Title: Sulforaphane induces lipophagy through the activation of AMPK-mTOR-ULK1 pathway signaling in
 adipocytes

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1 Abstract

 $\mathbf{2}$ Lipophagy, a form of selective autophagy, degrades lipid droplet (LD) in adipose tissue and the liver. The 3 chemotherapeutic isothiocyanate sulforaphane (SFN) contributes to lipolysis through the activation of hormonesensitive lipase and the browning of white adipocytes. However, the details concerning the regulation of lipolysis 4 $\mathbf{5}$ in adipocytes by SFN-mediated autophagy remain unclear. In this study, we investigated the effects of SFN on autophagy in the epididymal fat of mice fed a high-fat diet (HFD) or control-fat diet (CFD) and on the molecular 6 7 mechanisms of autophagy in differentiated 3T3-L1 cells. Western blotting revealed that the protein expression of 8 lipidated LC3 (LC3-II), an autophagic substrate, was induced after 3T3-L1 adipocytes treatment with SFN. In addition, SFN increased the LC3-II protein expression in the epididymal fat of mice fed an HFD. 9 10 Immunofluorescence showed that the SFN-induced LC3 expression was co-localized with LDs in 3T3-L1 11 adipocytes and with perilipin, the most abundant adipocyte-specific protein, in adipocytes of mice fed an HFD. 12Next, we confirmed that SFN activates autophagy flux in differentiated 3T3-L1 cells using the mCherry-EGFP-13 LC3 and GFP-LC3-RFP-LC3AG probe. Furthermore, we examined the induction mechanisms of autophagy by SFN 14in 3T3-L1 adipocytes using western blotting. ATG5 knockdown partially blocked the SFN-induced release of fatty acids from LDs in mature 3T3-L1 adipocytes. SFN time-dependently elicited the phosphorylation of AMPK, the 1516 dephosphorylation of mTOR, and the phosphorylation of ULK1 in differentiated 3T3-L1 cells. Taken together, these results suggest that SFN may provoke lipophagy through AMPK-mTOR-ULK1 pathway signaling, resulting in 1718 partial lipolysis of adipocytes. (246/250 words)

1 Keywords: adipose, obesity, autophagy, cell biology, Lipid droplets, dietary factors

 $\mathbf{2}$

1 Introduction

2	Obesity, a major public health problem, causes or exacerbates many other health problems and diseases, such
3	as diabetes mellitus, coronary heart disease, and certain forms of cancer [1]. Obesity is caused by an imbalance
4	between energy intake and expenditure that results in adipose tissue dysfunction with adipocyte hypertrophy [2].
5	Four mechanisms have been proposed for treating obesity: stimulating thermogenesis, suppressing
6	appetite, lowering adipogenesis, and enhancing lipolysis [3]. Certain dietary factors, such as phosphate, caffeine,
7	and sulforaphane (SFN), can regulate lipolysis in adipose tissue [4–7].
8	Lipolysis has long been recognized as a biochemical catabolic pathway that relies on the direct activation of
9	lipid droplet (LD)-associated lipases, such as adipose triglyceride lipase (ATGL), hormone-sensitive
10	lipase (HSL), and monoglyceride lipase [8]. Surprisingly, a major discovery in the field of lipolysis in
11	hepatocytes was the realization that autophagy, an intracellular catabolic pathway, is also used to deliver LDs as
12	cargo to lysosomes for hydrolysis, in a process known as lipophagy [9]. Autophagy is one of the major
13	degradation pathways in cells supporting the survival of cells by recycling metabolic components. Autophagy can
14	be induced in cells through the activation of the stress-sensing kinase AMPK or the inhibition of the nutrient-
15	sensing kinase mTORC1 [10, 11]. The phosphorylation of AMPK and the inhibition of mTORC1 can activate the
16	serine/threonine kinase ULK complex, which drives the formation of a phagophore, the initial autophagosomal
17	precursor membrane structure [11, 12]. During autophagy, LC3 is conjugated to the phosphatidylethanolamine
18	(PE) molecule on the isolation membrane as lipidated LC3 (LC3-II), an autophagy substrate, by the ATG12-

1 ATG5-ATG16 complex after cleavage of glycine 120 residues of LC3 by ATG4 [13–18]. Interestingly, it has $\mathbf{2}$ been suggested that lipophagy may contribute to lipolysis in adipocytes, including in vitro and in vivo 3 [19–22]. Lipophagy is caused by aging and nutrient starvation; however, the effects of dietary factors on lipophagy in adipocytes remain unclear. 4 $\mathbf{5}$ The chemotherapeutic isothiocyanate SFN is a natural compound obtained from broccoli sprouts. SFN is the major inducer of phase II detoxifying enzymes through the Kelch-like ECH-associated protein 1 (Keap1)/nuclear 6 $\overline{7}$ factor E2-related factor 2 (Nrf2)/antioxidant response element (ARE) signaling pathway [23-25]. Keap1 functions as a chemical sensor of cellular redox tone, mediating the activation of Nrf2 [26]. Under basal 8 9 conditions, Keap1 sequesters Nrf2 within a complex with Cullin3, which is an E3 ubiquitin ligase that targets 10 Nrf2 for proteasomal degradation [27]. Nrf2 activated by SFN interacts with Keap1, leading to the dissociation or 11 degradation of the Cullin3-Keap1 complex and stabilization of Nrf2, which translocates to the nucleus, thereby 12activating ARE-dependent genes [28]. Nrf2-Keap1 is closely related to the autophagy pathway through p62, also 13called sequestosome 1, which acts as a cargo receptor for autophagic degradation of ubiquitinated targets [29, 14 30]. Nrf2 activation induces p62 which competitively inhibits the Keap1-Nrf2 interaction and binds to the Keap1, 15resulting in the induction of autophagy [30-32]. Interestingly, LC3 promotes the movement of cytoplasmic ATGL 16 to LDs through interaction with the LC3-interacting region (LIR) domain of ATGL and induces lipophagy [33]. 17Lipase and lipophagy are suggested to exhibit complementarity and cooperativity toward total lipolysis. SFN may 18 contribute to lipolysis through the activation of HSL and the browning of white adipocytes [7, 34]. However, the

1	regulation of lipolysis by SFN in adipocytes focused on lipophagy, but not lipase and adipocyte browning, has not
2	yet been identified.
3	In the present study, we examined the possible contribution of SFN to lipolysis through lipophagy in
4	adipocytes from mice fed a high-fat diet (HFD) or control-fat diet (CFD) and in vitro in mature 3T3-L1
5	adipocytes. We also investigated the mechanisms underlying lipophagy induction by SFN in differentiated 3T3-
6	L1 cells.

