

1 **Ketamine as an Alternative Anesthetic for Augmenting Seizure Durations During**
2 **Electroconvulsive Therapy: A Retrospective Observational Study**

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17 **Abstract**

18 **Objective:**

19 Electroconvulsive therapy (ECT) is highly effective for severe psychiatric disorders;
20 however, short seizure durations may lead to ineffective therapy. This retrospective study
21 aimed to examine the risks and benefits of switching to ketamine anesthesia to augment
22 seizure durations during an acute course of ECT.

23 **Methods:**

24 We included 33 patients who underwent ketamine anesthesia due to suboptimal seizures
25 during an acute course of ECT. We assessed seizure duration, stimulus dose,
26 hemodynamic variability, and post-seizure complications before and after switching to
27 ketamine.

28 **Results:**

29 Age was significantly associated with suboptimal seizures during ECT ($p = 0.040$). After
30 switching to ketamine, 32 patients (97%) experienced prolonged seizure duration.
31 Ketamine significantly prolonged both electroencephalogram and motor seizure
32 durations with a mean difference of 34.6 s (95% CI, 26.4 to 42.7; $p < 0.001$) and 26.6 s
33 (95% CI, 19.6 to 33.6; $p < 0.001$), respectively. It also significantly reduced stimulus dose
34 (mean difference: -209.5 mC [95% CI, -244.9 to -174.1], $p < 0.001$). Additionally,
35 maximum changes in systolic blood pressure and heart rate during ECT sessions
36 significantly increased with ketamine (mean difference: 27.2 mmHg [95% CI, 12.0 to
37 42.4; $p = 0.001$]; 25.7 bpm [95% CI, 14.5 to 36.8; $p < 0.001$], respectively). Patients
38 reported more headaches with ketamine ($p = 0.041$).

39 **Conclusions:**

40 Our results provide evidence that ketamine as an alternative anesthetic can augment

41 seizure durations in specific patients experiencing suboptimal seizures during an acute
42 course of ECT. However, its use requires greater attention to circulatory management and
43 post-seizure complications.

44

45 **Key Words:**

46 augmentation, alternative anesthetic, electroconvulsive therapy, ketamine, seizure
47 duration

48 **Introduction**

49 Electroconvulsive therapy (ECT), which is generally performed under anesthesia,
50 is considered safe and highly effective for severe major depression, bipolar disorder, and
51 schizophrenia.¹⁻⁴ Therapeutic seizures induced by stimulus doses higher than the seizure
52 threshold are essential for the efficacy of ECT, and according to the American Psychiatric
53 Association guidelines, at least 15 seconds of seizure duration is required.⁵ Seizure
54 duration has been negatively correlated with the number of ECT sessions, suggesting that
55 the seizure threshold increases with an increasing number of sessions.^{6,7} Given the
56 clinical ineffectiveness of short seizure duration, augmentation strategies are needed for
57 patients with elevated seizure thresholds exceeding the maximum stimulus dose.⁸

58 Ketamine, an N-methyl D-aspartic acid receptor antagonist, may provide
59 additional benefits for ECT in patients with major depression owing to its independent
60 antidepressant effect.⁹⁻¹¹ Although the efficacy of ketamine as a first-line anesthetic for
61 ECT has been inconsistent and controversial in randomized controlled trials (RCTs),¹²⁻¹⁵
62 a meta-analysis has shown that ketamine induces a longer seizure duration than other
63 anesthetics, including propofol, thiopental, and methohexital.¹⁶ Therefore, ketamine may
64 improve the quality of seizures that maybe short or difficult to induce using other
65 anesthetics.¹⁷ However, to the best of our knowledge, few studies have examined the
66 efficacy of switching to ketamine during acute course ECT,^{18,19} and clinical evidence on
67 the effects of ketamine during acute course ECT remains limited.

