

Development and validation of equations for predicting appendicular skeletal muscle mass in male patients with head and neck cancer with normal hydration status

Running title: Prediction equations for appendicular skeletal muscle mass

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### **Author Contributions**

Miyu Kubo: Data curation, formal analysis, investigation, visualization, and writing-original draft.

Sonoko Yasui-Yamada: Conceptualization, data curation, formal analysis, funding acquisition, investigation, project administration, supervision, visualization, and writing-original draft.

Haruka Hayashi: Data curation and investigation.

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Yoshiaki Kitamura: Resources and writing - review & editing.

Yasuhiro Hamada: Writing - review & editing.

### **Conflict of Interest**

The authors declare no conflict of interest.

1 **Abstract**

2 *Objective:* Muscle mass is typically assessed by abdominal computed tomography, magnetic  
3 resonance imaging, and dual-energy X-ray absorptiometry. However, these tests are not routinely  
4 performed in patients with head and neck cancer (HNC), making sarcopenia assessment difficult.  
5 This study aimed to develop and validate equations for predicting appendicular skeletal muscle  
6 (ASM) from data obtained in daily medical practice, with bioelectrical impedance analysis (BIA)-  
7 measured ASM (BIA-ASM) as a reference.

8 *Research Methods & Procedures:* This cross-sectional study included 103 male patients with HNC  
9 and randomly divided them into development and validation groups. The prediction equations for  
10 BIA-ASM were developed by multiple regression analysis and validated by Bland–Altman  
11 analyses. The estimated skeletal muscle mass index (eSMI) was also statistically evaluated to  
12 discriminate the cutoff value for BIA-measured SMI according to Asian Working Groups for  
13 Sarcopenia.

14 *Results:* Two practical equations, which include 24-hour urinary creatinine excretion volume  
15 (24hUCrV), handgrip strength (HGS), body weight (BW), and body height (BHt), were developed:  
16  $ASM (kg) = -39.46 + (3.557 \times 24hUCrV[g]) + (0.08872 \times HGS[kg]) + (0.1263 \times BW[kg]) +$   
17  $(0.2661 \times BHt[cm])$  if available for 24hUCrV (adjusted  $R^2 = 0.8905$ ), and  $ASM (kg) = -42.60 +$   
18  $(0.1643 \times HGS[kg]) + (0.1589 \times BW[kg]) + (0.2807 \times BHt[cm])$  if not (adjusted  $R^2 = 0.8589$ ).  
19 ASM estimated by these two equations showed a significantly strong correlation with BIA-ASM ( $R$   
20  $> 0.900$ ). Bland–Altman analyses showed a good agreement, and eSMI accuracy was high ( $>80\%$ )  
21 in both equations.

22 *Conclusions:* These two equations are a valid option for estimating ASM and diagnosing sarcopenia  
23 in patients with HNC in all facilities without special equipment.

24  
25 **Key Words:** Appendicular skeletal muscle mass, Bioelectrical impedance analysis, Estimation  
26 equation, Head and neck cancer, Sarcopenia, Skeletal muscle mass index

27

28 **Abbreviations<sup>1</sup>**

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ASM, appendicular skeletal muscle mass; AWGS, Asian Working Group for Sarcopenia; BIA, bioelectrical impedance analysis; BIA-ASM, bioelectrical impedance analysis-measured appendicular skeletal muscle mass; BIA-SMI, bioelectrical impedance analysis-measured skeletal muscle mass index; BHt, body height; BMI, body mass index; BW, body weight; CRT, chemoradiotherapy; CT, computed tomography; CCr, creatinine clearance; DXA, dual-energy X-ray absorptiometry; ECW, extracellular water; Eq, equation; eASM, estimated appendicular skeletal muscle mass; eSMI, estimated skeletal muscle mass index; HGS, handgrip strength; HNC, head and neck cancer; MRI, magnetic resonance imaging; NPV, negative predictive value; PPV, positive predictive value; SMM, skeletal muscle mass; SMI, skeletal muscle mass index; SD, standard deviation; SE, standard error; C3, third cervical; L3, third lumbar; TBW, total body water; 24hUCrV, 24-hour urinary creatinine excretion volume; VIF, variance inflation factor.

## 30 **Introduction**

31 Sarcopenia is common among patients with head and neck cancer (HNC) (reported global  
32 prevalence rates: 24.4%–42.0%) [1,2]. Low skeletal muscle mass (SMM) prior to treatment is  
33 associated with worse outcomes, such as increased chemotherapy toxicity [3,4], early termination of  
34 planned treatment [5,6], and poor survival [5,7,8]. Therefore, SMM must be assessed before  
35 treatment. Dual-energy X-ray absorptiometry (DXA), computed tomography (CT), magnetic  
36 resonance imaging (MRI), and bioelectrical impedance analysis (BIA) can be used to evaluate  
37 SMM in patients with HNC [9]. However, these tools have limitations in terms of invasiveness,  
38 cost, and convenience in day-to-day practice [9]. Although DXA is the gold standard for  
39 determining body composition, its routine use for SMM assessment can be difficult because of its  
40 cost and radiation exposure in patients with HNC. MRI and CT are also gold standards for muscle  
41 mass assessment [10, 11]. However, these tools are not commonly used in HNC management. CT  
42 images of the third lumbar (L3) are usually performed for diagnostic purpose before treatment,  
43 especially in abdominal cancer [12], and the cross-sectional area of L3 highly correlates with the  
44 whole-body muscle mass [13]. Unfortunately, CT imaging of L3 is not routinely performed for  
45 HNC management. Thus, third cervical (C3) CT imaging, which is routinely performed, has  
46 recently been reported as an alternative method [14]. However, the validity of C3 imaging for  
47 assessing the whole-body SMM remains uncertain. Moreover, the cutoff points for low muscle mass  
48 are not yet well defined for MRI and CT. In sarcopenia diagnosis, the European Working Group on  
49 Sarcopenia in Older People and Asian Working Group for Sarcopenia (AWGS) have suggested the  
50 cutoff values for BIA-measured skeletal muscle mass index (BIA-SMI) [10,15]. SMI is the index of  
51 appendicular skeletal muscle mass (ASM) adjusted by body height (BHt). BIA has been widely  
52 used because it is simple, noninvasive, and relatively inexpensive than other techniques such as  
53 DXA, CT, and MRI. However, BIA is not available at all hospitals because it is not inexpensive  
54 enough to be purchased by all facilities.

