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## Prevalence of Malocclusion and Orthodontic Treatment Need in 9- to 12-Year-Old Schoolchildren in Ulaanbaatar, Mongolia

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#### Abstract

#### Background

The prevalence of malocclusion in Mongolia is increasing every year. Estimating the need for orthodontic treatment in the population is crucial for planning orthodontic care services and monitoring oral health programs. Therefore, the present study aimed to assess the need for orthodontic treatment among schoolchildren in Ulaanbaatar, Mongolia, using the Index of Orthodontic Treatment Need (IOTN).

#### Methods

A total of 656 schoolchildren aged 9-12 years were enrolled from 8 schools located in urban and suburban areas of 6 districts of Ulaanbaatar city. All the children were assessed according to the two components of the IOTN, the Dental Health Component (DHC) and the aesthetic component (AC). Statistical analyses were carried out using IBM SPSS Statistics for Windows, Version 28 (Released 2021; IBM Corp., Armonk, New York, United States).

#### Results

The prevalence of malocclusion was 561 (84.5%), consisting of 452 (68%) Angle Class I, 178 (26.8%) Angle Class II, and 34 (5.2%) Angle Class III malocclusion. For the DHC, the moderate need for treatment was 194 (29.3%) and the definite need was 53 (8.1%). For the AC, the moderate need was 148 (22.3%) and the definite need was 45 (6.9%). The association between the DHC and the AC was found to be statistically significant (p<0.001). The most common malocclusions were an increased overjet (maxillary protrusion), a contact point displacement (crowding), and an increased overbite (deep bite). The AC, Angle's molar relationship, an increased overbite, a contact point displacement, and an increased overbite were factors associated with the need for orthodontic treatment.

#### Conclusion

Approximately one-third of schoolchildren in Ulaanbaatar, Mongolia, require orthodontic treatment. This finding helps dental practitioners to better understand oral health problems, leading to an improvement in the overall quality of life of children.

Categories: Public Health, Epidemiology/Public Health, Dentistry

Keywords: prevalence of malocclusion, malocclusion of teeth, aesthetic component, dental health component, need for orthodontic treatment

## Introduction

Orthodontics is the most common treatment for various malocclusions and is essential for resolving patients' oral health and aesthetic problems, especially during adolescence and early adulthood [1]. According to a survey in Mongolia, the prevalence of malocclusion tends to increase year by year, such as 64.3% in 1983, 87% in 2004, 79,5% in 2006, and 87% in 2011 [2].

The use of orthodontic indices makes it possible to target individuals with the greatest need for orthodontic treatment when orthodontic resources are limited [3]. Many methods have been developed to assess the need for orthodontic treatment, and one of the most commonly used indices is the Index of Orthodontic Treatment Need (IOTN) [4]. The IOTN has two separate components, the Dental Health Component (DHC) and the aesthetic component (AC), which record anomalies based on the significance of dental health and aesthetic concerns to explore for patients who will most benefit from orthodontic treatment. This specific

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index provides the opportunity to identify the impact of malocclusion on the dental health and social wellbeing of the individual [5,6].

Estimating the need for orthodontic treatment among children is crucial for planning an orthodontic care service in terms of human and financial resources, as well as for monitoring oral health programs [7]. Thus, the present study aimed to estimate the need for orthodontic treatment among schoolchildren in six districts of Ulaanbaatar using the IOTN.

## **Materials And Methods**

The study was carried out employing an analytical cross-sectional design. A total of 656 schoolchildren aged 9-12 years were recruited as the subjects. They were selected from 129,002 schoolchildren from 8 schools located in urban and suburban areas of 6 districts of Ulaanbaatar city. The inclusion criteria for the participants were an age ranging from 9 to 12, both genders, with no ethical distinction. The exclusion criteria for the participants were a history of orthodontic treatment and congenital dentofacial anomalies. The need for orthodontic treatment was assessed using the components of the IOTN. A minimum sample of 384 children was estimated using a defined need for orthodontic treatment, with a standard error (5%) and a confidence interval (95%). Schoolchildren were randomly selected on the basis of age and school location (four urban, four suburban), with the aim of ensuring a representative sample in relation to the initial population.

The presence of malocclusion and the need for orthodontic treatment were assessed by three examiners in a room reserved by the staff of each school. Prior to performing the assessment, the intra-examiner reliability of the assessment was determined using the interclass correlation coefficient (ICC) on 40 study models selected from the patients with malocclusion by the three examiners twice within two weeks. The ICC was 0.85, confirming the reliability of the assessment. The Research Ethics Committee of the Mongolian National University of Medical Sciences approved this study, and informed consent was obtained from all participants and their parents before the procedures began (No. 2023/3-01).

