8 ± 6.8 (31.0 – 49.2) | n.s. |
| LVFS (%) | 44.4 ± 3.9 (38.0 – 48.8) | 41.4 ± 4.9 (38 – 48.8) | n.s. |
| LVEF (%) | 70.3 ± 3.4 (64.0 – 78.2) | 71.3 ± 6.4 (63.1 – 80.2) | n.s. |
| RVFAC (%) | 50.8 ± 5.9 (43.0 – 60.1) | 28.6 ± 5.1 (20.0 – 35.3) | < 0.0001 |
| Transmitral flow | 0.95 ± 0.11 | 0.75 ± 0.19 | < 0.0001 |
| E-wave (m/s) | (0.65 – 1.15) | (0.52 – 1.10) | |
| A-wave (m/s) | 0.41 ± 0.12 (0.15 – 0.59) | 0.60 ± 0.15 (0.45 – 0.89) | 0.0002 |
| Transtricuspid flow | 0.51 ± 0.09 | 0.40 ± 0.07 | 0.0010 |
| E-wave (m/s) | (0.34 – 0.73) | (0.29 – 0.52) | |
| A-wave (m/s) | 0.31 ± 0.10 (0.11 – 0.49) | 0.41 ± 0.13 (0.25 – 0.62) | 0.0130 |
| Mitral annular motion | 18.6 ± 2.4 | 9.3 ± 2.2 | < 0.0001 |
| e' wave (cm/s) | (13.2 – 24.0) | (5.6 – 12.2) | |
| a' wave (cm/s) | 6.7 ± 1.8 (4.1 – 11.1) | 10.3 ± 2.3 (6.8 – 12.9) | < 0.0001 |
| s' wave (cm/s) | 9.9 ± 1.9 (6.8 – 12.9) | 8.4 ± 2.1 (5.6 – 11.1) | 0.0378 |
| Tricuspid annular motion | 13.5 ± 2.9 | 8.9 ± 1.7 | < 0.0001 |
| e' wave (cm/s) | (9.5 – 19.0) | (6.7 – 12.5) | |
| a' wave (cm/s) | 7.6 ± 2.3 (4.6 – 13.0) | 12.9 ± 2.8 (9.5 – 18.5) | < 0.0001 |
| s' wave (cm/s) | 12.5 ± 3.92 (8.5 – 16.2) | 10.2 ± 2.1 (6.5 – 13.5) | n.s. |
| TRPG (mmHg) | — | 50.4 ± 11.9 (41 – 80) | — |
| Time on treatment (years) | | 5.1 ± 3.4 (2 – 12) | |
| Treatment | | Epoprostenol 2 Bosentan 3 | |

Macitentan 7
Tadarafil 9

- 1
2 Data are shown as mean \pm SD, with the range shown in parentheses.
3 LVEDD, left ventricular end-diastolic dimension; LVEF, left ventricular ejection fraction; LVFS, left
4 ventricular fractional shortening; RVFAC, right ventricular fractional area change; TRPG, tricuspid
5 regurgitation peak gradient; n.s., not significant.
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1 Table 2. Comparison of the kinematic model-based parameters between LV and RV in normal
 2 subjects

3

| | Normal LV (n = 34) | Normal RV (n = 34) | p values |
|---|---------------------------------|--------------------------------|-----------------|
| k (g/s²) | 247.3 ± 59.4 (140.6 – 385.5) | 135.7 ± 49.5 (44.9 – 263.5) | < 0.0001 |
| c (g/s) | 17.5 ± 5.8 (7.6 – 29.5) | 10.6 ± 5.2 (3.0 – 20.6) | < 0.0001 |
| x₀ (cm) | 11.7 ± 2.4 (7.8 – 16.0) | 8.2 ± 2.9 (3.9 – 13.8) | < 0.0001 |
| MSE (cm²/s²) | 29.2 ± 15.9 (5.3 – 69.4) | 8.3 ± 5.0 (1.8 – 25.4) | < 0.0001 |