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1 Materials and Methods

2 Chemicals and reagents

3	DMSO, mouse anti-β-actin monoclonal Ab (A5441), 4',6-diamidino-2-phenylindole (DAPI; D9542), DMEM,
4	FBS, insulin, dexamethasone, 3-isobutyl-1-methylxanthine, and troglitazone were purchased from Sigma-Aldrich
5	(St. Louis, MO, USA). D, L-Sulforaphane was purchased from Toronto Research Chemicals (Toronto, Canada).
6	Buprenorphine hydrochloride was purchased from Otsuka Pharmaceutical Co., Ltd. (Tokyo, Japan). Pentobarbital
7	sodium salt was purchased from Tokyo Kasei Co., Ltd. (Tokyo, Japan). RIPA buffer, anti-Perilipin-1 (#9349), anti-
8	LC3 (#2775), anti-p62 (#5114), anti-AMPKa (#5831), anti-phospho-AMPKa (#50081, Thr172), anti-mTOR
9	(#2972), anti-phospho-mTOR (#2971, Ser2448), anti-ULK1 (#8054), anti-phospho-ULK1 (#5869, Ser555), anti-
10	4E-BP1 (#8594), anti-phospho-4E-BP1 (#9451, Ser65), anti-Histone H3 (#5192), anti-p44/42 MAPK (Erk1/2;
11	#9102), anti-phospho-p44/42 MAPK (Erk1/2; #4377), and anti-Rubicon (#8465) Ab were purchased from Cell
12	Signaling Technology (Beverly, MA, USA). Anti-MAP LC3β (sc-271625), anti-Nrf2 (sc-365945), anti-Keap1 (sc-
13	15246), and anti-SIRT1 (sc-74465) Ab were purchased from Santa Cruz Biotechnology (Santa Cruz, CA, USA).
14	Goat anti-rabbit IgG(H+L)-HRP conjugate (#1706515) was purchased from Bio-Rad (Hercules, CA, USA). Goat
15	anti-mouse IgG(H+L)-HRP conjugate (#62-6520), Alexa Fluor [®] 488, Alexa Fluor [®] 555, Alexa Fluor [®] 568,
16	ProLong TM Diamond Antifade Mountant (P36965), and BODIPY 493/503 (D3922) were purchased from Invitrogen
17	(Carlsbad, CA, USA). ECL Plus system and poly(dI-dC) were purchased from GE Healthcare (Buckinghamshire,
18	UK). OCT compound was purchased from Sakura FineTek (Tokyo, Japan). Calf serum was purchased from Hyclone

1	(Logan, UT, USA). Penicillin-streptomycin was purchased from Nacalai Tesque (Kyoto, Japan). FuGENE® HD
2	Transfection Reagent was purchased from Promega Corporation (Madison, WI, USA). Bafilomycin A1
3	(Bafilomycin) was purchased from Enzo Life Sciences (Ann Arbor, MI, USA). FluoroBrite TM DMEM (A1896701),
4	Lipofectamine RNAiMAX transfection reagent, TRIzol TM Reagent, oligo(dT) primer, and SYBR [®] Green master
5	mix were purchased from Thermo Fisher Scientific (Waltham, MA, USA). M-MLV reverse transcriptase was
6	purchased from Nippon Gene (Tokyo, Japan).
7	
8	Cell culture and establishment of stable cell lines
9	Mouse fibroblast line 3T3-L1 pre-adipocytes (JCRB9014) were obtained from the Health Science Research
10	Resources Bank (Osaka, Japan). The 3T3-L1 pre-adipocytes were cultured as described previously [5]. Briefly,
11	3T3-L1 pre-adipocytes were maintained in high-glucose DMEM containing 10% calf serum and 1% penicillin-
12	streptomycin at 37°C in an atmosphere containing 5% CO ₂ . To induce the differentiation of the pre-adipocytes into
13	mature adipocytes, 100% confluent cells were maintained for 2 days and changed to differentiation medium
14	(DMEM containing 10% FBS, 10 µg/ml insulin, 1 µM dexamethasone, 500 µM 3-isobutyl-1-methylxanthine, and
15	1 μ M troglitazone). Two days later, the media were replaced with DMEM containing 10% FBS and refreshed every
16	other day for an additional 6 days.
17	Retroviral plasmid vector of pBABE-puro mCherry-EGFP-LC3 (#22418) developed by Dr. Jayante Debnath

18 was obtained from Addgene (Cambridge, MA, USA) [35]. Retroviral plasmid vector of pMRX-IP-GFP-LC3-RFP-

1	LC3AG (RDB14600) developed by Dr. Noboru Mizushima was obtained from RIKEN BRC DNA BANK (Tsukuba,
2	Japan) [36]. These plasmids were respectively transfected into 90% confluent 3T3-L1 cells using FuGene® HD
3	Transfection Reagent for 48 h. The medium was replaced with a medium containing the final concentration of 2
4	μ g/ml puromycin for 10 days. Fluorescence of 3T3-L1 cells colony was observed by an EVOS FLoid Cell Imaging
5	Station (Thermo Fisher Scientific) and bright colonies were transferred to new a dish for the experiments.
6	
7	Cell viability assay
8	To determine the effect of SFN on the cell survival in 3T3-L1 cells, cells were differentiated into mature adipocyte
9	and treated with vehicle (DMSO) or SFN (10 and 100 μ M) for 10 days. Cell survival was tested using a CellTiter-
10	Fluor TM Cell Viability Assay (Promega, Madison, WI, USA) according to the manufacture's instruction The
11	CellTiter-Fluor TM reagent was added to wells, and viability was measured after incubation at 37°C for 120 min. The
12	fluorescence spectra were measured using SpectraMax i3 (Molecular Devices, Sunnyvale, CA, USA) with filter set
13	at Ex/Em 390/505 nm.
14	
15	Oil Red-O staining
16	To determine the triglycerides accumulated in 3T3-L1 cells, oil red-O staining was performed using 24-well tissue

- 17 culture plates. Cells were differentiated into mature adipocyte, followed by the treatment with vehicle (DMSO),
- 18 SFN (10 and 100 μM), or 500 nM rapamycin for 10 days. After removing the medium and washing twice with PBS,

1	the cells were fixed with 4% PFA/PBS for 10 min. After washing with PBS, 60% isopropanol was added for 1 min
2	and stained with oil red-O diluted with 60% isopropanol for 20 min. After rinsing with 60% isopropanol and PBS,
3	the cells were photographed under a BZ-9000 fluorescence microscope (Keyence, Osaka, Japan). Lipid
4	accumulation was quantified using the ImageJ imaging software program (NIH, Bethesda, MD, USA).
5	
6	Western blot analysis
7	Nuclear extracts from mammalian cells were prepared as described previously [37]. Tissue and cell lysates

were prepared using RIPA buffer. Protein samples were heated at 95°C for 5 min in sample buffer in the presence 8 9 of 5% 2-mercaptoethanol and subjected to SDS-PAGE. The separated proteins were transferred by electrophoresis 10 to polyvinylidene difluoride transfer membranes (Immobilon-P, Millipore, MA, USA). The membranes were treated 11 with diluted affinity-purified anti-LC3 (1:3000), anti-p62 (1:3000), anti-AMPKa (1:3000), anti-phospho-AMPKa 12(1:1500), anti-mTOR (1:3000), anti-phospho-mTOR (1:1500), anti-ULK1 (1:3000), anti-phospho-ULK1 (1:1500), 13 anti-4E-BP1 (1:3000), anti-phospho-4E-BP1 (1:1500), anti-p44/42 MAPK (1:3000), anti-phospho-p44/42 MAPK 14(1:3000), anti-Rubicon (1:3000), anti-Nrf2 (1:3000), anti-Keap1 (1:3000), and anti-SIRT1 (1:3000) Ab. Mouse anti-15β-actin monoclonal Ab and anti-Histone H3 Ab were used as an internal control. Goat anti-rabbit IgG(H+L)-HRP 16conjugate and goat anti-mouse IgG(H+L)-HRP conjugate were utilized as a secondary Ab, and signals were detected 17using the ECL Plus system.