68 This retrospective study aimed to examine the risks and benefits of ketamine as
69 a second-line anesthetic to augment seizure durations during the acute course This study
70 was also designed to address the following clinical questions:

- 71 1. What factors are involved in patients experiencing suboptimal seizures during acute
72 ECTs?
- 73 2. Does ketamine, as a second-line anesthetic, augment seizure durations in patients
74 with elevated seizure thresholds?
- 75 3. Does ketamine increase hemodynamic variability during ECT sessions and post-
76 seizure complications?

77

78 **Materials and Methods**

79 **Ethics**

80 This retrospective observational study was reviewed and approved by the Ethics
81 Committee of Tokushima University Hospital (approval number; 3853-1) and publicized
82 on our department website using an opt-out approach. The requirement for written
83 informed consent from each patient or their legal guardian was waived for this
84 retrospective study. This manuscript adheres to the Strengthening the Reporting of
85 Observational Studies in Epidemiology (STROBE) Statement.

86

87 **Participants**

88 We included adult patients aged 20 years and older who underwent acute ECTs
89 using a pulse-wave stimulus at Tokushima University Hospital from November 1, 2010,
90 to October 31, 2022. The study period was selected based on the time during which
91 ketamine was used as a second-line anesthetic to augment seizure durations at our hospital.
92 The exclusion criteria were as follows: patients who underwent maintenance ECTs,
93 patients who received ketamine from the first session in an acute ECT course, patients
94 with ECT interruption due to severe post-seizure complications, patients with sine-wave

95 ECTs, and patients who declined to participate in the study.

96

97 ECT protocol

98 ECT is usually performed using the following protocol in our hospital. Pulsed-
99 wave ECT with bilateral electrode placement is performed using a Thymatron SYSTEM
100 IV (Somatics LLC, Lake Bluff, IL, USA) with a pulse width of 0.5 ms and a pulse
101 frequency of 70 Hz. In this configuration, Thymatron® outputs 504 mC of energy at
102 100% charge. The initial stimulus dose is determined using the half-age method, which
103 indicates that the initial stimulus dose for a 60-year-old patient is 30% of the Thymatron
104 maximum.²⁰ Seizure quality is evaluated by the attending psychiatrists using the
105 following criteria. The primary requirement includes was (1) electroencephalogram
106 (EEG)/motor seizure duration $\geq 25/20$ sec (or $\geq 20/15$ sec for patients aged ≥ 65 years).
107 Additional supplementary factors utilized in the assessment include (2) symmetric
108 waveforms with high amplitude, (3) postictal suppression, and (4) sympathetic activation;
109 however, these factors lack defined, quantifiable records in this study.

110 The standard anesthetic protocol in our hospital is as follows: Propofol (1–1.5
111 mg/kg) and succinylcholine (1 mg/kg) are the first-line anesthetic and neuromuscular
112 blocking agents, respectively, unless there are special circumstances, such as an allergy
113 or drug rash. Adjunctive drugs, such as remifentanyl or dexmedetomidine, are not
114 included in the regimen. Anesthesiologists maintain respiration via mask ventilation;
115 neither tracheal intubation nor the use of supraglottic devices are employed. Ventilation
116 is regulated to ensure normocapnia, with no induction of intentional hyperventilation.
117 Hypertension and tachycardia during an ECT session are treated with the calcium channel
118 blocker, nicardipine, and the short-acting selective β_1 -blocker, landiolol, respectively.

119 The measures used to stabilize circulation are determined based on the discretion of the
120 attending anesthesiologist. Notably, none of these medications are not administered
121 prophylactically.

122 If the initial stimulus dose fails to produce a seizure of adequate duration, the
123 stimulus dose is increased and the intensity is raised to 1.5–2.0 times the previous dose.
124 When it is deemed that incrementally increasing the stimulus intensity, even up to nearly
125 100% of the maximum, is still insufficient to induce adequate seizures, switching to
126 ketamine as an anesthetic is considered. Following the transition to ketamine, the stimulus
127 dose is reduced based on the discretion of the attending psychiatrist.