4

5 Data are shown as mean ± SD, with the range shown in parentheses.

6 MSE, mean square error; n.s., not significant.

7

8

Table 3. Comparison of RV diastolic functional parameters between the control and PAH groups

| | Normal RV (n = 34) | PAH RV (n =10) | p values |
|--|---------------------------------------|--------------------------------------|-----------------|
| E-peak (m/s) | 0.51 ± 0.09 (0.34 – 0.73) | 0.40 ± 0.07 (0.29 – 0.52) | 0.0010 |
| A-peak (m/s) | 0.31 ± 0.10 (0.11 – 0.49) | 0.41 ± 0.13 (0.25 – 0.62) | 0.0130 |
| AT (ms) | 111.9 ± 24.3 (71 – 199) | 80.1 ± 8.4 (64 – 91) | < 0.0001 |
| DT (ms) | 192.1 ± 63.0 (113 – 395) | 201.9 ± 106.5 (110 – 367) | n.s. |
| E/A | 1.83 ± 0.73 (0.89 – 3.70) | 1.07 ± 0.33 (0.61 – 1.69) | 0.0003 |
| e' (cm/s) | 13.5 ± 2.9 (9.5 – 19.0) | 8.9 ± 1.7 (6.7 – 12.5) | < 0.0001 |
| a' (cm/s) | 7.6 ± 2.3 (4.6 – 13.0) | 12.9 ± 2.8 (9.5 – 18.5) | < 0.0001 |
| e'/a' | 1.9 ± 0.5 (0.91 – 2.9) | 0.7 ± 0.2 (0.49 – 1.1) | < 0.0001 |
| E/e' | 3.9 ± 1.1 (2.1 – 6.5) | 4.7 ± 1.1 (2.8 – 6.6) | n.s. |
| k (g/s²) | 135.7 ± 49.5 (44.9 – 263.5) | 182.5 ± 72.4 (106.5 – 368.8) | 0.0232 |
| c (g/s) | 10.6 ± 5.2 (3.0 – 20.6) | 21.9 ± 6.5 (12.1 – 34.5) | < 0.0001 |
| x₀ (cm) | 8.2 ± 2.9 (3.9 – 13.8) | 7.7 ± 2.4 (4.0 – 11.2) | n.s. |
| MSE (cm²/s²) | 8.3 ± 5.0 (1.8 – 25.4) | 5.3 ± 5.3 (0.8 – 17.2) | n.s. |
| c²-4k (s⁻²) | -404.2 ± 196.3 (-884.1 – -37.3) | -210.9 ± 504.9 (-1136.8 – 762.5) | n.s. |
| kx₀ (dyn) | 1039.2 ± 361.8 (388.5 – 2156.3) | 1270.3 ± 168.9 (951.0 – 1482.2) | 0.0238 |
| 1/2kx₀² (erg) | 4480.8 ± 1731.2 (1001.9 – 11301.3) | 4916.9 ± 1815.8 (2184.2 – 7706.0) | 0.0458 |
| cE-peak (dyn) | 544.0 ± 300.3 (141.8 – 1344.1) | 857.5 ± 1704.1 (471.4 – 1072.1) | 0.0026 |

Data are shown as mean ± SD, with the range shown in parentheses.

AT, E-wave acceleration time; DT, E-wave deceleration time; MSE, mean square error; n.s., not significant.