1 Immunocytochemical analysis

 $\mathbf{2}$ Differentiated 3T3-L1 cells on glass coverslips were treated with vehicle (DMSO) or 10 µM SFN for 3 h, fixed 3 with 4% PFA/PBS at RT for 15 min, washed 2 times in PBS, and processed for immunofluorescence by permeabilization with 0.1% Triton X-100/PBS for 5 min on ice. After washing with PBS, the blocking was carried 4 out by 0.8% BSA/PBS at RT for 30 min. The cells were incubated with anti- LC3 (1:200) for 1 h, after which they $\mathbf{5}$ were washed and labeled with Alexa Fluor 568 (1:200). To detect LDs, BODIPY 493/503 was diluted in PBS at a 6 7concentration of 6.25 µM and applied to cells for 30 min. After washing with 0.4% BSA/PBS, sections were mounted in ProLong TM Diamond Antifade Mountant. Fluorescence was visualized using a BZ-9000 fluorescence 8 9 microscope or a Leica Confocal Microscope (TCS-SL) (Leica Microsystems GmbH, Mannheim, Germany). 10 Quantification of data was performed using the ImageJ imaging software program.

11

12 Animal experiments

The animal work took place in Division for Animal Researches and Genetic Engineering Support Center for Advanced Medical Sciences, Institute of Biomedical Sciences, Tokushima University Graduate School. The animals were housed in pathogen-free conditions and maintained under a standard 12 h light-dark cycle with free access to water. Seven-week-old male C57BL/6J mice (Japan SLC, Shizuoka, Japan) were fed HFD, contained 45% kcal as fat, 35% kcal as carbohydrate, 20% kcal as protein with an energy density of 4.73 kcal/gm (No. D12451; Research Diets, New Brunswick, NJ, USA) or control-fat diet (CFD), contained 10% kcal as fat, 70% kcal as carbohydrate,

1	20% kcal as protein with an energy density of 3.85 kcal/gm (No. D12450H; Research Diets, New Brunswick, NJ,
2	USA) for 8 weeks. After fasting for 18 h, these mice were randomly divided into two groups ($n = 4$ per group) and
3	intraperitoneally administrated a total of 30 mg/kg body weight of SFN or DMSO prepared in 500 µl sterile saline.
4	Each group of mice was fasted for 3 h with water <i>ad libitum</i> before sacrifice with a total of 0.1 mg/kg body weight
5	of buprenorphine hydrochloride and a total of 50 mg/kg body weight of pentobarbital sodium salt. Epididymal fat
6	samples were washed in 0.9% NaCl and immediately snap-frozen in liquid nitrogen and stored at -80°C. The present
7	study was approved by the Animal Experimentation Committee of Tokushima University School of Medicine
8	(animal ethical clearance No. T28-88) and was carried out in accordance with guidelines for the Animal Care and
9	Use Committee of Tokushima University School of Medicine.
10	
10 11	Plasma parameters
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18 sucrose/PBS. The tissues were embedded in OCT compound diluted with 30% sucrose/PBS. Tissues were cryo-

1	sectioned at 10 µm thickness, air-dried, re-fixed in 4% PFA/PBS for 15 min at room temperature (RT), washed 3
2	times in PBS, and processed for immunofluorescence by permeabilization with 0.1% Triton X-100/PBS for 5 min,
3	followed by washing 3 times with PBS. Mouse primary antibodies/markers were added to Ab dilution buffer at
4	37°C for 2 h: MAP LC3β (1:200) and Perilipin-1 (1:200). After washing with PBS, tissues were incubated for 30
5	min at RT with secondary antibodies prepared at 1:200 in Ab dilution buffer: Alexa Fluor 488 and Alexa Fluor 555.
6	After the secondary antibodies were removed and tissues were washed with PBS, nuclear counterstaining was
7	performed by incubation with DAPI solution (0.2 µg/ml) at RT for 1 h. After washing with PBS, sections were
8	mounted in ProLong TM Diamond Antifade Mountant. Fluorescence was visualized using a BZ-9000 fluorescence
9	microscope.
10	
11	Autophagy flux assay
11 12	Autophagy flux assay Stable 3T3-L1 cells expressing mCherry-EGFP-LC3 were seeded on glass coverslips. After the differentiation
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12 13	Stable 3T3-L1 cells expressing mCherry-EGFP-LC3 were seeded on glass coverslips. After the differentiation of the pre-adipocytes into mature adipocytes, they were treated with vehicle (DMSO) or 10 μ M SFN for 3 h and
12 13 14	Stable 3T3-L1 cells expressing mCherry-EGFP-LC3 were seeded on glass coverslips. After the differentiation of the pre-adipocytes into mature adipocytes, they were treated with vehicle (DMSO) or 10 μ M SFN for 3 h and fixed with 4% PFA/PBS at RT for 15 min. After washing with PBS, cells were incubated with 50 mM NH ₄ Cl/PBS

18 GFP-LC3-RFP-LC3∆G were seeded into 96-well plates (Greiner CELLSTAR #655090, Greiner Bio-One,

1	Germany). After the differentiation of the pre-adipocytes into mature adipocytes, they were treated with vehicle
2	(DMSO) or 10 µM SFN for 3 h. Following washing with FluoroBrite [™] DMEM twice, cells were imaged by using
3	Operetta high-content imaging system (PerkinElmer, MA, USA) at 40x magnification at following settings: for
4	EGFP (λ ex: 460–490 nm, λ ex: 500–550 nm) and for RFP (λ ex: 530–560 nm, λ ex: 570–650 nm).
5	
6	RNAi experiments
7	Differentiated 3T3-L1 cells were transfected with siRNA directed against ATG5 (SASI_Mm01_00089196 and
8	$SASI_Mm01_00089197; Sigma-Aldrich) \ and \ negative \ control \ (SIC001; Sigma-Aldrich) \ or \ against \ AMPK\alpha 1/2 \ (sc-algreen and a second secon$
9	45313; Santa Cruz Biotechnology) and negative control (sc-37007; Santa Cruz Biotechnology) using Lipofectamine
10	RNAiMAX transfection reagent, according to the manufacture's instruction. The expression levels were assessed
11	after 48 h by quantitative PCR analysis.
12	
13	Quantitaitve PCR analysis
14	Total RNA was isolated from 3T3-L1 cells using TRIzol TM Reagent according to the manufacture's instruction.
15	Quantitative real-time PCR assays were performed by using an Applied Biosystems StepOne qPCR instrument. In
16	brief, the cDNA was synthesized from 1 µg of total RNA using M-MLV reverse transcriptase with an oligo(dT)
17	primer. After cDNA synthesis, quantitative real-time PCR was performed in 5 μ l of Fast SYBR [®] Green master mix.
18	The primer sequences are as follows: mouse ATG5 (NM_053069; 5'-TGCATCAAGTTCAGCTCTTCCT-3' and 3'-