The DHC recorded malocclusions in terms of the significance of tooth irregularities for an individual dental health. The DHC has a 5-grade scale, ranging from grade 1 to grade 5. Grades 1 and 2 were determined as having no/little need for orthodontic treatment; grade 3 was determined as a moderate need for treatment; and grades 4 and 5 were determined as a definite treatment need. When assessing the DHC, only the worst occlusal condition was recorded.

According to the DHC, the following occlusal features were determined as moderate treatment needs: 1) increased overjet is a malocclusion with 6.0 mm > overjet > 3.5 mm; 2) reverse overjet is a malocclusion with -3.5 mm > overjet > -1.0 mm; 3) contact point displacements are a malocclusion with 4.0 mm > displacements > 2.0 mm; 4) anterior and posterior open bite is a malocclusion with anterior or posterior open bite >2.0 mm, but <4.0 mm; 5) increased overbite is a malocclusion with deep overbite complete on gingival or palatal tissues, but no traumatic occlusion; and 6) anterior and posterior crossbite is a malocclusion with anterior and/or posterior unilateral or bilateral crossbite with >2.0 mm discrepancy.

In addition, the presence of partially erupted, tipped, or impacted teeth and submerged deciduous teeth were recorded and evaluated for the DHC grading.

The AC consisted of 10 different levels of dental attractiveness. The grade 1 represents the most attractive, while the grade 10 represents the least attractive arrangements of teeth. Grades 1 to 4 indicate no/little need for treatment; grades 5 to 7 were determined as a moderate need for treatment; and grades 8 to 10 were determined as a definite treatment need.

Statistical analyses were performed using IBM SPSS Statistics for Windows, Version 28 (Released 2021; IBM Corp., Armonk, New York, United States). Pearson's chi-square test was used to assess the strength of correlation between variables. Multiple logistic regression was also employed to explore the relationships between orthodontic treatment needs and the independent variables. A probability of less than 0.05 was considered statistically significant.

## **Results**

Table 1 shows the demographic characteristics of the study participants. With respect to the DHC score, 194 schoolchildren (29.3%) were determined as the moderate treatment need and 53 schoolchildren (8.1%) were determined as the definite treatment need. According to the AC score, 148 students (22.3%) were classified a moderate need for orthodontic treatment, and 45 students (6.9%) were classified a definite treatment need. The relationship between the DHC and the AC was found to be statistically significant (p<0.001 by Pearson's chi-square test) (Figure 1).



Age/Conder		Urban				Suburban				T-t-1	
Age/Gender		18th school	48th school	93rd school	141st school	57th school	143rd school	37th school	35th school	Total	
9 years	Boys	48 (7.3%)	-	-	-	24 (3.6%)	-	-	-	72 (10.9%)	
	Girls	45 (6.8%)				23 (3.8%)				68 (10.6%)	
10 years	Boys	-	61 (9.2%)	-	-	-	41 (6.3%)	-	-	102 (15.5%)	
	Girls	-	59 (8.9%)	-	-		43 (6.6%)	-	-	102 (15.5%)	
11 years	Boys	-	-	42 (6.4%)	-	-		52 (7.9%)	-	94 (14.3%)	
	Girls	-		45 (6.8%)	-			50 (7.6%)	-	95 (14.4%)	
12 years	Boys	-	-	-	34 (5.2%)	-	-	-	31 (4.7%)	65 (9.9%)	
	Girls	-		-	34 (5.3%)	-		-	24 (3.6%)	58 (8.9%)	
Total		93 (14.1%)	120 (18.1%)	87 (13.2%)	68 (10.5%)	47 (7.4%)	84 (12.9%)	102 (15.5%)	55 (8.3%)	656 (100%)	

TABLE 1: Summary of 656 children participants evaluated



### FIGURE 1: Orthodontic treatment needs evaluated by DHC and AC

\*: statistically significant difference at the 5% level

DHC: Dental Health Component; AC: aesthetic component

Neither DHC nor AC showed significant differences between boys and girls (Table 2). In the age phase, these differences were observed in the DHC, an increased overjet, a contact point displacement (crowding), and an increased overbite.