1 Table 4. Intra-observer and inter-observer reproducibility
2

| | Intra-observer variation | | | Inter-observer variation | | |
|----------------------------|---------------------------|---------|--------------------------------|--------------------------|---------|--------------------------------|
| | ICC (95% CI) | p | Bland-Altman Bias (95% LOA) | ICC (95% CI) | p | Bland-Altman Bias (95% LOA) |
| E-peak (m/s) | 0.987 (0.967 – 0.995) | <0.0001 | -0.005 (-0.04 to 0.03) | 0.951 (0.877 – 0.980) | <0.0001 | -0.028 (-0.10 to 0.04) |
| A-peak (m/s) | 0.910 (0.787 – 0.964) | <0.0001 | 0.009 (-0.08 to 0.10) | 0.882 (0.720 – 0.953) | <0.0001 | -0.001 (-0.11 to 0.10) |
| AT (ms) | 0.803 (0.559 – 0.919) | <0.0001 | -0.9 (-21.7 to 20.0) | 0.743 (0.448 – 0.892) | 0.0002 | 1.1 (-23.1 to 25.2) |
| DT (ms) | 0.954 (0.885 – 0.982) | <0.0001 | 2.6 (-52.4 to 57.5) | 0.946 (0.867 – 0.979) | <0.0001 | -19.3 (-88.2 to 49.5) |
| e' (cm/s) | 0.965 (0.911 – 0.986) | <0.0001 | 0.230 (-1.25 to 1.71) | 0.944 (0.862 – 0.978) | <0.0001 | 0.400 (-1.44 to 2.24) |
| a' (cm/s) | 0.990 (0.974 – 0.996) | <0.0001 | 0.000 (-1.13 to 1.13) | 0.981 (0.953 – 0.993) | <0.0001 | 0.130 (-1.44 to 1.70) |
| k (g/s²) | 0.893 (0.744 – 0.957) | <0.0001 | -0.205 (-42.16 to 38.05) | 0.839 (0.630 – 0.935) | <0.0001 | 0.498 (-48.00 to 48.99) |
| c (g/s) | 0.899 (0.7522 – 0.961) | <0.0001 | 1.452 (-5.01 to 7.92) | 0.896 (0.746 – 0.960) | <0.0001 | 2.621 (-4.39 to 9.64) |
| x₀ (cm) | 0.891 (0.739 – 0.956) | <0.0001 | -0.1 (-1.5 to 1.6) | 0.815 (0.582 – 0.924) | <0.0001 | -0.3 (-2.5 to 2.7) |

3

4 Data are shown as mean \pm SD, with the range shown in parentheses.5 ICC, intraclass correlation coefficient; LOA, limits of agreement defined as the mean difference \pm

6 1.96 SD of differences; n.s., not significant.