55 Therefore, we examined the possibility of estimating ASM from data obtained in daily medical

56 practice that could be applicable in any hospital. We focused on 24-hour urinary creatinine  
57 excretion volume (24hUCrV), handgrip strength (HGS), and anthropometries such as body weight  
58 (BW) and BHt. The 24hUCrV, which is the classical method for assessing SMM, can be easily  
59 obtained by 24-hour urine collection test, which is often scheduled before chemotherapy to assess  
60 renal function and determine anticancer drug doses for HNC treatment. HGS and anthropometric  
61 measurements are applicable to all hospitals conducting HNC treatment because they are easy,  
62 simple, and inexpensive. In this study, we aimed to develop and validate equations for predicting  
63 ASM from data obtained in daily medical practice, with BIA-measured ASM (BIA-ASM) used as a  
64 reference.

65

66

## 67 **Materials & Methods**

### 68 *Patients and study design*

69 This was a cross-sectional study using data from our previous study [16] on patients with HNC  
70 treated with chemotherapy or chemoradiotherapy (CRT) at the Department of Otolaryngology in  
71 Tokushima University Hospital, Japan, between January 2015 and July 2021. In total, 155 patients  
72 who had pretreatment data on BIA, 24hUCrV, HGS, and anthropometric measurements were  
73 enrolled. However, we excluded 33 females because patients with HNC were predominantly male  
74 and we could not gather enough number of female patients. We also excluded 19 patients with BIA-  
75 derived extracellular water/total body water ratio (ECW/TBW)  $\geq 0.400$  because the accuracy of  
76 measuring SMM by BIA methods depends on the hydration status [17,18]. Ultimately, 103 patients  
77 were analyzed. This study conformed to the guidelines of the Declaration of Helsinki and obtained  
78 approval from the ethical committee of Tokushima University Hospital (No. 2161-3). All patients  
79 provided informed consent to participate in this study.

80

### 81 *Data collection*

82 We collected data on age, sex, BHt, cancer site, cancer stage, 24-hour urine collection data,  
83 serum creatinine level, and dietary intake from electronic medical records. Before chemotherapy or  
84 CRT, our hospital performed 24-hour urine collection for 3 days to assess renal function and  
85 determine anticancer drug doses. We used these data to calculate creatinine clearance (CCr) and  
86 24hUCrV. CCr was determined using the Cockcroft–Gault formula described in a previous report  
87 [19]. To calculate 24hUCrV, we used the following equation: 24hUCrV (g) = urine volume (L)  $\times$   
88 urinary creatinine concentration (g/L). For accuracy, 3-day data of 24hUCrV were averaged. To  
89 consider the effect of protein intake during the urine collection period on 24hUCrV, we calculated  
90 the protein intake during 24-hour urine collection. Data on food intake percentage were collected  
91 from the electronic medical records, and the amount of meals provided by the hospital was  
92 multiplied by the food intake percentage. In addition, we asked patients about the amount of food

93 they ate other than the hospital meals and added it.

94

#### 95 *Direct segmental multifrequency BIA*

96 While wearing light clothing with no shoes, patients were weighed for BW measurement using a  
97 scale (TANITA, Tokyo, Japan) to the nearest 0.1 kg. In addition, SMM was assessed via direct  
98 segmental multifrequency BIA using InBodyS10® (InBody Co., Ltd., Seoul, Korea). Patients were  
99 required to fast for at least 4 hours before SMM measurement, which was performed in the supine  
100 position within the 24-hour urine collection period. InBodyS10® measures impedance with six  
101 frequencies (1, 5, 50, 250, 500, and 1,000 kHz) and reactance (Xc) with three frequencies (5, 50,  
102 and 250 kHz) at each of the five segments (right arm, left arm, trunk, right leg, and left leg), using  
103 an eight-point tactile electrode. Moreover, body composition parameters, including SMM, were  
104 calculated using formulas in the inner software based on BHt and 30 impedances measured using  
105 six frequencies. InBodyS10® automatically displays SMM, ASM, and ECW/TBW. We calculated  
106 body mass index (BMI) as  $BW/BHt^2$  (kg/m<sup>2</sup>) and SMI as  $ASM/BHt^2$  (kg/m<sup>2</sup>). We used the cutoff  
107 point of low SMI according to the AWGS, that is, 7.0 kg/m<sup>2</sup> in males [15].

108

#### 109 *HGS*

110 Using a dynamometer (Takei Scientific Instruments, Niigata, Japan), we measured patients' HGS  
111 in both hands while they were standing. These tests were repeated twice for each hand, and the  
112 highest value for each hand was included in the overall mean.

113

#### 114 *Statistical analysis*

115 Of the 103 participants, 52 were randomly allocated as the development group to establish the  
116 equation used for predicting BIA-ASM. The 51 remaining participants were the validation group.