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		Gender				Age
	Variables	Boys	Girls	p-value	9 years	10 years
	Class I	221	225		114	123
Angle's molar relationship	Class II	94	82	0.685	40	54
	Class III	17	17		6	7
	No/Little need	234	231		109	129
AC	Moderate need	73	73	0.788	41	43
	Definite need	25	20		10	12
	No/Little need	209	202		102	116
DHC	Moderate need	97	95	0.97	42	54
	Definite need	26	27		16	14
	Increased overjet	114	98	0.263	54	42

Reverse overjet

Contact point displacement

Anterior or posterior open bite

ed overbite

Anterior or posterior crossbite

Submerged deciduous teeth

Partially erupted, tipped, or impacted teeth

## TABLE 2: Distribution of molar relationship, AC, DHC, and malocclusion in relation to gender and age

13

102

5

81

28

6

14

90

8

74

31

32

5

0.794

0.407

0.376

0.639

0.612

0.057

0.792

4

37

5

27

10

1

5

68

1

35

16

22

8

\*: statistically significant difference at the 5% level.

Malocc lusion assessed by DHC

DHC: Dental Health Component; AC: aesthetic component

Multiple logistic regression showed that the AC score, Angle's molar relationship, an increased overjet, and crowding were factors involved in the group with the definite need for treatment (Table 3). A statistically significant cooperation among these factors was noted in the final model. When using a univariate model, other variables were connected to orthodontic treatment needs.

11 years

126

50 13

143

37

9 119

60

10 61

11

69

4

52

19

28

1

12 years

83

32

8

84

25

14

74

36

13

55

7

18

3

41

14

14

1

p-value

0.706

0.265

0.596

<0.001\* 0.247

<0.001\*

0.364

< 0.003\*

0.456

0.652

0.011

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	Univariate logistic models	Multivariate logistic model 1		Multivariate logistic model 2 <sup> </sup>		
Variables	OR (95% CI)	p-value	ORadj (95% CI) <sup>‡</sup>	p-value	ORadj (95% CI) <sup>†</sup>	p-value
Gender						
Boys	1		1			
Girls	1.02 (0.74-1.41)	0.873	1.22 (0.79-1.88)	0.352		
Age	0.934		0.689			
9 years	1		1			
10 years	1.03 (0.66-1.60)	0.892	1.31 (0.70-2.42)	0.392		
11 years	1.03 (0.66-1.60)	0.879	1.38 (0.76-2.51)	0.284		
12 years	1.16 (0.71-1.88)	0.537	1.06 (0.54-2.10)	0.851		
AC	<0.001*		<0.001*		<0.001*	
No/little need	1		1		1	
Moderate need	15.7 (9.94-24.78)	<0.001*	13.20 (8.04-21.68)	<0.001*	12.83 (7.86-20.94)	<0.001*
Definite need	51.12 (22.34-120.54)	<0.001*	45.43 (19.67-98.36)	<0.001*	41.67 (17.85-85.73)	<0.001*
Angle's molar relationship	<0.001*		<0.001		<0.001*	
Class I	1		1		1	
Class II	4.25 (2.94-6.15)	<0.001*	1.94 (1.19-3.15)	<0.008*	1.93 (1.19-3.11)	<0.007*
Class III	7.99 (3.62-17.63)	<0.001*	6.21 (2.31-16.66)	<0.001*	6.09 (2.32-15.98)	<0.001*
Increased overjet						
None	1		1		1	
Yes	3.32 (2.36-4.68)	<0.001*	3.93 (2.39-6.47)	<0.001*	3.54 (2.25-5.56)	<0.001*
Contact point displacements						
None	1		1		1	
Yes	1.988 (1.41-2.80)	<0.001*	1.66 (1.03-2.66)	<0.034*	1.72 (1.09-2.70)	<0.019*
Increased overbite						
None	1		1			
Yes	1.89 (1.31-2.72)	<0.001*	0.84 (0.49-1.45)	0.552		

# TABLE 3: Logistic regression models for the group with definite need for orthodontic treatment (DHC)

\*: statistically significant difference at the 5% level; 1: Including the covariables with p-values lower than 0.05 in the multivariate logistic model 1; 1: ORadj

ORadj: odds ratio adjusted; AC: aesthetic component

#### **Discussion**

The assessment of the objective need for orthodontic treatment in this study provided the baseline data for planning orthodontic services in Ulaanbaatar, Mongolia. The results of the DHC indicated that 37.4% of schoolchildren in Ulaanbaatar had an objective need for orthodontic treatment. The percentage of participants in need of orthodontic treatment was similar to those in other countries such as Russia (38.8%) [8], Thailand (39.7%) [9], New Zealand (31.3%) [10], and Peru (29.9%) [11]. It was higher than that of southern Italian, French, Brazilian, and Romanian schoolchildren (27.3%, 21%, 27.4%, and 15.3%, respectively) [12-

15]. However, it was lower than Ethiopian and Hong Kong's orthodontic treatment needs (48.2% and 52%, respectively) [16,17].