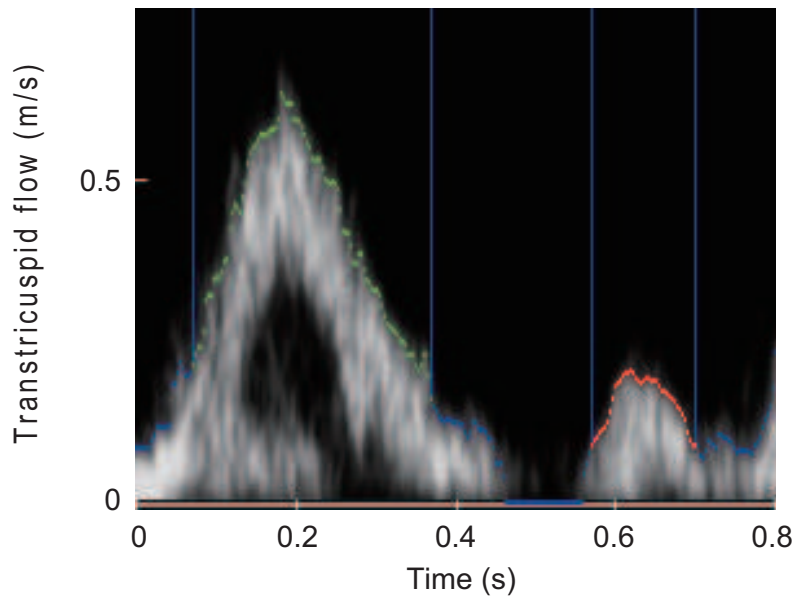
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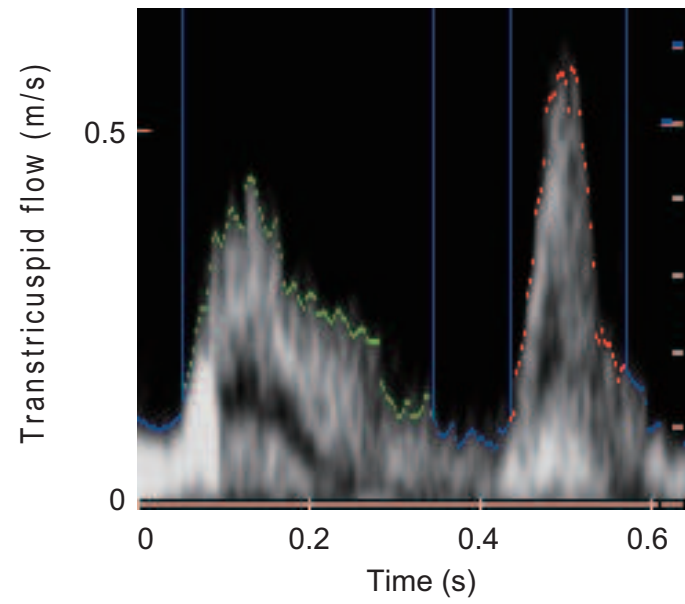
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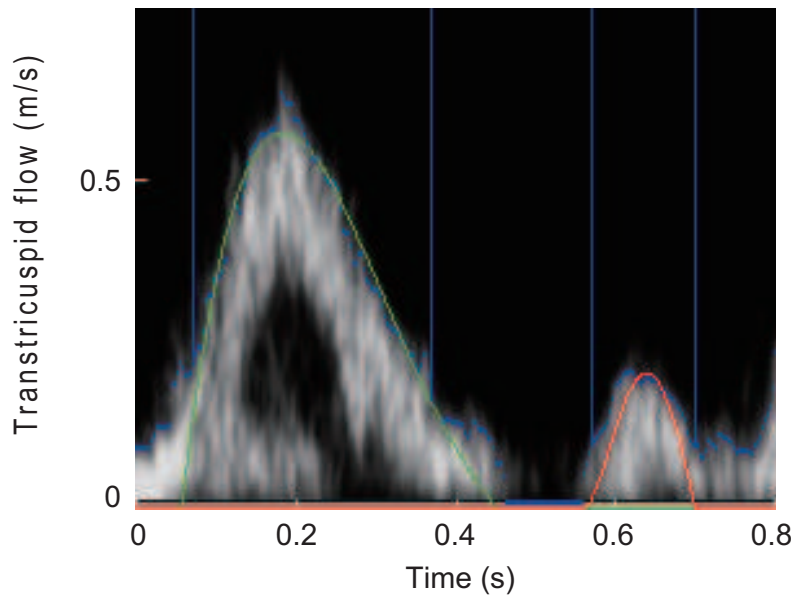