128

129 Data collection and outcome measures

130 We collected the following patient information from electronic
131 medical/anesthesia records: sex, age, diagnosis, medical history, American Society of
132 Anesthesiologists Physical Status (ASA PS), anesthetic (type and dose), stimulus dose,
133 EEG/motor seizure duration, systolic and diastolic blood pressure (SBP, DBP)/heart rate
134 (HR) during the ECT session, pharmacotherapy for circulation during the ECT session,
135 and occurrence of post-seizure headache/nausea.

136 For clinical question 1, we examined the data of patients who had experienced
137 an anesthetic change and those who had not. For clinical question 2, paired analyses were
138 performed to examine the ECT parameters and seizure duration between the last session
139 before ketamine switching and the first session with ketamine in patients who experienced
140 an anesthetic change. For clinical question 3, paired analyses were performed to examine
141 the hemodynamic variability during ECT and post-seizure headache/nausea between
142 sessions before and after the ketamine switch in patients who experienced an anesthetic

143 change. Since the data for circulation and post-seizure complications at the time of
144 suboptimal seizures were deemed inappropriate, we targeted data from ECT with
145 sufficient seizure durations for these analyses.

146

147 Statistical analysis

148 Data are presented as numbers (percentages), means (standard deviation, SD), or
149 medians (interquartile range). Independent numerical variables between the two groups
150 were compared using Student's t-test or the Mann–Whitney U test. Independent binary
151 variables were compared between the two groups using the chi-square test or Fisher's
152 exact test in cases with five or fewer cells. Dependent numerical variables were compared
153 using a paired t-test or Wilcoxon signed-rank sum test. The dependent binary variables
154 were compared using McNemar's test.

155 Multivariate logistic regression analysis was performed to examine the factors
156 associated with patients who experienced anesthetic changes, and the following factors
157 were assessed: age, diagnosis, and dose of propofol. The number of explanatory variables
158 was determined based on the number of events divided by 10.

159 Statistical analyses were performed using R version 4.0.3 (The R Foundation for
160 Statistical Computing, Vienna, Austria) and EZR (Saitama Medical Center, Jichi Medical
161 University, Saitama, Japan). A two-sided p-value < 0.05 was considered statistically
162 significant.

163

164 **Results**

165 Of the 2181 ECT sessions identified during the study period, 766 maintenance
166 ECT sessions were excluded. The remaining 1415 acute ECT sessions were performed

167 on 85 patients. An additional five patients were excluded due to use of ketamine from the
168 first session, sine-wave ECT, and interruption of ECT due to a severe complication. A
169 total of 80 patients were thus included in the analysis, Of these, 33 underwent anesthetic
170 change to ketamine because of suboptimal seizures, while 47 patients did not. (Figure 1)

171 Patient characteristics are shown in Table 1. Patients who underwent anesthetic
172 change to ketamine were significantly older than those who did not ($p = 0.008$). The
173 distribution of diagnoses was also significantly different between groups ($p = 0.038$).
174 Additionally, all patients who underwent anesthetic change had switched from propofol.
175 In the multivariable logistic regression analysis, only age was significantly associated
176 with patients who underwent anesthetic change (per-one year increase, odds ratio 1.05
177 [95% confidence interval, 1.00 to 1.10], $p = 0.040$; Table. 2)

178 A total of 33 patients required switching to ketamine due to suboptimal seizures
179 despite the increased the stimulus dose for ECT with propofol from an initial dose of
180 179.5 (75.3) mC to 486.4 (48.2) mC. Of these patients, 32 (97.0%) patients experienced
181 prolonged seizure durations under ketamine anesthesia. In these 32 patients, EEG (from
182 18.9 [14.9] s to 53.5 [21.0] s, mean difference: 34.6 s [95% CI, 26.4 to 42.7], $p < 0.001$)
183 and motor (from 10.8 [9.6] s to 37.4 [16.7] s, mean difference: 26.6 s [95% CI, 19.6 to
184 33.6], $p < 0.001$) seizure durations were significantly extended after switching to
185 ketamine. Upon switching to ketamine, the desired prolongation of seizure duration was
186 achieved, despite a significant reduction in the stimulus dose (from 485.9 [48.8] mC to
187 276.4 [81.8] mC, mean difference: -209.5 mC [95% CI, -244.9 to -174.1], $p < 0.001$).