117 In the development group, we used Spearman's correlation coefficient to determine the  
118 correlation between BIA-ASM and indexes such as age, BHt, BW, HGS, 24hUCrV, CCr, serum



119 creatinine level, and protein intake during the 24-hour urine collection period. Next, multiple  
120 regression analysis was performed using variables that showed a strong or moderate correlation  
121 with BIA-ASM as candidate independent variables predicting BIA-ASM. Variables were entered in  
122 order of their Spearman's correlation coefficients. Additionally, the coefficient of determination  
123 (adjusted  $R^2$ ) and standard error (SE) were used to compare different models and determine the  
124 most accurate model for prediction. The estimated formulas with adjusted  $R^2 \geq 0.8$  were employed  
125 for further investigation.

126 To validate the estimated ASM (eASM), we determined the correlation between BIA-ASM and  
127 eASM by using Spearman's correlation coefficient in the development group, validation group, and  
128 all participants. We calculated the estimated SMI (eSMI) as  $eASM/BHt^2$  ( $kg/m^2$ ). Spearman's  
129 correlation coefficient was also used to test the correlation between eSMI and BIA-SMI.  
130 Furthermore, the eSMI's sensitivity, specificity, positive predictive value (PPV), negative predictive  
131 value (NPV), and accuracy to discriminate  $SMI < 7.0 kg/m^2$  were calculated. The Kappa coefficient  
132 was also calculated to evaluate the consistency between BIA-SMI and eSMI. The mean difference  
133 between BIA-ASM and eASM was tested using the paired t-test. The accuracy of eASM was also  
134 evaluated by Bland–Altman analysis of the BIA-ASM and eASM.

135 Non-normally distributed continuous variables are expressed as medians and interquartile  
136 ranges. We compared two groups (development and validation groups) by using Wilcoxon's rank-  
137 sum test for the continuous variables and chi-squared test for the categorical variables. Statistical  
138 data were analyzed using the JMP version 13.0 software (SAS Institute, Cary, NC, USA). A P-value  
139  $< 0.05$  was considered statistically significant. The sample size was calculated using the G-Power  
140 software. For development analysis, after factoring an alpha rate of 5%, a power of 80%, a large  
141 effect size ( $f^2 = 0.35$ ), and four independent variables, a minimum sample size of 40 was required  
142 for multiple regression analysis. For validation analysis, we considered that the sample size was  
143 appropriate because 51 was above the minimum sample size calculated by G-Power with a 5%  
144 alpha rate, 80% power, and moderate effect size ( $d = 0.5$ ) for a paired t-test.

145 **Results**

146 *Patient characteristics*

147 Overall, 103 patients were analyzed, with 52 in the development group and 51 in the validation  
 148 group. Table 1 shows patients' characteristics and comparison of data between the two groups.

149 None of the variables differed significantly between such groups.

150

151 Table 1. Patient characteristics

	All participants n = 103	Development group n = 52	Validation group n = 51	P-value
Age (years)	67 (61–71)	67 (63–71)	67 (59–71)	0.468
Cancer site				0.726
Nasopharynx	9 (8.7)	5 (9.6)	4 (7.8)	
Oropharynx	19 (18.4)	10 (19.2)	9 (17.6)	
Hypopharynx	27 (26.2)	16 (30.8)	11 (21.6)	
Larynx	21 (20.4)	10 (19.2)	11 (21.6)	
Others	27 (26.2)	11 (21.2)	16 (31.4)	
Cancer stage				0.146
I	2 (1.9)	2 (3.8)	0 (0.0)	
II	17 (16.5)	8 (15.4)	9 (17.6)	
III	20 (19.4)	14 (26.9)	6 (11.8)	
IV	59 (57.3)	25 (48.1)	34 (66.7)	
Unknown	5 (4.9)	3 (5.8)	2 (3.9)	
BHt (cm)	166.9 (162.4–170.5)	166.8 (162.4–169.8)	167.7 (161.0–172.0)	0.553
BW (kg)	60.5 (53.9–68.8)	60.8 (54.5–67.4)	60.0 (53.8–70.8)	0.976
BMI (kg/m <sup>2</sup> )	21.7 (19.8–24.4)	21.8 (19.8–24.6)	21.5 (20.1–24.1)	0.971

24hUCrV (g)	1.07 (0.87–1.28)	1.07 (0.93–1.23)	1.06 (0.83–1.29)	0.623
Serum creatinine (mg/dL)	0.85 (0.75–0.96)	0.87 (0.78–0.97)	0.83 (0.70–0.96)	0.159
CCr (mL/min)	95.2 (75.8–107.1)	95.5 (76.0–105.6)	94.9 (75.8–111.5)	0.767
Protein intakes during 24-hour urine collection (g)	64.4 (52.6–70.5)	66.1 (56.2–70.5)	60.7 (47.0–70.1)	0.387
HGS (kg)	33.9 (29.2–38.0)	33.4 (29.6–37.8)	33.9 (28.7–38.9)	0.974
BIA-ASM (kg)	19.3 (16.8–21.7)	19.1 (17.3–21.2)	19.6 (16.7–21.9)	0.861
BIA-SMI (kg/m <sup>2</sup> )	7.03 (6.30–7.68)	7.01 (6.27–7.68)	7.03 (6.30–7.68)	1.000
BIA-SMI (kg/m <sup>2</sup> ) as categorical data				0.921
<7.0	51 (49.5)	26 (50.0)	25 (49.0)	
≥7.0	52 (50.5)	26 (50.0)	26 (51.0)	

152 BHt, body height; BIA-ASM, bioelectrical impedance analysis-measured appendicular skeletal  
153 muscle mass; BIA-SMI, bioelectrical impedance analysis-measured skeletal muscle mass index;  
154 BMI, body mass index; BW, body weight; CCr, creatinine clearance; HGS, handgrip strength;  
155 24hUCrV, 24-hour urinary creatinine excretion volume.