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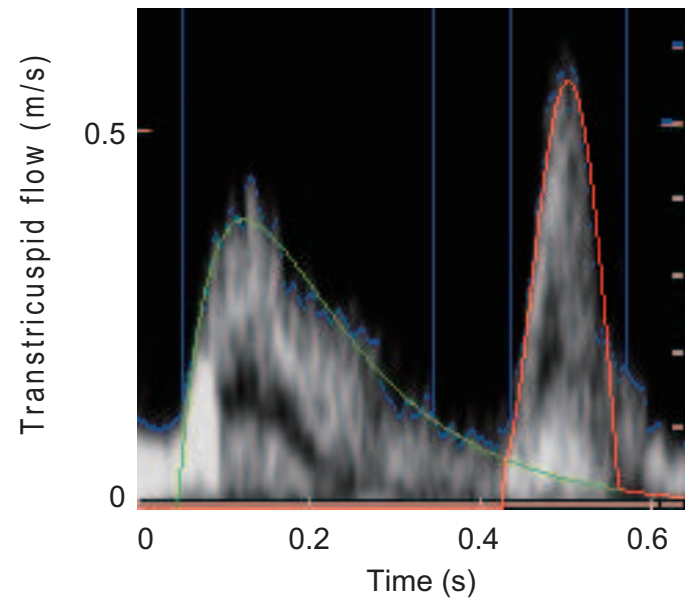
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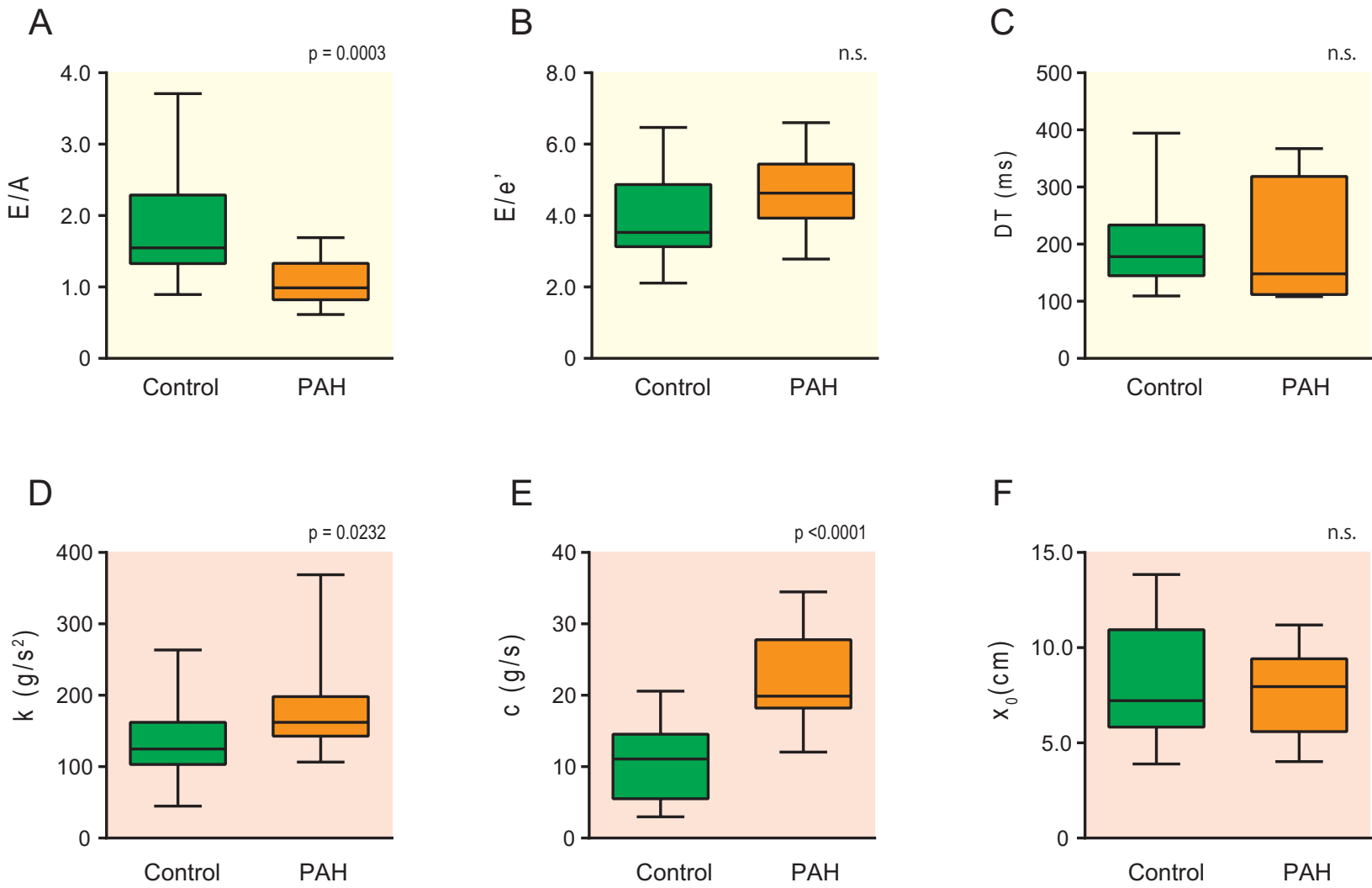


Figure 3