1	CGCATCCTTGGATGGACAGT-3') and mouse 18S (X00686; 5'-ACGGAAGGGCACCACCAGGA-3' and 5'-
2	CACCACCACCACGGAATCG-3'). The quantification of given genes was expressed as the mRNA levels
3	normalized to a ribosomal housekeeping gene $18S$ using the $\Delta\Delta$ Ct method.
4	
5	Detection of NEFA in medium
6	To determine the release of fatty acids from LD in 3T3-L1 cells, detection of NEFA in the medium was
7	performed using 48-well tissue culture plates. Cells were differentiated into mature adipocyte and transfection with
8	100 pmol ATG5 siRNA or control, followed by the treatment with vehicle (DMSO) or SFN (10 μ M) for 24 h. After
9	collecting the medium, NEFA content in the conditioned medium was measured with a commercial kit LabAssay TM
10	NEFA (Wako) according to the manufacture's protocol. The sample was mixed with reagent 1 and incubated at
11	37°C for 10 min. Then, reagent 2 was added and after 10 min incubation at 37°C, a colored product was formed
12	with a maximal absorbance at 550 nm. The data were calibrated using the standard curve.
13	
14	Statistical analysis
15	Data were collected from more than 2 independent experiments and were reported as the means \pm S.E.M.
16	Statistical analysis for 2-group comparison was performed using a 2-tailed Student's t-test, or one-way ANOVA

- 17 with a Student-Newman post-hoc test for multi-group comparison. All data analysis was performed using GraphPad
- 18 Prism 5 software (Graphpad Software, San Diego, CA, USA). p < 0.05 was considered statistically significant.

1 Results

2 SFN increases the LC3 expression in 3T3-L1 adipocytes

3 Investigation of the cytotoxic the effects of SFN (10 and 100 μ M) in cells revealed a significant reduction in 4 cell viability by administration of 100 µM SFN in differentiated 3T3-L1 cells for 10 days, unlike 10 µM SFN $\mathbf{5}$ (Supplementary Fig. 1). For this reason, we subsequently used 10 µM SFN to determine the effects of SFN on lipolysis in adipocytes. No differences were noted in the number of LDs in 3T3-L1 cells between SFN treatment 6 7 and DMSO (Control); however, rapamycin, an autophagy inducer, increased the number of LDs above that in the untreated controls. A reduction in LD size/LD number was also elicited in differentiated 3T3-L1 cells by treatment 8 with SFN or rapamycin compared with controls (Fig. 1A, B). We next investigated the time-dependent effects of 9 10 SFN on the LC3 expression in differentiated 3T3-L1 cells. LC3-II protein expression has been reported to increase 11 in human prostate cancer cells after 6, 9, and 16 h of treatment with SFN [38]. For this reason, we deemed a time 12of up to 9 h to be appropriate for the evaluation of SFN effects on autophagy in 3T3-L1 cells. Differentiated 3T3-13L1 cells were treated with 10 µM SFN for up to 9 h. Western blotting revealed that the LC3-II protein expression 14increased after 6 to 9 h of treatment with SFN. Conversely, SFN significantly reduced the protein levels of p62, a 15cargo receptor for autophagic degradation, after 3 to 9 h of treatment (Fig. 1C, D). Furthermore, we examined the relationship between LC3 and LDs in adipocytes by immunofluorescent staining for LC3 and BODIPY, a dye that 1617stains neutral lipids in the LDs. Immunofluorescence showed that the LC3 expression was increased and co-18 localized with LDs in differentiated 3T3-L1 cells following SFN treatment for 3 h (Fig. 1E, F).

2 SFN increases the LC3 expression in the epididymal fat of obese mice

3	Mice were also fed an HFD or CFD for 8 weeks and then treated with SFN at 30 mg/kg body weight for 3 h
4	to determine in vivo the regulation of lipolysis by SFN-mediated autophagy. SFN did not change the fasting plasma
5	TG or NEFA levels in either the CFD or HFD group (Table 1). Western blotting demonstrated an upregulation of
6	Nrf2 protein expression by SFN treatment in the epididymal fat of both groups. SFN significantly increased the
7	expression of LC3-II protein (LC3-II/ β -actin and LC3-II/LC3-I) in the epididymal fat of the HFD group but had no
8	effect on epididymal fat in the CFD group. However, SFN did not significantly decrease the p62 protein levels in
9	the epididymal fat in either group, in contrast to the results from the <i>in vitro</i> experiments (Fig. 2A, B).
10	The LDs in adipocytes of mammals are coated with perilipins, proteins from a gene family that includes five
11	members (PLIN1-5). Perilipin-1 is the most abundant protein coating LDs and is considered essential for the
12	formation and maturation of LDs and the storage of fatty acids released from TGs in the LDs [39]. Therefore, we
13	investigated the colocalization of perilipin-1 and LC3 in adipocytes using immunofluorescent staining. LC3
14	expression was increased and LC-3 co-localized with perilipin in adipocytes following SFN treatment in the HFD
15	group, but these effects were not seen in the CFD group (Fig. 2C, D).

16

1

17 SFN induces autophagy in 3T3-L1 adipocytes

18 As shown in Figure 1 and 2, we confirmed that SFN increases LC3-II protein expression in adipocytes. To

1	exclude the possibility that the increased LC3-II levels were resulted from the accumulation of LC3-II due to
2	downstream inhibition other than SFN induction, we treated differentiated 3T3-L1 cells with SFN in the presence
3	of lysosomal inhibitor Bafilomycin. SFN up-regulated additively to the LC3-II levels induced by Bafilomycin in
4	mature 3T3-L1 cells for 6 h (Fig. 3A). Next, we analyzed the autophagy flux in differentiated 3T3-L1 cells using a
5	tandem mCherry-EGFP-LC3 reporter fluorescence assay. LC3-attached autophagosomes are known to be formed
6	in the cytoplasm when autophagy is induced, fusing with endosomes or lysosomes to form autolysosomes, which
7	provide an acidic environment and digestive function to the interior of the autophagosome. mCherry is acid-stable,
8	while GFP is acid-labile; therefore, if autophagic flux is increased, both yellow and red punctate are increased.
9	However, if autophagosome maturation into autolysosomes is blocked, only yellow punctate is increased without a
10	concomitant increase in red punctate (Fig. 3B). After stable 3T3-L1 cells expressing mCherry-EGFP-LC3 were
11	differentiated into mature adipocytes, they were treated with vehicle (DMSO), Bafilomycin, or SFN for 3 h. While
12	Bafilomycin treatment increased yellow puncta, SFN induced red puncta compared with controls in adipocytes (Fig.
13	3 C).
14	To further confirm the effects of SFN on the autophagy flux, we next used another autophagy flux assay probe,
15	the GFP-LC3-RFP-LC3∆G [36]. The probe is cleaved by Atg4 under autophagy induction and divided into GFP-
16	LC3 and RFP-LC3∆G. GFP-LC3 conjugates with PE on an isolation membrane through the glycine 120 residue.
17	After autophagosome-lysosome fusion, the interior GFP-LC3 is degraded, and GFP fluorescence is diminished by
18	the acidic environment of the autolysosome. Because RFP-LC3AG cannot be degraded because of its inability to