156

157

### 158 *Development of new equations to estimate BIA-ASM*

159 Table 2 shows the correlations between BIA-ASM and other variables in the development group.

160 In the correlation with BIA-ASM, we noted a significantly weak negative correlation in age, a  
161 significantly strong positive correlation in 24hUCrV and HGS, a significantly moderate positive  
162 correlation in BW and BHt, and a significantly weak positive correlation in CCr. Serum creatinine  
163 and protein intake during 24-hour urine collection did not show any significant correlation with

164 BIA-ASM.

165

166 Table 2. Correlation coefficients between BIA-ASM and other variables in the development group

Variables	Spearman's correlation coefficient	P-value
Age (years)	-0.3154	<b>0.023</b>
BHt (cm)	0.6488	<b>&lt;0.001</b>
BW (kg)	0.6785	<b>&lt;0.001</b>
HGS (kg)	0.7284	<b>&lt;0.001</b>
24hUCrV (g)	0.7639	<b>&lt;0.001</b>
Serum creatinine (mg/dL)	0.1917	0.052
CCr (mL/min)	0.3129	<b>0.024</b>
Protein intake during 24-hour urine collection (g)	0.2218	0.114

167 BHt, body height; BIA-ASM, bioelectrical impedance analysis-measured appendicular skeletal  
168 muscle mass; BW, body weight; CCr, creatinine clearance; HGS, handgrip strength; 24hUCrV, 24-  
169 hour urinary creatinine excretion volume. P < 0.05 is shown in bold.

170

171 In the regression model, we used variables that had a strong or moderate correlation with BIA-  
172 ASM according to the results shown in Table 2. Table 3 shows the several prediction equations  
173 derived by using 24hUCrV, HGS, BW, and BHt in the descending order of correlation coefficient.  
174 Among equations 1–4, Equation 4 was most applicable to estimate BIA-ASM (adjusted R<sup>2</sup> =  
175 0.8905, P < 0.001). Considering that 24hUCrV is not always measured in all facilities, we created a  
176 prediction formula that excluded 24hUCrV for easy use in the clinical setting (Equation 5).  
177 Equation 5 also showed high adjusted R<sup>2</sup> (adjusted R<sup>2</sup> = 0.8589, P < 0.001). All variables of  
178 equations 4 and 5 were significant, with no multicollinearity. Finally, we obtained the following two  
179 formulas (Equation 4, which was more accurate, and Equation 5, which was easier to use

180 clinically), as shown below:

181 Equation 4

182  $eASM \text{ (kg)} = -39.46 + (3.557 \times 24hUCrV[g]) + (0.08872 \times HGS[kg]) + (0.1263 \times BW[kg]) +$   
 183  $(0.2661 \times BHt[cm])$

184 Equation 5

185  $eASM \text{ (kg)} = -42.60 + (0.1643 \times HGS[kg]) + (0.1589 \times BW[kg]) + (0.2807 \times BHt[cm])$

186

187 Table 3. Prediction equations to estimate BIA-ASM in the development group

		Variables					Adjusted R <sup>2</sup>	P-value
		Intercept	24hUCrV (g)	HGS (kg)	BW (kg)	BHt (cm)		
Eq1	β	8.441	10.12				0.5783	<0.001
	SE	1.333	1.201					
	VIF		1					
Eq2	β	5.170	7.554	0.1780			0.6198	<0.001
	SE	1.805	1.522	0.07006				
	VIF		1.782	1.782				
Eq3	β	0.5269	4.439	0.1525	0.1453		0.7400	<0.001
	SE	1.772	1.412	0.05817	0.02987			
	VIF		2.243	1.797	1.584			
Eq4	β	-39.46	3.557	0.08872	0.1263	0.2661	0.8905	<0.001
	SE	5.020	0.9230	0.03855	0.01952	0.03252		
	VIF		2.274	1.873	1.607	1.264		
Eq5	β	-42.60		0.1643	0.1589	0.2807	0.8589	<0.001
	SE	5.623		0.03767	0.01997	0.03666		
	VIF			1.388	1.305	1.247		

188 BHt, body height; BIA-ASM, bioelectrical impedance analysis-measured appendicular skeletal

189 muscle mass; BW, body weight; Eq, equation; HGS, handgrip strength; SE, standard error;  
190 24hUCrV, 24-hour urinary creatinine excretion volume; VIF, variance inflation factor.  $P < 0.05$  is  
191 shown in bold.