188 Hemodynamics during ECT, pharmacotherapy for circulation during ECT, and
189 post-seizure complications were compared before and after ketamine administration
190 (Table 3). Of the 33 patients considered, 26 patients were included in analysis and while

191 7 patients were excluded due to insufficient seizure durations with ECT using propofol,
192 which would make comparison difficult. The maximum elevation in SBP (from 34.5
193 [28.8] mmHg to 61.7 [30.1] mmHg, mean difference: 27.2 mmHg [95% CI, 12.0 to 42.4],
194 $p = 0.001$) and HR (from 26.5 [21.2] bpm to 52.2 [25.3] mmHg, mean difference: 25.7
195 bpm [95% CI, 14.5 to 36.8], $p < 0.001$) during ECT significantly increased with ketamine.
196 The extent of these increments was not influenced by patient characteristics, such as the
197 presence or absence of hypertension ($p = 0.758$, $p = 0.965$, respectively). There was no
198 significant difference in the maximum elevation in DBP (15.5 [21.9] mmHg versus 24.5
199 [18.6], mean difference: 8.9 mmHg [95% CI, -0.10 to 18.8], $p = 0.076$) before and after
200 switching to ketamine, respectively. Additionally, the dose of nicardipine used during
201 ECT significantly increased with ketamine (from 0.25 [0.00–1.00] mg to 1.00 [0.50–1.88]
202 mg, $p = 0.005$). Twenty-four patients were included in the analysis of post-seizure
203 complications; while two were excluded due to missing data. The incidence of headache
204 significantly increased with ketamine (from 8.3% to 33.3%; $p = 0.041$).

205

206 **Discussion**

207 This retrospective study examined the risks and benefits of ketamine as a second-
208 line anesthetic to augment seizure durations during acute ECTs. Older age was
209 significantly associated with inadequate seizures during the acute course of ECT, which
210 is consistent with the findings of previous studies.^{7,20,21} Changing the anesthetic to
211 ketamine induced significantly longer EEG and motor seizure durations (35 and 25 s,
212 respectively) in patients experiencing suboptimal seizures in ECT with propofol.
213 Moreover, ketamine requires significantly lower stimulus doses, saving approximately
214 200 mC. This indicates that a concurrent reduction in stimulus dose should be considered

215 when contemplating a transition from propofol to ketamine. However, ketamine
216 significantly increased both the systolic blood pressure and heart rate during ECT and
217 post-seizure headaches. Our results suggest that ketamine, as a second-line anesthetic,
218 can augment seizure durations in patients experiencing suboptimal seizures in the course
219 of acute ECTs. However, circulatory management and post-seizure complications require
220 greater attention.

221 Traditionally, anesthetic changes have been attempted to improve seizure quality
222 in patients experiencing suboptimal seizures during the course of acute ECT; however,
223 few studies have examined the efficacy of switching anesthetics.²² Most comparisons
224 between different anesthetics have been performed in parallel-group RCTs. Our within-
225 subject study targeted specific patients experiencing suboptimal seizures; this condition
226 differs from previous between-subject RCTs that targeted unspecified patients. Although
227 some RCTs have reported negative results regarding the superiority of ketamine for ECT,
228^{13,23,24} our results emphasize that ketamine remains a promising option for specific
229 patients who need seizure augmentation because of an elevated seizure threshold.