1	conjugate PE due to the deletion of 120, RFP fluorescence can be used as internal control due to its stability. A
2	decline in the GFP/RFP ratio indicates autophagic flux (Fig. 3D). 3T3-L1 cells stably expressing GFP-LC3-RFP-
3	LC3 Δ G were treated with 10 μ M SFN for 3 h. Treatment with SFN significantly decreased the GFP/RFP ratio
4	compared with control (Fig. 3E). These results indicate that SFN blocks autophagic flux, resulting in the significant
5	degradation of autophagic substrates, such as LC3-II, in 3T3-L1 adipocytes.
6	
7	SFN induces activation of the AMPK-mTOR-ULK1 signaling pathway in 3T3-L1 adipocytes
8	To clarify the contribution of SFN to lipophagy in adipocytes, we generated mature 3T3-L1 cells with the
9	knockdown of ATG5. As expected, ATG5-specific siRNA reduced the endogenous ATG5 mRNA levels by more
10	than 60% along with the LC3-II protein expression downstream of the ATG12-ATG5-ATG16 complex in 3T3-L1
11	adipocytes (Fig. 4A, B). ATG5-knockdown 3T3-L1 adipocytes and control 3T3-L1 adipocytes were treated with
12	SFN or DMSO for 10 days. Subsequent oil red-O staining showed that ATG5 knockdown partially blocked the SFN-
13	induced reduction in the LD size in 3T3-L1 adipocytes (Fig. 4C, D). We also investigated the rapid effect of SFN
14	on the release of NEFA from LDs in 3T3-L1 adipocytes treated with ATG5-specific siRNA. SFN significantly
15	increased the release of NEFA from LDs in mature 3T3-L1 adipocytes, but ATG5-knockdown inhibited the effect
16	induced by SFN treatment (Fig. 4E).
17	Autophagy is elicited in cells through the induction of ULK1 via the phosphorylation of AMPK or the inhibited

mTOR [10, 11]. Indeed, it has been reported that SFN provoked autophagy through the AMPK-mTOR-ULK1 18

1	signaling pathway in hepatocytes [40]. To investigate the mechanisms underlying the induction of autophagy by
2	SFN in 3T3-L1 adipocytes, we examined the AMPK-mTOR-ULK1 signaling pathway using western blotting.
3	Differentiated 3T3-L1 cells were treated with 10 μ M SFN for up to 9 h. We confirmed that SFN increased the
4	amount of nuclear Nrf2 protein after 0.5 to 9 h treatment (Fig. 5C, D). Elevated levels phosphorylated-AMPK α (p-
5	AMPK α) and phosphorylated-ULK1 (p-ULK1) levels were transiently induced after 1 h of SFN treatment.
6	Following the induction of p-AMPK α , SFN reduced the phosphorylated-mTOR (p-mTOR) levels from 1 to 6 h of
7	treatment. The levels of phosphorylated-4E-BP1 (p-4E-BP1), an mTOR substrate, were transiently reduced after 1
8	to 3 h of SFN treatment (Fig. 5A, B). We also investigated whether autophagy pathways other than the AMPK-
9	mTOR-ULK1 pathway might be involved in the induction of autophagy by SFN in 3T3-L1 adipocytes [21, 41].
10	SFN treatment did not affect the phosphorylation or protein expression of component of the ERK pathway (ERK1/2)
11	or the Rubicon pathway (Rubicon protein) in 3T3-L1 adipocytes (Fig. 5C, D).
12	To clarify the effect of the AMPK-mTOR-ULK1 signaling pathway on lipophagy in adipocytes, we generated
13	mature 3T3-L1 cells with the knockdown of $AMPK\alpha 1/2$. As expected, $AMPK\alpha 1/2$ -specific siRNA reduced the
14	endogenous phosphorylated AMPK α (p-AMPK α) and total AMPK α (AMPK α) protein levels in 3T3-L1
15	adipocytes (Fig. 5E). We also confirmed that $AMPK\alpha l/2$ -knockdown significantly decreased the release of NEFA
16	from LDs in mature 3T3-L1 adipocytes induced by SFN treatment (Fig. 5F). These data suggest that SFN enhanced
17	autophagy through the AMPK-mTOR-ULK1 signaling pathway in differentiated 3T3-L1 cells (Fig. 5G).

1 Discussion

2	In the present study, we determined that SFN contributes to lipophagy in adipocytes of mice fed an HFD and
3	induces lipophagy through the AMPK-mTOR-ULK1 signaling pathway in differentiated 3T3-L1 cells. Recently, it
4	has been suggested that lipophagy can contribute to lipolysis in adipocytes [19-22]. While dietary factors regulate
5	lipolysis through various pathways in adipose tissue [4–7], the effects of dietary factors on lipophagy in adipocytes
6	remain unclear. SFN also regulates lipolysis in 3T3-L1 adipocytes via HSL activation and adipocytes browning [7,
7	34]. However, the regulation of lipolysis by SFN in adipocytes focused on lipophagy, but not lipase and adipocyte
8	browning, has not yet been identified. In the present study, we found that a 3 h treatment with SFN increased the
9	expression of LC3-II protein in the epididymal fat of mice fed an HFD but not a CFD. Immunofluorescent staining
10	showed that SFN treatment increased the expression of LC3 and its co-localized with perilipin, an adipocyte-specific
11	protein that covers the surface of LDs, in adipocytes of the HFD group but not the CFD group. These results suggest
12	that the effects of SFN on autophagy in adipocytes vary depending on the consumption of diets with different fat
13	content. We consider that this variation may reflect differences in the method of administration of SFN to the mice.
14	The mice fed have larger amounts of abdominal fat, including epididymal fat when fed the HFD than the CFD, and
15	the administered SFN may be delivered more rapidly to epididymal fat. If the method of SFN administration is
16	changed from intraperitoneal to oral administration, this difference in SFN effects on autophagy in the adipocytes
17	between the CFD and HFD groups may not be observed. In differentiated 3T3-L1 cells, we showed that SFN-
18	induced lipolysis and transiently increased the expression of LC3-II protein while also increasing the accumulation

of LC3 co-localized with LDs. We also demonstrated that SFN can induce autophagy in differentiated 3T3-L1 cells
using a mCherry-EGFP-LC3 or GFP-LC3-RFP-LC3ΔG vector. In addition, we confirmed that *ATG5* knockdown
partially blocked the SFN-induced reduction in the LD size and SFN-induced increase in the release of NEFA from
LDs in 3T3-L1 adipocytes. These results suggest that SFN can regulate autophagy in murine adipose both *in vitro*and *in vivo*.