192

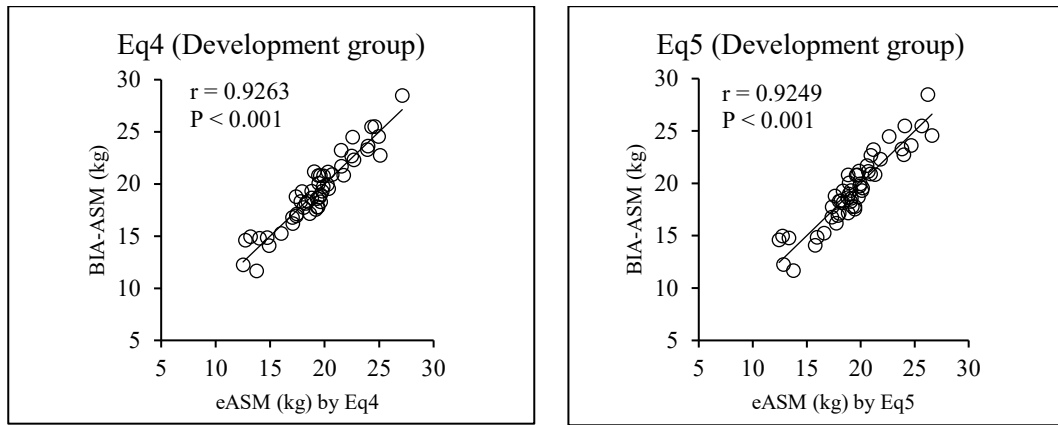
### 193 *Validation of new equations*

194 Figure 1 presents the correlation between BIA-ASM and eASM. ASM estimated by equations 4  
195 and 5 showed a significantly strong correlation ( $R > 0.9$ ) with BIA-ASM in the development group,  
196 validation group, and all participants. Figure 2 shows the correlation between BIA-SMI and eSMI.  
197 SMI estimated by equations 4 and 5 also showed a significantly strong correlation with BIA-SMI in  
198 the development group, validation group, and all participants. Table 4 summarizes the statistical  
199 evaluation of eSMI by both equations to discriminate the cutoff points of low SMI according to the  
200 AWGS ( $SMI < 7.0 \text{ kg/m}^2$ ). For both equations 4 and 5, the sensitivity, specificity, PPV, NPV, and  
201 accuracy were higher than 80% in the development group, validation group, and all participants.  
202 Kappa coefficients showed substantial agreement ( $>0.60$ ) between BIA-SMI and eSMI in both  
203 equations 4 and 5. Moreover, Figure 3 shows the Bland–Altman plot of BIA-ASM and eASM by  
204 equations 4 and 5. The mean differences between BIA-ASM and eASM were not significant. For  
205 example, the result of equation 4 in the development group (upper left of Figure 3) shows a mean  
206 difference of  $-0.004$ , implying that the difference between the measured and estimated values by  
207 equation 4 was almost zero, suggesting a low bias. All results showed a good agreement between  
208 BIA-ASM and eASM values.

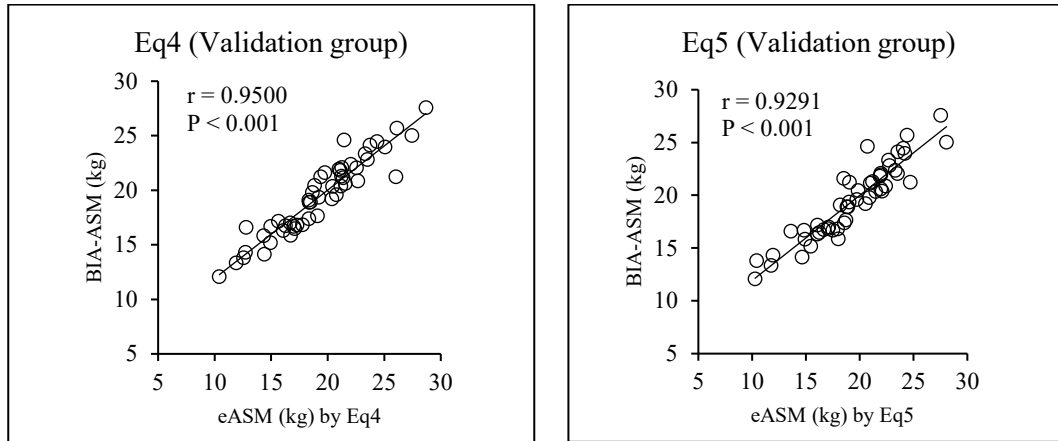
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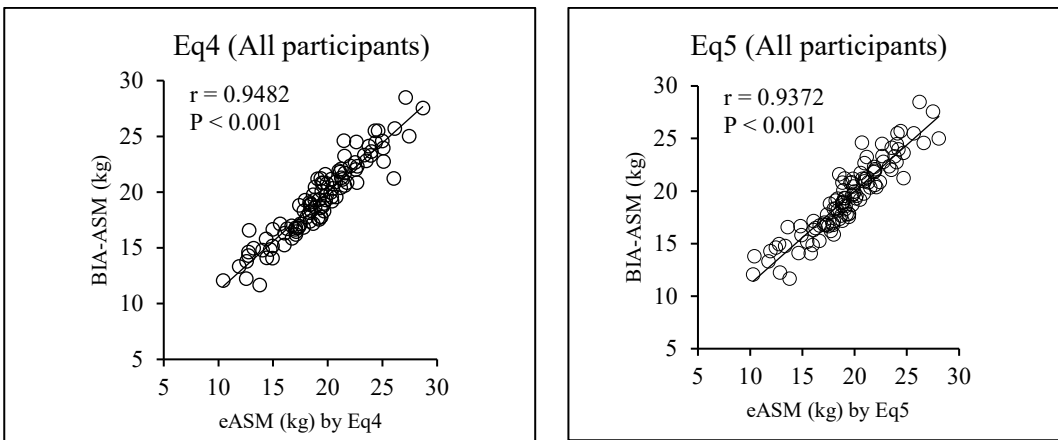
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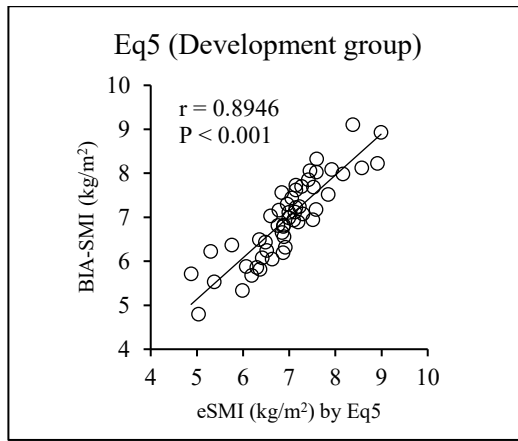
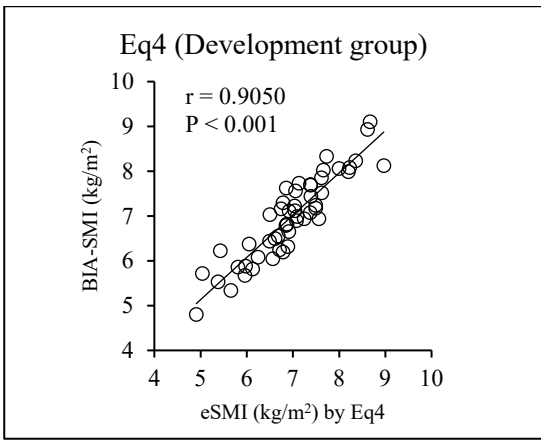
214 Figure 1. Correlation coefficients between BIA-ASM and eASM by equations 4 and 5 in the  
215 development group (above), validation group (middle), and all participants (below).