According to the data from the WHO's Global Oral Health Status Report, most of the countries (France, Italy, New Zealand, and Brazil) with lower prevalence of orthodontic treatment need have different health system approaches than those with a higher treatment needs (Mongolia, Ethiopia, Thailand), such as the presence of dedicated oral health professionals working on non-communicable diseases (NCDs) in the Ministry of Health, the implementation of a tax on sugar-sweetened beverages, the availability of procedures for the detection, management, and treatment of oral diseases in primary care facilities in the public health sector [18]. The availability and affordability of foods with high sugar content and poor access to oral health care services in the community lead to an increasing prevalence of dental caries [19]. A previous study showed that significant associations were found between caries activity and the severity of malocclusion [20]. Thus, caries management is one of the effective ways to reduce the progression of malocclusion [20].

The difference between the DHC (37.4%) and the AC (29.2%) scores in schoolchildren requiring orthodontic treatment may be due to these two components representing different aspects of orthodontic treatment needs using discrete methods [14]. There are dental anomalies that are characterized by the DHC as serious oral health issues but not aesthetically relevant, such as posterior crossbite, missing posterior teeth, unerupted or impacted canines, and premolars [10,21]. The DHC also includes other problems such as crowding, which is not a significant indicator for treatment in AC grading scales. On the other hand, some cases are defined as having a high need for treatment by the AC alone, because certain malocclusions that are considered to be unattractive aesthetics are not evaluated by the DHC. AC differs from the exact measurement parameters of the DHC, for example, AC scaling photographs do not show anterior spacing, hypodontia, and increased overbite, and there is also the possibility that grading may vary depending on the assessing orthodontist [22].

This study showed that the main occlusal anomalies responsible for classifying students as having a high need for orthodontic treatment were an increased overjet, a deviation of the molar relationship from Class I, an increased overbite, and crowding. Severe caries and early extraction of deciduous teeth may become a cause of contact point displacement and migration of the permanent first molars, leading to the inclination and rotation of permanent teeth [14,23]. The inclination of the tooth or an imbalance between the maxillary and the mandibular arch widths may cause a crossbite [24]. These conditions are preventable, early treatment of second deciduous molars that are still functioning can prevent arch length discrepancies [25]. However, if left untreated, they can lead to asymmetric growth of the maxilla or mandible and dental complications that are difficult and costly to treat [26].

The limitation of this study is the selection bias. Due to logistical constraints, we were unable to include schoolchildren from all districts of Ulaanbaatar. Additionally, the number of participants in different age groups varied, which may have affected the accuracy of our results.

## Conclusions

The prevalence of malocclusion in 9-12-year-old schoolchildren is high, with approximately one-third of the participants requiring orthodontic treatment. Furthermore, these findings will help dental practitioners better understand the oral health problems that may be affected by different types of malocclusion, leading to an improvement in the overall quality of life for children. The results show that the need for orthodontic treatment, as assessed by the DHC, increases with age. This suggests that early diagnosis and orthodontic treatment can prevent more serious problems.

## **Additional Information**

#### **Author Contributions**

All authors have reviewed the final version to be published and agreed to be accountable for all aspects of the work.

**Concept and design:** Od Bayarsaikhan, Ochirbal Munkh-Erdene, Tungalagtamir Boldbaatar, Oyundari Gantulga, Eiji Tanaka

**Acquisition, analysis, or interpretation of data:** Od Bayarsaikhan, Ochirbal Munkh-Erdene, Tungalagtamir Boldbaatar, Oyundari Gantulga, Eiji Tanaka

**Drafting of the manuscript:** Od Bayarsaikhan, Ochirbal Munkh-Erdene, Tungalagtamir Boldbaatar, Oyundari Gantulga, Eiji Tanaka

**Critical review of the manuscript for important intellectual content:** Od Bayarsaikhan, Ochirbal Munkh-Erdene, Tungalagtamir Boldbaatar, Oyundari Gantulga, Eiji Tanaka

Supervision: Od Bayarsaikhan

#### **Disclosures**

Human subjects: Consent was obtained or waived by all participants in this study. The Research Ethics Committee of Mongolian National University of Medical Sciences issued approval 2023/3-01. Animal subjects: All authors have confirmed that this study did not involve animal subjects or tissue. Conflicts of interest: In compliance with the ICMJE uniform disclosure form, all authors declare the following: Payment/services info: All authors have declared that no financial support was received from any organization for the submitted work. Financial relationships: All authors have declared that they have no financial relationships at present or within the previous three years with any organizations that might have an interest in the submitted work. Other relationships: All authors have declared that there are no other relationships or activities that could appear to have influenced the submitted work.

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