6 Because p62 acts as a cargo receptor for the autophagic degradation of ubiquitinated targets, the induction of $\overline{7}$ autophagy generally down-regulates p62 protein levels [29, 42]. Indeed, p62 protein levels are decreased by the 8 increase in autophagy flux through cAMP/PKA signaling and nutrient starvation in adipocytes differentiated from 9 C3H10T1/2 cells [20]. Mice with knockout of adipocyte-specific Beclin-1, which plays a central role in autophagy, 10 show increased p62 protein levels, resulting in defective β_3 -adrenergic receptor agonist-induced lipolysis in adipose 11 tissue [20]. In the present study, western blotting revealed significant reductions in p62 protein levels in 12differentiated 3T3-L1 cells after 3 to 9 h of treatment with SFN. However, unlike its effects in vitro, SFN did not 13promote a significant decrease in p62 protein levels in the epididymal fat of either the CFD or HFD mouse groups. The expression of the *p62* gene is well known to be induced by Nrf2 binding to the ARE of its gene promoter [43]. 1415Nrf2 activation induces p62, which competitively inhibits the Keap1-Nrf2 interaction and binds to Keap1, resulting in the induction of autophagy and the degradation of p62 [30-32]. Indeed, we recently demonstrated that many 1617polyphenolic flavonoids (Nrf2 activators) up-regulate p62, which might play a common role in the pro-autophagic 18 effects of phytochemicals in Caco-2 cells [44]. Taken together, these results indicate that if SFN had been

administered to mice for longer than 3 h, the p62 protein levels might have decreased in the epididymal adipose
 tissues.

3	SFN induces antiobesity activity by inhibiting lipogenesis through the down-regulation of PPAR γ and activation
4	of the AMPK pathway [45]. In addition, the AMPK-mTOR pathway is known to modulate autophagy via the
5	coordinated phosphorylation of ULK1 [10, 11]. In the present study, SFN quickly and transiently increased the p-
6	AMPK α and p-ULK1 levels and reduced the p-mTOR levels in differentiated 3T3-L1 cells. Furthermore, the levels
7	of p-4E-BP1, an mTOR substrate, were transiently reduced by SFN treatment. We also confirmed that $AMPK\alpha 1/2$ -
8	knockdown significantly decreased the release of NEFA from LDs in mature 3T3-L1 adipocytes induced by SFN
9	treatment. Although some pathways, such as the ERK and Rubicon pathways, are also involved in autophagy
10	regulation [21, 41], we confirmed that SFN did not affect the phosphorylation of ERK1/2 and Rubicon protein
11	expression levels in 3T3-L1 adipocytes. These results suggest that SFN triggers autophagy through the AMPK-
12	mTOR-ULK1 signaling pathway in differentiated 3T3-L1 cells. Yang et al. reported a similar observation in
13	hepatocytes but not adipocytes [40]. They found that SFN prevented HFD-induced non-alcoholic fatty liver disease
14	(NAFLD) in mice via the down-regulation of the NOD-like receptor family pyrin domain containing 3 (NLRP3)
15	inflammasome through AMPK-dependent autophagy in the liver. However, the levels of saturated fatty acid (SFA),
16	such as palmitate, in plasma are reportedly elevated in NAFLD patients, which promotes an inflammatory response
17	by directly engaging Toll-like receptors and inducing the NF-kB-dependent production of inflammatory cytokines
18	[46-48]. Yang et al. also suggested that SFN inhibited the SFA-induced activation of the NLRP3 inflammasome in

1	primary mouse hepatocytes [40]. We recently reported that SFA can perturb the autophagy machinery in vascular
2	smooth muscle cells, contributing to vascular calcification and apoptosis [42]. Taken together, these reports suggest
3	that SFN ameliorates NAFLD through the improvement of SFA-induced autophagy inhibition in hepatocytes.
4	The present findings suggest that the AMPK-mTOR-ULK1 signaling pathway is involved in the mechanism
5	underlying the lipophagy induced by SFN in 3T3-L1 adipocytes. Sirtuin 1 (Sirt1) is well recognized as a major
6	regulator of autophagy and directly regulates autophagy through the deacetylation of several mediator proteins of
7	autophagy, such as ATG5, ATG7, and LC3 [49]. Interestingly, SFN increases the expression of Sirt1 and PPAR γ
8	coactivator 1 alpha (PGC-1a), a downstream target of Sirt1, in 3T3-L1 mature adipocytes [34]. Interestingly,
9	resveratrol, a Sirt1 activator, can stimulate AMPK action through a Sirt1-dependent mechanism [50]. We therefore
10	considered that SFN can activate the AMPK signal through Sirt1 and promote lipophagy in 3T3-L1 cells. Indeed,
11	we confirmed an increase in Sirt1 protein expression in differentiated 3T3-L1 cells after a 1 h treatment with SFN
12	(Supplementary Fig. 2). In addition, Sirt1 activates transcription factor EB (TFEB), a master regulator of
13	autophagic and lysosomal functions [51, 52]. Recently, Li et al. suggested that SFN increases autophagosome and
14	lysosome biogenesis through a TFEB-mediated lysosome-dependent transcriptional program in HeLa cells [53].
15	Although the present findings suggest the effects of SFN on lipophagy through the AMPK-mTOR-ULK1 signaling
16	pathway, we cannot deny the probable presence of SFN-induced lipophagy via Sirt1-AMPK and Sirt1-TFEB in
17	adipocytes.

In conclusion, the present study showed that the LC3 expression was increased and co-localized with perilipin

4	lipophagy in adipocytes.
3	knowledge, this is the first study to demonstrate that dietary factors partially contribute to lipolysis thorough
2	through the AMPK-mTOR-ULK1 signaling pathway in adipocytes, resulting in the reduction of LDs. To our
1	in adipocytes of mice fed an HFD following SFN treatment. Furthermore, SFN was shown to induce lipophagy

 $\mathbf{5}$

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12	
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14	

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1 Figure Legends

 $\mathbf{2}$ Fig. 1. Effects of SFN treatment on the expression of LC3 expression in 3T3-L1 adipocytes. (A, B) 3 Differentiated 3T3-L1 cells were treated with SFN (100 µM), 500 nM rapamycin, or 0.1% DMSO (Control) for 10 days. Cells were then fixed, stained with oil red-O staining, and analyzed with a BZ-9000 fluorescence microscope. 4 Lipid accumulation was quantified using the ImageJ imaging software program. Scale bar = 50 μ m. Values are the $\mathbf{5}$ mean \pm S.E.M. (n = 9). *p < 0.001 vs. Control (one-way ANOVA with a Student-Newman post-hoc test). (C, D) 6 7Western blotting of LC3 and p62 in the 3T3-L1 adipocytes. Differentiated 3T3-L1 cells were treated with 10 µM 8 SFN or 0.1% DMSO (Control) for the indicated periods (0, 0.5, 1, 3, 6, and 9 h). β-actin was used as an internal 9 control. Values are the mean \pm S.E.M. (n = 3). *p < 0.05 vs. 0 h (one-way ANOVA with a Student-Newman post-10 hoc test). (E, F) After differentiated 3T3-L1 cells were treated with 10 µM SFN or 0.1% DMSO (Control) for 3 h, cells were fixed and stained for LC3 Ab conjugated to Alexa Fluor 568 (red). LDs were stained with BODIPY 11 12(493/503). Images were taken with a confocal laser-scanning microscope. Percentage colocalization of LC3 with 13 BODIPY. Scale bar = 10 μ m. Values are the mean \pm S.E.M. (n = 3). *p < 0.05 (two-tailed unpaired Student's t test).