216 BIA-ASM, bioelectrical impedance analysis-measured appendicular skeletal muscle mass; Eq,  
217 equation; eASM, estimated appendicular skeletal muscle mass.

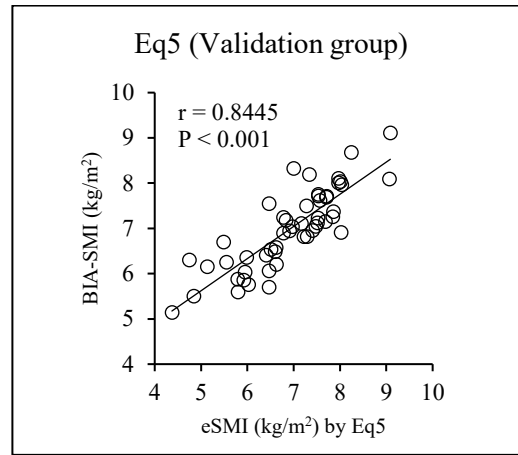
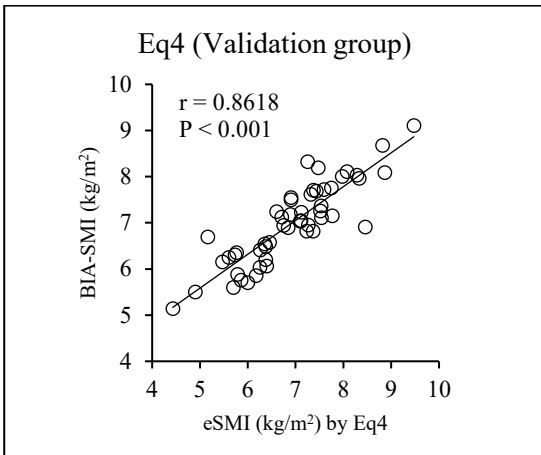
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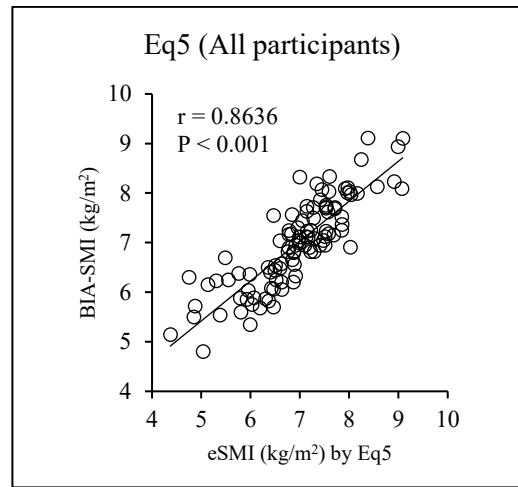
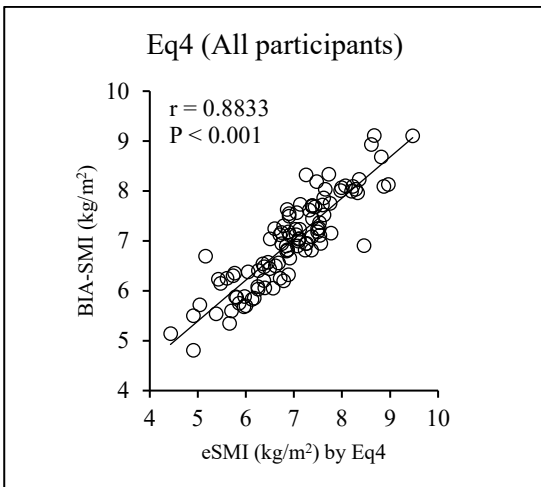
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222



223 Figure 2. Correlation coefficients between BIA-SMI and eSMI by equations 4 and 5 in the  
 224 development group (above), validation group (middle), and all participants (below).

225 BIA-SMI, bioelectrical impedance analysis-measured skeletal muscle mass index; Eq, equation;  
 226 eSMI, estimated skeletal muscle mass index.