230 Ketamine successfully prolonged seizure duration; however, therapeutic efficacy
231 as an improvement in patients' symptoms could not be assessed in this study. Comparable
232 scores representing symptoms were not recorded regularly in the medical records. Even
233 if the scores were available, the study design did not allow for the evaluation of whether
234 seizure augmentation with ketamine contributed to improvement in patient symptoms
235 because of the lack of a control group. Although shorter seizures may be related to
236 therapeutic ineffectiveness, a longer total seizure duration through an acute ECT course
237 may not contribute to maximizing therapeutic efficacy.²⁵⁻²⁷ The ictal EEG, such as the
238 amplitude, morphology, and postictal suppression, is also known to be related to treatment

239 efficacy,²⁸⁻³⁰ however, this was not included in the analysis in this study. Previous study
240 has indicated that ketamine produces superior seizure quality in terms of postictal
241 suppression.³¹ Further, well-designed prospective studies are needed to determine the
242 therapeutic efficacy of ketamine as a second-line anesthetic in acute ECTs.

243 A major concern associated with ketamine is increased adverse events during or
244 after ECT. Our results demonstrated that ketamine increased hemodynamic changes
245 during ECT compared to propofol during sufficient ECT seizures. This could be attributed
246 to ketamine's intrinsic sympathomimetic activity, which raises both blood pressure and
247 heart rate.^{32,33} Additionally, although it was beyond the scope of this study, it is worth
248 noting that ketamine might impact circulatory dynamics by intensifying ECT seizures.
249 Excessive sympathetic stimulation during ECT may increase the risk of ECT-induced
250 complications, such as Takotsubo cardiomyopathy.³⁴ Another major concern is that
251 ketamine may increase the incidence of post-seizure complications. In our study,
252 ketamine significantly increased the incidence of post-seizure headache, while previous
253 studies have suggested that ketamine increases hallucinations, nausea, vomiting, and
254 dizziness.^{8,35} These adverse events may cause higher subject dropout rates during ECT.
255 ^{35,36} Therefore, considering the risks and benefits, ketamine may be recommended
256 depending on the individual patient's condition rather than routine use.

257 This retrospective study aimed to investigate the efficacy of switching
258 anesthetics during acute ECTs. However, it is crucial to note that both psychiatrists and
259 anesthesiologists need not only a thorough understanding of the sedative drugs being used,
260 but also a detailed insight into the modulation of anesthesia depth, application of
261 adjunctive anesthetic agents, and management of ventilation. All these factors
262 collectively influence the quality of convulsions.^{37,38} Furthermore, hemodynamic

263 instability caused by increased sympathetic and parasympathetic activity during ECT
264 necessitates efforts to stabilize the heart rate and blood pressure. Utilizing circulatory aids,
265 such as calcium channel blockers and beta-blockers, can be instrumental in achieving
266 this.³⁷ Our results suggest that ketamine-based ECT may increase the need for these
267 circulatory aids.

268 This study had some limitations. First, seizure quality and anesthetic changes
269 were assessed by an individual psychiatrist. Although our hospital uses algorithms for
270 setting ECT parameters and treatment evaluations, the retrospective design is not as
271 precise as the prospective criteria. Second, information on patient symptoms and post-
272 seizure complications were missing from the medical records in several cases. Hence, this
273 study may have had a low statistical power to detect possible post-seizure complications
274 other than headaches. Third, baseline medication and changes in medication during ECT
275 and hyperventilation may have affected seizure duration; however, these factors were not
276 assessed in this study. A previous study suggested that most psychotropic medications
277 had little effect on seizure duration and threshold.³⁹ Fourth, we analyzed seizure duration
278 as an endpoint. While symmetric waveforms with high amplitude, postictal suppression,
279 and sympathetic activation also seem important for evaluating seizure quality, these
280 measures were not included in the analysis due to the absence of defined quantitative
281 records and lack of relevant data in the medical records. Finally, propofol is the standard
282 anesthetic for ECT in our hospital; consequently, all patients were switched from propofol
283 to ketamine. Therefore, our results may not be applicable to other anesthetics such as
284 thiopental/thiamylal, etomidate, and methohexital. Propofol may be associated with a
285 short seizure duration, whereas etomidate may induce a longer seizure duration.⁴⁰
286 Etomidate and methohexital have not been approved in some countries, such as Japan,

287 limiting the options available for anesthetic changes to augment seizure durations. Thus,
288 this study demonstrates that ketamine may be useful in these countries.