14

15Fig. 2. Effects of SFN treatment on the expression of LC3 in epididymal fat of obese mice. Seven-week-old male mice were fed a high-fat diet (HFD) or control-fat diet (CFD) for 8 weeks. After fasting for 18 h, the mice 16were randomly divided into 2 groups and treated with DMSO (Control) or SFN for 3 h. (A, B) Western blotting of 1718 LC3 and p62 in epididymal fat. B-actin was used as an internal control. (C, D) LC3 Ab and a secondary Ab conjugated to Alexa fluor 555 (red), and Perilipin Ab and a secondary Ab conjugated to Alexa Fluor 488 (green). 1920Nuclear staining with DAPI is shown in blue. Images were taken with a fluorescence microscope. Percentage 21colocalization of LC3 with perilipin. Scale bar = 100 μ m. Values are the mean \pm S.E.M. (*n* = 4). **p* < 0.05 (two-22tailed unpaired Student's t test).

23

Fig. 3. Effects of SFN on the autophagy flux in 3T3-L1 adipocytes. (A) Western blotting of LC3 in 3T3-L1 24adipocytes. Differentiated 3T3-L1 cells were treated with 10 µM SFN with or without 100 nM Bafilomycin (BAF), 2526or 0.1% DMSO (Control) for 6 h. β-actin was used as an internal control. (B) Schematic illustration of the tandem 27mCherry-EGFP-LC3 plasmid. (C) Differentiated 3T3-L1 cells stably expressing mCherry-EGFP-LC3 were treated with 10 µM SFN, 100 nM Bafilomycin (BAF), or 0.1% DMSO for 3 h. Scale bar = 50 µm. (D) Schematic illustration 2829of the measurement of autophagic flux with a GFP-LC3-RFP- ΔG probe. (E) Differentiated 3T3-L1 cells stably expressing GFP-LC3-RFP-ΔG were treated with SFN (10 μM) or 0.1% DMSO (Control) for 3 h. GFP/RFP ratio 30 data were expressed as the fold-value against controls. Scale bar = 50 μ m. Values are the mean \pm S.E.M. (*n* = 4). **p* 31< 0.05, **p < 0.01 (one-way ANOVA with a Student-Newman post-hoc test). 32

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Fig. 4. Effects of *ATG5*-knockdown on the SFN-induced cutdown of LDs in 3T3-L1 adipocytes. (A) Endogenous *ATG5* mRNA and (B) LC3 protein were detected by real-time PCR and western blotting 48 h after

transfection with 100 pmpl ATG5 siRNA (siATG5) or negative control (siCont.) in differentiated 3T3-L1 cells. 1 $\mathbf{2}$ Values are the mean \pm S.E.M. (n = 3). *p < 0.05 (two-tailed unpaired Student's t test). (C, D) Differentiated 3T3-L1 cells were treated with 10 µM SFN or DMSO (control) for 10 days after transfection with 100 pmol ATG5 siRNA 3 4 or negative control. Cells were then fixed, stained with oil red-O staining, and analyzed with a BZ-9000 fluorescence microscope. Lipid accumulation was quantified using the ImageJ imaging software program. Scale bar = $50 \mu m$. $\mathbf{5}$ 6 Values are the mean \pm S.E.M. (n = 10). (E) Differentiated 3T3-L1 cells were treated with 10 μ M SFN for DMSO $\overline{7}$ (control) 24 h after transfection with 100 pmol ATG5 siRNA or negative control. The culture medium was collected 8 and assayed for NEFA content (mEq/L/mg protein). Values are the mean \pm S.E.M. (n = 9-12). *p < 0.05 (one-way 9 ANOVA with a Student-Newman post-hoc test).

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11 Fig. 5. Induction of autophagy by SFN through the AMPK pathway in 3T3-L1 adipocytes. Differentiated 3T3-12L1 cells were treated with 10 µM SFN at the indicated time (0, 0.5, 1, 3, 6, and 9 h). (A, B) The expression of phosphorylated AMPKα (p-AMPKα), total AMPKα (AMPKα), phosphorylated mTOR (p-mTOR), total mTOR 13(mTOR), phosphorylated ULK1 (p-ULK1), total ULK1 (ULK1), phosphorylated 4E-BP1 (p-4E-BP1), total 4E-1415BP1 (4E-BP1), (C, D) phosphorylated ERK1/2 (p-ERK1/2), total ERK1/2 (ERK1/2), Rubicon protein, and nuclear 16 Nrf2 protein were determined by western blotting with specific antibodies. β -actin or Histone H3 was used as an internal control. Values are the mean \pm S.E.M. (n = 3). *p < 0.05 vs. 0 h (one-way ANOVA with a Student-Newman 1718 post-hoc test). (E, F) Differentiated 3T3-L1 cells were treated with 10 µM SFN for DMSO (control) 24 h after transfection with 100 pmol AMPK α 1/2 siRNA (siAMPK α) or negative control (siCont.) for 24 h. (E) The expression 19of p-AMPKa and AMPKa protein were determined by western blotting with specific antibodies. (F) The culture 2021medium was collected and assayed for NEFA content (mEq/L/mg protein). Values are the mean \pm S.E.M. (n = 4). 22*p < 0.05 (one-way ANOVA with a Student-Newman post-hoc test). (G) Schematic illustration of the induction of 23lipophagy by SFN in 3T3-L1 adipocytes.

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1 Supplementary Figure Legends

2 Figure S1. Effects of SFN on cell viability in mature 3T3-L1 cells.

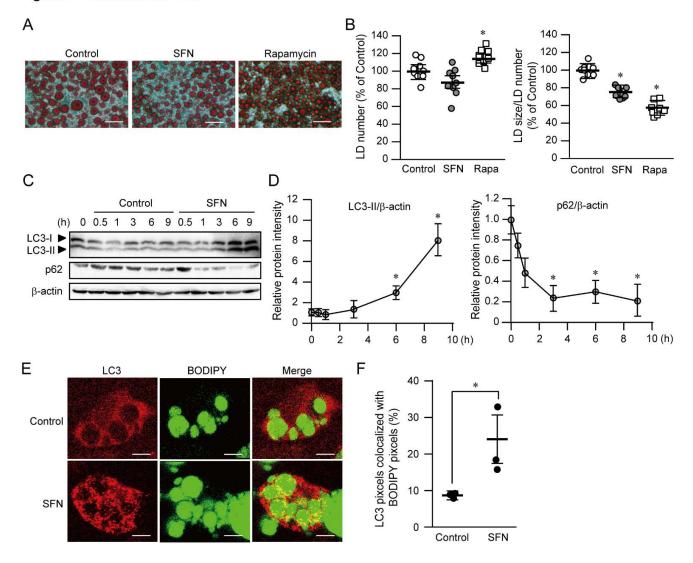
3 Differentiated 3T3-L1 cells were treated with SFN (10 and 100 µM) or 0.1% DMSO (Control) for 10 days. Cell