227

228 Table 4. Statistical evaluations of eSMI by equations 4 and 5 to discriminate the cutoff value for



		Development group		Validation group		All participants	
		BIA-SMI		BIA-SMI		BIA-SMI	
		Low	Normal	Low	Normal	Low	Normal
eSMI by Eq4	Low	22	5	21	5	43	10
	Normal	4	21	4	21	8	42
Sensitivity		85%		84%		84%	
Specificity		81%		81%		81%	
PPV		81%		81%		81%	
NPV		84%		84%		84%	
Accuracy		83%		82%		83%	
Prevalence		50%		49%		50%	
Kappa		0.65		0.65		0.65	
		Low	Normal	Low	Normal	Low	Normal
eSMI by Eq5	Low	23	5	21	5	44	10
	Normal	3	21	4	21	7	42
Sensitivity		88%		84%		86%	
Specificity		81%		81%		81%	
PPV		82%		81%		81%	
NPV		88%		84%		86%	
Accuracy		85%		82%		83%	
Prevalence		50%		49%		50%	
Kappa		0.69		0.65		0.67	

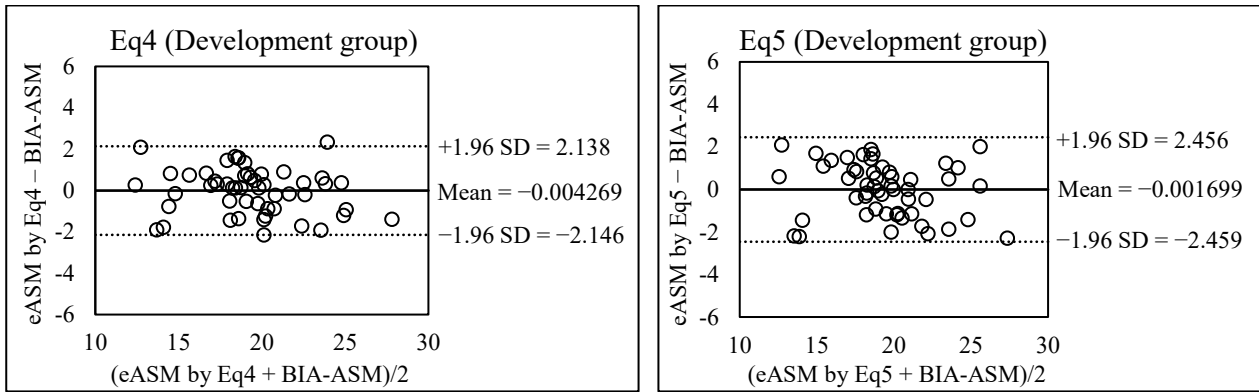
230 AWGS, Asian Working Group for Sarcopenia; BIA-SMI, bioelectrical impedance analysis-

231 measured skeletal muscle mass index; Eq, equation; eSMI, estimated skeletal muscle mass index;

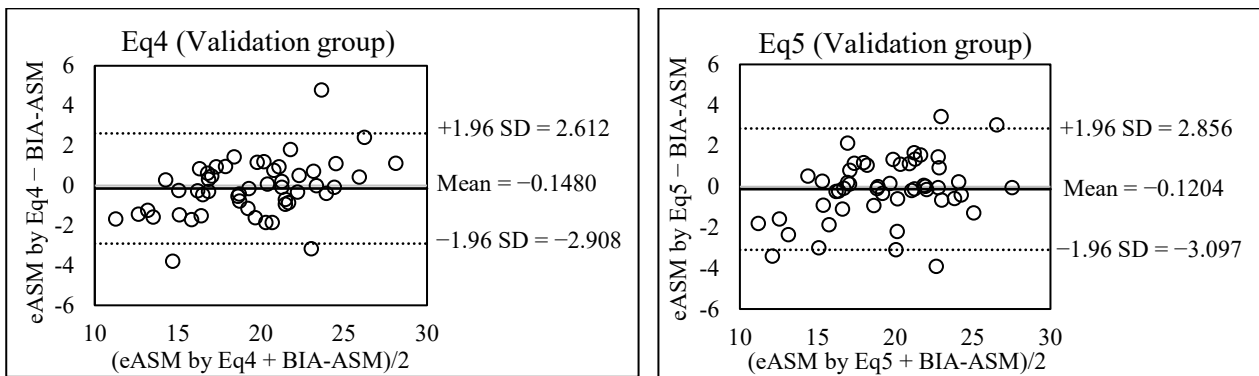
232 NPV, negative predictive value; PPV, positive predictive value. \*SMI < 7.0 kg/m<sup>2</sup> in males.

233

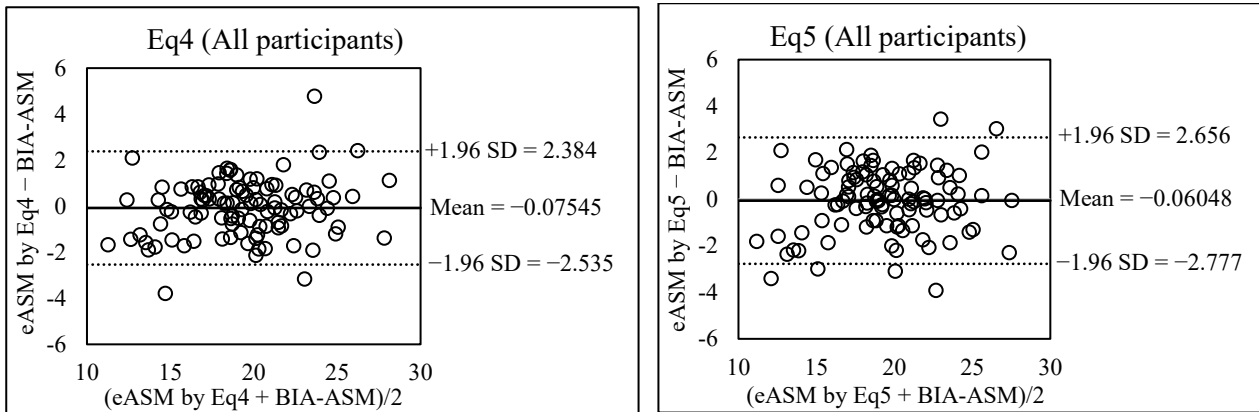
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236



237 Figure 3. Bland–Altman analysis of measured BIA-ASM and eASM by equations 4 and 5 in the  
238 development group (above), validation group (middle), and all participants (below).