289 In conclusion, our results suggest that ketamine is an option for certain patients
290 requiring seizure augmentation because of suboptimal seizures with other anesthetics.
291 However, considering the greater hemodynamic changes and risk of post-seizure
292 complications, ketamine use should be undertaken depending on the patient's condition.
293 Further prospective studies are required to determine the therapeutic efficacy and
294 superiority of ketamine as an alternative anesthetic for augmenting seizure durations.

295

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298

299

300 **Figure legends**

301

302 **Figure 1:** Flow diagram

303 ECT, electroconvulsive therapy; n, number.

304

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Table 1: Patient characteristics

	Without anesthetic changes n = 47	With anesthetic changes to ketamine n = 33	p value
Sex Male/Female [n, (%)]	20 (42.6) /27 (47.4)	14 (42.4)/19 (57.6)	1.000
Age (years)	54.6 (15.6)	63.2 (10.9)	0.008
ASA PS 1/2/3 [n (%)]	3 (6.4) /43 (91.5) /1 (2.1)	0 (0) /31 (93.9) /2 (6.1)	0.290
Diagnosis			0.038
Major depression [n, (%)]	25 (53.2)	25 (75.8)	
Bipolar disorder [n, (%)]	9 (19.1)	6 (18.2)	
Schizophrenia [n, (%)]	13 (27.7)	2 (6.0)	
Anesthetics			0.509
Propofol [n, (%)]	45 (95.7)	33 (100.0)	
Thiamylal [n, (%)]	2 (4.3)	0 (0.0)	
Dose of propofol (mg/kg)	1.17 (0.16)	1.25 (0.39)	0.182

Data are given as mean (standard deviation) or number (%).

n, number; ASA PS, American Society of Anesthesiologists Physical Status.

Table 2: Multivariable logistic regression for factors associated with anesthetic changes to ketamine

	Odds ratio (95% CI)	p value
Age (years)	1.05 (1.00 to 1.10)	0.040
Diagnosis		
Major depression	1.10 (0.31 to 3.90)	0.885
Bipolar disorder	Reference	
Schizophrenia	0.34 (0.05 to 2.23)	0.259
Dose of propofol (mg/Kg)	3.83 (0.59 to 25.10)	0.161

CI, confidence interval.

Table 3: Paired analysis for hemodynamics, pharmacotherapy, and post-seizure complications before/after anesthetic changes (n = 26)

	Before changes to ketamine	After changes to ketamine	p value
Maximum hemodynamic change during ECT session			
Δ SBP (mmHg)	34.5 (28.8)	61.7 (30.1)	0.001
Δ DBP (mmHg)	15.5 (21.9)	24.5 (18.6)	0.076
Δ HR (bpm)	26.5 (21.2)	52.2 (25.3)	< 0.001
Pharmacotherapy for circulation during ECT session			
Dose of nicardipine (mg)	0.25 (0.00–1.00)	1.00 (0.50–1.88)	0.005
Dose of langiolol (mg)	0.00 (0.00–0.00)	0.00 (0.00–0.00)	0.115
Post-seizure complication (n = 24)			
Headache [n (%)]	2 (8.3)	8 (33.3)	0.041
Nausea [n (%)]	1 (4.2)	4 (16.7)	0.371

Data are given as mean (standard deviation), median (interquartile range) or number (%). Postoperative complications were analyzed in 24 patients because of missing values.

Δ SBP, maximum degree of elevation in systolic blood pressure; Δ DBP, maximum degree of elevation in diastolic blood pressure; Δ

HR : maximum degree of elevation in heart rate; bpm, beat per minute.