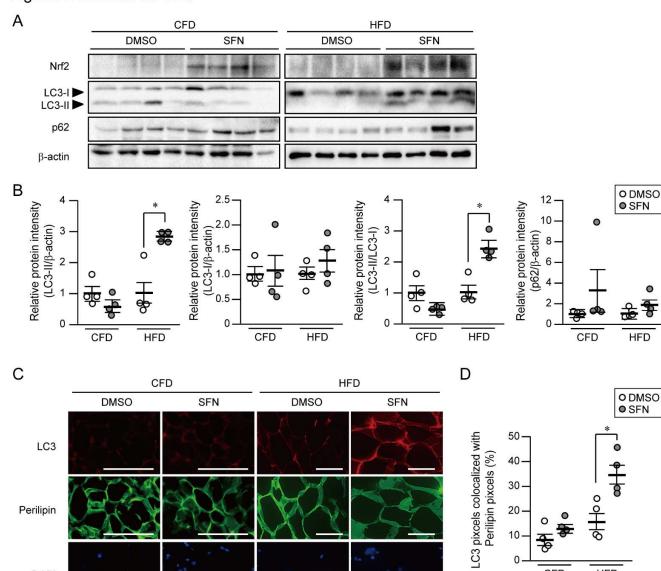
- 4 survival was tested using a CellTiter-FluorTM Cell Viability Assay. The fluorescence spectra were measured using
- 5 SpectraMax i3 with a filter set at Ex/Em 390/505 nm. Values are the mean \pm S.E.M. (n = 9). *p < 0.05 vs. Control
- 6 (one-way ANOVA with a Student-Newman post-hoc test).
- 7

8 Figure S2. Effects of SFN on the expression of Sirt1 in mature 3T3-L1 cells.

- 9 Differentiated 3T3-L1 cells were treated with SFN (100 µM) at the indicated time (0, 0.5, and 1 h). (A, B) The
- 10 expression of Sirt1 protein was determined by western blotting with specific antibodies. β -actin was used as an
- 11 internal control. Values are the mean \pm S.E.M. (n = 3). *p < 0.05 (one-way ANOVA with a Student-Newman post-
- 12 hoc test).
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Figure. 1 Masuda M. et al





HFD

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HFD

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20

10

0

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CFD

Figure. 2 Masuda M. et al

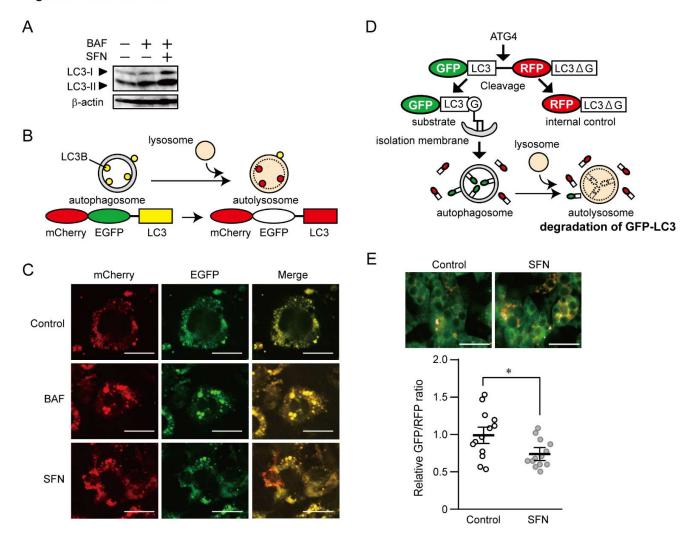


Perilipin

DAPI

Merge

Figure. 3 Masuda M. et al



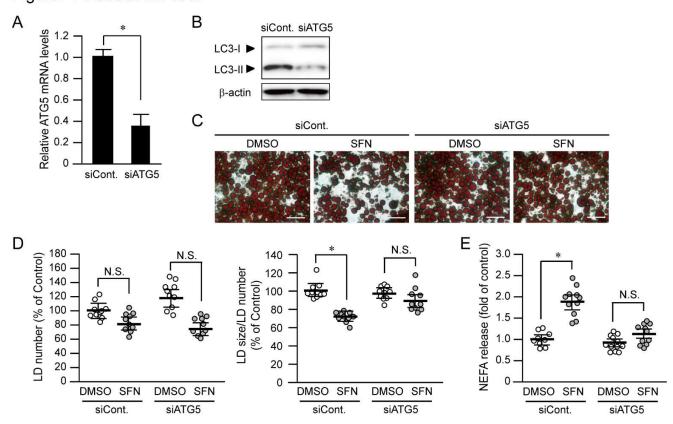


Figure. 4 Masuda M. et al

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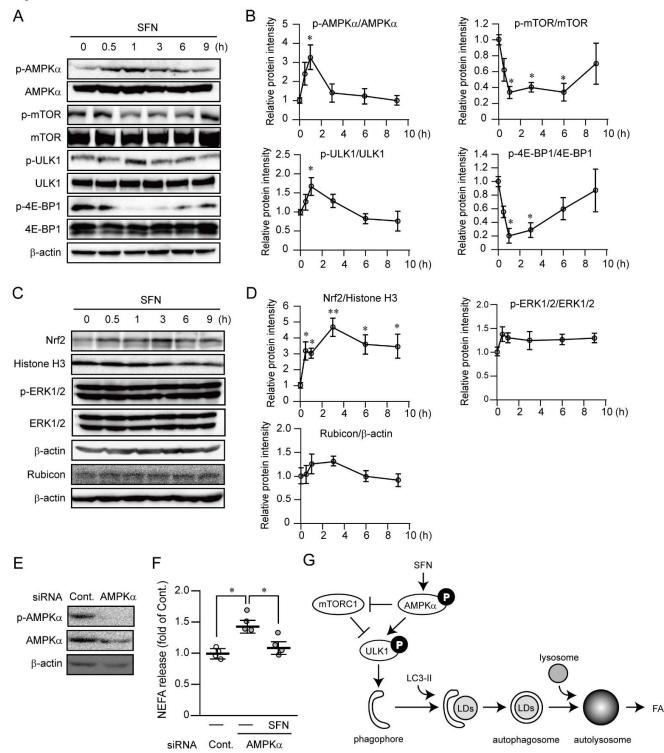
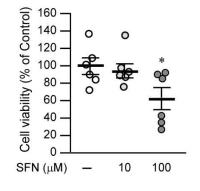


Figure. 5 Masuda M. et al

Table 1. Effects of SFN on plasma TG and NEFA levels.

	CFD		HFD		
	DMSO	SFN		DMSO	SFN
TG (mg/dl)	38.1 ± 2.01 ^a	33.0 ± 2.24 ^a		67.5 ± 10.3 ^b	51.3 ± 2.07 ^b
NEFA (mEq/l)	0.431 ± 0.050 ^a	0.436 ± 0.046 ^a		0.584 ± 0.046 ^b	0.507 ± 0.021 ^b

Values are means \pm S.E.M. (*n* = 4). Data was analyzed by a one-way ANOVA with a Student-Newman post-hoc test. Different letters denote significantly distinct groups at *p* < 0.05.



Supplemental Figure. 1 Masuda M. et al

Supplemental Figure. 2 Masuda M. et al