239 BIA-ASM, bioelectrical impedance analysis-measured appendicular skeletal muscle mass; Eq,  
240 equation; eASM, estimated appendicular skeletal muscle mass; SD, standard deviation.

241

242

243 **Discussion**

244 This study developed and validated two simple equations for predicting BIA-ASM, using the  
245 data obtained in daily medical practice that would be applicable in patients with HNC. Equation 4,  
246 which uses 24hUCrV, HGS, BW, and BHt, is more accurate, and Equation 5, which uses HGS,  
247 BW, and BHt, is the simpler one. The validation group confirmed a strong correlation between the  
248 BIA-ASM and eASM calculated by these two equations; BIA-SMI and eSMI also showed a strong  
249 correlation. In addition, the accuracy rate of using eSMI to discriminate the sarcopenia cutoff value  
250  $< 7.0 \text{ kg/m}^2$  was high, indicating that these estimation equations can be clinically used for  
251 diagnosing sarcopenia.

252 In this study, eASM by equations 4 and 5 strongly correlated with BIA-ASM in patients with  
253 HNC. Other studies have reported estimating equations for ASM using sex, BW, waist  
254 circumference, calf circumference, and BHt in healthy participants [20] and TBW, BW, sex, and  
255 age in patients undergoing hemodialysis [21]. Although these previous studies have large sample  
256 sizes and appropriate methodologies, the former study recruited healthy volunteers, implying a  
257 population with a small number of sarcopenia; thus, applying their equation directly to patients with  
258 HNC with a high percentage of sarcopenia would be unsuitable. In the latter study, patients  
259 undergoing hemodialysis were included; this type of patients tends to be overhydrated, indicating a  
260 different population from patients with HNC. Additionally, the use of a BIA-measured variable as a  
261 predictor of ASM is not applicable in several facilities. Therefore, the present study focused on  
262 24hUCrV, which could be obtained from routine practice in patients with HNC. The 24hUCrV is a  
263 classical method used for evaluating muscle mass and has shown a strong correlation ( $R = 0.92$ )  
264 with SMM by CT [22]. However, several factors, such as renal function and protein intake, should  
265 also be considered. In fact, although data were not shown, 24hUCrV moderate correlated with CCr  
266 ( $R = 0.59$ ) and weakly correlated with protein intake ( $R = 0.35$ ). Table 1 shows that serum  
267 creatinine level and CCr were normal or mildly impaired in our participants, suggesting that renal  
268 function slightly influences 24hUCrV. Regarding the effect of protein intake, 24hUCrV reportedly

269 increases by 13% after ingestion of a meal containing cooked meat (225 g) in healthy people [23].  
270 According to a Japanese report, a meat diet intake of <100 g in healthy participants has no  
271 significant effect on 24hUCrV [24]. The daily amount of meat provided in the hospital diet is <100  
272 g, indicating a slight influence on 24hUCrV. Thus, 24hUCrV can be used to estimate whole-body  
273 SMM in this study. HGS also positively correlates with lean body mass in older Asian adults [25],  
274 supporting our results that incorporated HGS into the equation for estimating muscle mass.

275 Furthermore, when using eSMI, the accuracy rate was high to discriminate the cutoff value < 7.0  
276 kg/m<sup>2</sup>. Sarcopenia reduces the strength of swallowing-related muscles, leading to impaired  
277 swallowing function (i.e., sarcopenic dysphagia) [26]. Sarcopenia is also associated with poor  
278 overall survival in patients with HNC [27]. Therefore, sarcopenia assessment has a potential  
279 prognostic value in patients with HNC, and it could be used to tailor treatment [28]. Through early  
280 identification of sarcopenia with low tolerance to treatment, we can also modify the treatment early  
281 [29]. While BIA is recommended when available because of its relatively low cost and simplicity,  
282 we believe that our estimation formulas will be useful for estimating muscle mass and diagnosing  
283 sarcopenia in patients with HNC in all facilities that do not have special equipment.

284 The strength of this study is that the estimation equations can be used at any facility and by  
285 anyone without special training, because they were developed using data that are easily obtained  
286 from routine medical care without the need for special equipment or techniques. However, this  
287 study had some limitations. First, the study has a small sample size, and it was conducted in a single  
288 center. Larger multicenter studies are required to confirm whether the equations retain its validity  
289 when applied in other populations. Second, this study excluded patients with ECW/TBW  $\geq$  0.400  
290 because the accuracy of BIA-ASM depends on hydration status; therefore, the estimation equations  
291 were developed and validated in only populations with normal hydration status. Thus, the validity  
292 of this regression equation in a population with ECW/TBW  $\geq$  0.4 is unknown. Third, given that  
293 patients with HNC are predominantly male, only males were included in this study. Further  
294 research is required to develop and validate estimation formulas for females.

295

296 **Conclusion**

297 This study established and validated the following simple equations for ASM estimation: if  
298 available for 24hUCrV,  $ASM (kg) = -39.46 + (3.557 \times 24hUCrV[g]) + (0.08872 \times HGS[kg]) +$   
299  $(0.1263 \times BW[kg]) + (0.2661 \times BHt[cm])$ , and if not available for 24hUCrV,  $ASM (kg) = -42.60 +$   
300  $(0.1643 \times HGS[kg]) + (0.1589 \times BW[kg]) + (0.2807 \times BHt[cm])$ . These formulas may be useful for  
301 estimating muscle mass and diagnosing sarcopenia in patients with HNC in all facilities without the  
302 requirement of special equipment. However, the validity of these equations still needs to be  
303 confirmed in other populations.

304

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